## University of STRATHCLYDE

DEPARTMENT OF ELECTRONICS AND<br>Electrical Engineering

# Matrix Converter Application to FACTS and Embedded Generation 

BY<br>Mohamed Tawfik Moustafa Hassan

A thesis presented in fulfilment of the requirements for the degree of Doctor of Philosophy

## TEXT BOUND INTO

## THE SPINE

"The copyright of this thesis belongs to the author under the terms of the United Kingdom Copyright Acts as qualified by University of Strathclyde Regulation 3.49. Due acknowledgement must always be made of the use of any material contained in, or derived from, this thesis."

## Ta my parents

## ABSTRACT

Power electronics is the key technology for connecting flexible ac transmission system devices and renewable power generation to the ac grid. Among the ac to ac converters, the matrix converter represents a promising alternative power electronics technology to back to back converters. It does not incorporate any storage elements which minimises the size and weight of the converter. In addition, with the introduction of the reverse blocking insulated gate bipolar transistor, its efficiency can surpass that of back to back converters.

A new approach in controlling the matrix converter output current is introduced. The approach depends mainly on logic circuitry and facilitates power system applications using the matrix converter. Furthermore, it can provide sinusoidal output current under asymmetry voltage conditions. The output current quality is at the expense of the input current in this case. Both sinusoidal input and output currents cannot be achieved under asymmetry voltage conditions due to the lack of any storage elements.

Matrix converter suitability to power system applications such as flexible ac transmission and embedded generation, is investigated particularly under asymmetrical ac supply conditions. The effect of the absence of an intermediate storage element, on the output power and current quality is considered.

The utilization of the proposed control technique in a unified power flow controller, which is the most versatile flexible ac transmission system device, is investigated. The restriction on unified power flow controller operation due to the absence of any intermediate storage element in the matrix converter is also studied.
Special attention is given to asymmetry ac voltage condition effects and how to mitigate this problem for embedded generation. Extraction of the positive and negative sequence components is essential in obtaining non-oscillatory output power, and a dual current controller is used to this end.

Keywords: FACTs, UPFC, matrix converter, embedded generation, asymmetrical supply

## ACKNOWLEDGMENT

All praise is due to Allah, whose praise cannot be described by those who speak, whose blessings cannot be enumerated by those who count those who try to cannot give Him His due rights.
I am deeply and forever indebted to my parents for their love and support throughout my entire life. I am also very grateful to my brother and sisters for their encouragement during my study.

I would like to thank the Egyptian Government for their financial support during my study. Special thanks go to the Egyptian Education Bureau staff for their patience and support. I am thankful to them for allowing me extra time to conclude my experimental work.

My sincere thanks go to my professors at Alexandria University for whom I owe my engineering knowledge. A special thanks goes to Prof. Samir Deghedi and Dr. Mohamed EL-Halurouk.

Thanks to all my colleagues whose assistance and feedback have allowed me to improve the contents of this thesis. I would like to express my gratitude to everybody who shared in the realization of my thesis, as well as expressing my apology that I could not mention them personally one by one.

Finally, if this thesis will be helpful for anyone, all praise is due to Allah; if any of the data supplied with this thesis are wrong, misleading, or misrepresented, it is from me and Allah knows best.

## Table of Contents

Abstract ..... i
Acknowledgment ..... ii
Table of contents ..... iii
List of symbols ..... viii
List of abbreviations ..... xi
InTRODUCTION
1.1 Challenges facing the electrical grid ..... 1
1.1.1 Transmission line capacity ..... 1
1.1.2 Electrical power influence on climate change ..... 4
1.2 The Matrix Converter ..... 9
1.3 Scope of the thesis ..... 10
References ..... 11
Literature Review
2.1 The Matrix Converter ..... 15
2.1.1 Matrix Converter Fundamentals ..... 17
2.1.2 Modulation Algorithms ..... 20
2.1.3 Switch commutation ..... 27
2.1.4 Switching devices ..... 28
2.1.5 Input voltages disturbance ..... 28
2.1.6 Protection and diode clamping circuitry ..... 29
2.2 Flexible AC Transmission Systems (FACTS) ..... 29
2.2.1 Basic relationships for power transmission ..... 29
2.2.2 Types of FACTS controllers ..... 30
2.2.3 Unified Power Flow Controller (UPFC) ..... 33
2.3 Embedded Generation ..... 37
2.3.1 Definition of embedded generation ..... 38
2.3.2 Benefits of utilizing EG units ..... 38
2.3.3 Impact of EG on the transmission network ..... 39
2.3.4 Impact of EG on the distribution network ..... 39
References ..... 40
A Novel Control Technique for The Matrix Converter
3.1 Control technique ..... 47
3.1.1 The inverter stage ..... 47
3.1.2 The rectifier stage ..... 54
3.1.3 Transforming from back-to-back inverters to a matrix converter ..... 64
3.2 PWM patterns ..... 70
3.3 Number of commutations per cycle ..... 72
3.4 Hysterisis controller ..... 74
References ..... 75
System Controllers and Simulation Results
4.1 The inverter stage ..... 77
4.1.1 The current controller ..... 78
4.1.2 Overmodulation ..... 83
4.1.3 Generation of the reference current ..... 85
4.2 The active rectifier stage ..... 86
4.2.1 Frequency and phase angle estimator ..... 86
4.2.2 The input filter ..... 87
4.3 Transforming from back-to-back inverters to the matrix converter ..... 92
4.4 Calculation of the output voltages and input currents ..... 92
4.5 Calculation of the output currents ..... 92
4.6 Simulation results ..... 93
4.6.1 Pure sinusoidal supply ..... 93
4.6.2 Unbalanced supply voltage ..... 102
4.6.3 Flat topped voltage supply ..... 108
References ..... 113
Grid-Connected Matrix Converter with an L-Filter Interface
5.1 The inverter stage ..... 114
5.1.1 The current controller ..... 115
5.1.2 Generation of the reference currents ..... 116
5.1.3 Droop control ..... 116
5.1.4 Frequency and phase angle estimator ..... 118
5.2 The active rectifier stage ..... 119
5.2.1 Wind turbine rotor model ..... 120
5.2.2 The generator model ..... 120
5.2.3 The input filter ..... 125
5.3 Simulation results ..... 128
5.4 Unbalanced grid condition ..... 130
5.4.1 Dual vector current controller ..... 132
5.4.2 Extraction of the positive and negative sequence components ..... 133
5.4.3 Generation of the reference currents ..... 136
5.4.4 Simulation results ..... 137
References ..... 138
Unified Power Flow Controller Using the Matrix Converter
6.1 Simplified UPFC Model ..... 140
6.2 Series power converter control ..... 142
6.3 Generation of the reference current ..... 143
6.4 Shunt power converter control ..... 145
6.5 Simulation results ..... 151
6.5.1 Constant shunt converter angle ..... 151
6.5.2 Shunt converter angle controllable ..... 152
References ..... 153
Experimental Setup and Practical Results
7.1 Hardware elements ..... 155
7.1.1 The Digital Controller Hardware ..... 155
7.1.2 The power matrix converter ..... 159
7.1.3 Voltage supply ..... 160
7.1.4 The R-L load ..... 160
7.1.5 The input power filter ..... 161
7.1.6 Current and voltage measurements ..... 162
7.1.7 Switch commutation ..... 163
7.1.8 The clamp circuit ..... 167
7.1.9 Signal filter ..... 168
7.1.10 Digital filtering ..... 169
7.2 Ac to ac matrix converter practical results ..... 171
7.2.1 Open loop ..... 171
7.2.2 Closed loop control ..... 176
7.3 Unified power flow controller ..... 180
7.3.1 Phase angle control ..... 182
7.3.2 Magnitude control ..... 184
References ..... 190
Conclusion
8.1 General conclusions ..... 191
8.2 Author's Contribution ..... 193
8.3 Future research ..... 194
ApPENDICES ..... 198
Appendix A: DSP Header Files ..... 200
Appendix B: Open Loop Program ..... 202
Appendix C: Closed Loop Program ..... 216
Appendix D: UPFC Program ..... 230
List of Figures ..... 244
LISt of Tables ..... 253

## LIST OF SYMBOLS

| A | Frequency estimator gain (rad. $\mathrm{s}^{-2}$ ) |
| :---: | :---: |
| $B$ | Phase angle estimator gain (rad.s ${ }^{-1}$ ) |
| $C_{P}$ | Wind turbine power coefficient (s) |
| $C_{Q}$ | Wind turbine torque coefficient |
| $e_{a}, e_{b}, e_{c}$ | instantaneous grid voltages (V) |
| $e_{\alpha}, e_{\beta}$ | instantaneous grid voltages in stationary reference frame (V) |
| $e_{d}, e_{q}$ | direct and quadrature axis grid voltage in rotating reference frame (V) |
| $e_{d+}, e_{q+}$ | direct and quadrature axis grid voltage positive components (V) |
| $e_{d-}, e_{q-}$ | direct and quadrature axis grid voltage negative components (V) |
| $e_{D+}, e_{Q^{+}}$ | direct and quadrature axis grid voltage positive components (V) |
| $e_{D,}, e_{Q}$ | direct and quadrature axis grid voltage negative components (V) |
| $e_{\text {Di }}, e_{Q i}$ | direct and quadrature axis grid voltage of the $\mathrm{i}^{\text {th }}$ harmonic(V) |
| $e_{D Q}$ | .direct and quadrature axis grid voltage in complex form(V) |
| $e_{D Q+}$ | direct and quadrature axis grid positive voltage in complex form(V) |
| $e_{D Q}$ - | direct and quadrature axis grid negative voltage in complex form(V) |
| $e_{l a}, e_{l b}, e_{l c}$ | Instantaneous $l$ bus bar voltage (V) |
| $e_{m a}, e_{m b}, e_{m c}$ | Instantaneous $m$ bus bar voltage (V) |
| $I_{0} \ldots I_{7}$ | Inverter matrices |
| $i_{a}, i_{b}, i_{c}$ | instantaneous output currents(A) |
| $i_{A}, i_{B}, i_{C}$ | instantaneous input currents (A) |
| $i_{\alpha}, i_{\beta}$ | instantaneous current in stationary reference frame (V) |
| $i_{d}$ | output current direct axis component (A) |
| $i_{D}$ | input current direct axis component (A) |
| $i_{q}$ | output current quadrature axis component (A) |
| $i_{Q}$ | input current quadrature axis component (A) |
| $i_{D+}, i_{Q^{+}}$ | direct and quadrature axis input current positive components (A) |
| $i_{\text {D- }}, i_{Q}$ | direct and quadrature axis input current negative components (A) |


| $i_{d+}, i_{q+}$ | direct and quadrature axis output current positive components (A) |
| :---: | :---: |
| $i_{d,}, i_{q-}$ | direct and quadrature axis output current negative components (A) |
| $i_{D i}, i_{Q i}$ | direct and quadrature axis input current of the $i^{\text {th }}$ harmonic(A) |
| $i_{D Q}$ | direct and quadrature axis input current in complex form(V) |
| $i_{\text {DQ }+}$ | direct and quadrature axis grid positive current in complex form(A) |
| $i_{D Q}$ | direct and quadrature axis grid negative current in complex form(A) |
| $\bar{I}_{i}$ | Input current vector (A) |
| $\bar{I}_{0}$ | Output current vector (A) |
| $i_{q}$ | shunt injected current (A) |
| $I_{\text {sh }}$ | shunt injected current (A) |
| $K_{p}$ | Proportional gain (V/A) |
| $K_{i}$ | Integral gain (V/A) |
| $m_{\alpha}, m_{\beta}$ | Rectifier stage duty cycles |
| $m_{\gamma}, m_{\lambda}$ | Inverter stage duty cycles |
| $M_{d,} M_{q}$ | Direct and quadrature axis integral error part (V) |
| $M\left(\omega_{m} t\right)$ | low frequency transfer matrix |
| $q$ | voltage transfer ratio |
| $R_{o a}, R_{o b}, R_{o c}$ | Zero state rectifier matrices |
| $R_{1} \ldots R_{6}$ | Rectifier matrices |
| $S_{1} \ldots S_{6}$ | Rectifier stage switch states |
| $S_{7 \ldots} \ldots S_{12}$ | Inverter stage switch states |
| $S_{i / p}$ | Total apparent input power (VA) |
| $S_{+}$ | Total apparent power due to positive sequence voltage and current (VA) |
| $S$ | Total apparent power due to negative sequence voltage and current (VA) |
| $T_{s}$ | sampling time (s) |
| $T_{\text {seff }}$ | effective sampling time (s) |
| $v_{a}, v_{b}, v_{c}$ | instantaneous output voltages (V) |
| $v_{A}, v_{B}, v_{C}$ | instantaneous input voltages (V) |
| $\nu_{\alpha} \nu_{\beta}$ | instantaneous voltages in stationary reference frame (V) |


| $v_{d}$ | output voltage direct axis component (V) |
| :---: | :---: |
| $v_{D}$ | input voltage direct axis component (V) |
| $V_{D C}$ | virtual link dc voltage (V) |
| $v_{q}$ | output voltage quadrature axis component (V) |
| $v_{Q}$ | input voltage quadrature axis component (V) |
| $v_{d+}, v_{q+}$ | direct and quadrature axis controller output positive components (V) |
| $v_{d,}, v_{q-}$ | direct and quadrature axis controller output negative components (V) |
| $\bar{V}_{i}$ | Input voltage vector (V) |
| $\overline{V_{o}}$ | Output voltage vector (V) |
| $v_{p q}$ | Series injected voltage (V) |
| $V_{s}$ | series injected voltage (V) |
| $V_{S}$ | sending end voltage (V) |
| $V_{R}$ | receiving end voltage (V) |
| $V_{M}$ | transmission line mid point voltage (V) |
| $u_{1}, u_{2}, u_{3}$ | rectifier stage level |
| $u_{a}, u_{b}, u_{c}$ | inverter stage controller outputs (V) |
| $u_{a a}, u_{b b}, u_{c c}$ | modified inverter stage controller outputs ( V ) |
| $u_{x}$ | offset voltage to modify the inverter stage controller outputs (V) |
| $\hat{u}$ | maximum of modified inverter stage controller outputs (V) |
| $u$ | minimum of modified inverter stage controller outputs (V) |
| $\hat{U}$ | carrier peak value |
| Xs | transmission line reactance ( $\Omega$ ) |
| $\phi_{i}$ | Input displacement angle |
| $\hat{\theta}$ | estimated voltage vector angle (rad) |
| $\omega_{0}$ | output angular frequency ( $\mathrm{rad} / \mathrm{s}$ ) |
| $\omega_{c}$ | input filter cut-off frequency ( $\mathrm{rad} / \mathrm{s}$ ) |
| $\omega_{i}$ | input angular frequency ( $\mathrm{rad} / \mathrm{s}$ ) |
| $\omega_{m}$ | low frequency transfer matrix angular frequency ( $\mathrm{rad} / \mathrm{s}$ ) |
| $\hat{\omega}$ | estimated voltage vector angular frequency ( $\mathrm{rad} / \mathrm{s}$ ) |

## LIST OF ABBREVIATIONS

| EG | Embedded Generation |
| :--- | :--- |
| FACTS | Flexible ac Transmission Systems |
| IGBT | Insulated Gate Bipolar Transistor |
| MC | Matrix Converter |
| RB-IGBT | Reverse Blocking Insulated Gate Bipolar Transistor |
| SSSC | Static Synchronous Series Compensator |
| SSG | Static Synchronous Generator |
| SVC | Static VAr Compensator |
| SVS | Synchronous Voltage Source |
| TCPST | Thyristor-Controlled Phase-Shifting Transformer |
| TCSR | Thyristor-Controlled Series Reactor |
| TSSC | Thyristor-Switched Series Capacitor |
| UPFC | Unified Power Flow Controller |
| VSC | Voltage Source Converter |
| VSI | Voltage Source Inverter |

## Chapter One

## Introduction

There is no doubt that electricity plays a vital role in our modern life. It runs the economy and supports the high quality of life of the community. Imagine how unfamiliar your world is when the electrical supply goes out: industrial work is disrupted, water systems shut down, food ruins, transportation is interrupted, communications stop, and people are inconvenienced and even frightened. In other words contemporary life as we know comes to an unpredicted halt.
On the $14^{\text {th }}$ of August 2003 the biggest blackout in history occurred on the east coast of the USA. Millions of people were without electricity for an extended period of time [1.1]. This blackout was followed by others in the UK, Sweden, and other countries. These blackouts brought the challenges facing the electric power grid supply to the forefront.

### 1.1 Challenges facing the electrical grid

There are many challenges facing the existing electrical power system to ensure the continuity and quality of electricity without interruption. In the following sections some of these challenges are presented.

### 1.1.1 Transmission line capacity

One of the main reasons for the blackout that occurred in the USA was overloading of the transmission lines [1.2]. Transmission lines as normally operate at far lesser than their ratings to ensure reliability. This margin guarantees that the electric system can recover from line tripping or generator outage.

But in recent years, growing demand on electric power has approached transmission systems limits, where outage of certain transmission lines could result in the fast interruption of electricity. Additional transmission capacity where it is needed could be the best solution. Unfortunately, electricity grid upgrades and particularly the construction of new transmission lines cannot match the increase in power plant capacity and energy demand. Finding suitable right-of-ways is particularly difficult in industrialized countries [1.3]-[1.5].

An alternative to constructing new transmission lines is to enhance the use of the existing lines. This could be achieved by implementing Flexible AC Transmission System (FACTS) controllers [1.3]-[1.14]. FACTS devices are used for the dynamic control of voltage, impedance, and phase angle of high voltage AC lines. FACTS devices provide planned benefits for enhanced transmission systems through:

- better utilization of existing transmission assets;
- increased transmission system reliability;
- increased dynamic and transient grid stability; and
- increased supply quality for sensitive industries (e.g. computer chip manufacturing).

What is most attractive about FACTS technology is that it creates opportunities for controlling transmitted power and for improving the practical capacity of existing lines. Controlled current flow through a transmission line increases the capacity of existing lines. These FACTS devices are able to act almost instantaneously to power system changes. Among devices, the unified power flow controller (UPFC) is the most versatile device [1.15]-[1.21].

Another alternative to conventional transmission capacity additions is the reformation of the original grid structure. The existing infrastructure consists of three main functions. First the power has to be generated; involving coal, natural gas, hydroelectric or nuclear power plants. Once generated at the power plant, it has to be transmitted to the area where it is to be consumed; this is the transmission function of power delivery. Finally the power has to be delivered to the different customers in the distribution system. This three-step procedure is summarized in fig. 1.1.


Fig. 1.1 Conventional electric supply network

There is a need to modify the grid structure so that it can perform reliably, even under the higher loads that will unavoidably arise in the future. One proposed addition is to incorporate elements of embedded generation into the system. An embedded generation system [1.22]-[1-30] merges electricity from large and small generation units. Unlike central station power plants, which typically are located far from load centres, embedded generation can produce electricity at or near the place where it is consumed. Embedded generation technologies can run on fossil fuels, renewable energy resources or waste heat. They can meet all or part of a customer's power needs. They can be placed at a customer's site or elsewhere. Fig. 1.2 represents a schematic of embedded generation.

With such a structure, the rate of increase of transmission line loading will be much lower, hence the investment demand on the transmission system will decrease.


Fig. 1.2 Electric network with embedded generation

### 1.1.2 Electrical power influence on climate change

Another challenge facing the electrical power system is its influence on climate change. There is no doubt that human activities over the last two decades have influenced the global climate in a dangerous way. During the last two decades, measurements show a significant increase in the emissions of the greenhouse gases such as carbon dioxide $\left(\mathrm{CO}_{2}\right)$, methane $\left(\mathrm{CH}_{4}\right)$, and nitrous oxide $\left(\mathrm{N}_{2} \mathrm{O}\right)$, by extensive amounts hence the planet is becoming warmer than it would be otherwise [1.31].

If emissions carry on at the same rate of increase, they will influence rainfall patterns which result in more frequent intense weather conditions world-wide. Higher temperatures will melt the polar ice caps which will cause sea levels to rise. Large areas of the world, including many developing countries, are only a few metres above normal sea level and will suffer from sea level rises as a consequence of climate change.

Carbon dioxide, which is responsible for over 60 per cent of the "enhanced greenhouse effect", are primarily by-products in transport, industry, and the combustion of fossil fuels in electricity generation [1.32] - see Fig. 1.3.


Fig. 1.3 Percentage $\mathrm{CO}_{2}$ emission resources in UK [1.32]

The principal source of $\mathrm{CO}_{2}$ is the burning of fossil fuels: coal, natural gas, and oil. Unfortunately, these sources represent most of the resources associated with electricity generation as shown in fig. 1.4.
Most renewable energy sources do not produce any $\mathrm{CO}_{2}$; hence the UK Government's stated intention to increase their use substantially, particularly in electricity generation [1.33]-[1.35].


Fig. 1.4 Percentage electricity resources in UK [1.32]

In fact moving towards renewable energy is not only driven by climate change or the Koyoto agreement; if we want to maintain our civilized way of life there is no other option than substitution of oil and natural gas with other sources. Oil and gas were
created during the Earth's long geological history, which means that they are limited resources, subject to depletion. Most research indicates that the peak of oil and gas production could be within the 2020s [1.36]. After that the production will decrease slowly but surely. Our economy is entirely oil-dependant and does not cater for oil reserve depletion before it commences to collapse. A small difference between demand and supply of 15-20 percent is sufficient to shatter an oil-dependent economy and reduce its population to poverty. The effects of even a small reduction in production can be harmful. For instance, during the 1973 and 1978 oil crises, shortfalls in production as low as $5 \%$ caused the price of oil to climb to nearly four times its normal price. Fortunately, the crises were only temporary. Future oil shortages will not be so transitory. They represent the beginning of a new, permanent condition [1.37].

Unfortunately, renewable resources are weather dependant which makes it difficult for the electric power grid to rely on them. Nuclear energy does not depend on weather conditions or the time of day in order to produce an output. Also its use does not involve emissions of greenhouse gases. This can make nuclear power a good source for the base load and the remainder can be supplied from renewable resources.

For these reasons, the UK Government has set targets to increase the percentage of renewable electricity generation in the total supply [1.34]. Fig. 1.5 shows the expected timetable for the different renewable sources to be economically viable. From this figure it is noticed that the most vital renewable resource is wind energy. The main reason for this is that the UK has over one third of Europe's entire potential for offshore wind energy [1.34].
It can be concluded that to prevent future electrical power interruption, hence to maintain our modern life, we are required to:

- Enhance the use of existing transmission systems using FACTS devices, especially the UPFC which is the most versatile FACTS device.
- Modify the structure of the existing electrical grid using embedded generation.
- Replace the oil and natural gas used in electrical power generation with other renewable resources; especially wind energy in the UK.

2001/06:
Bio-energy capital
Biomass may be
grants and Energy Crops scheme to develop biomass economically viable in mid-2010's
generating capacity.

2002-2012:
PV may be
Implementation of solar PV demonstration economically programme, in line with our competitors, as set viable out in the "Opportunities for All", white paper.
post-2020.

2005:
Installation of offshore wind farms from first round of allocation of offshore wind sites

2005 onwards:
Installation of further offshore wind farms following the second and subsequent rounds of allocating offshore wind sites.

Fig. 1.5 Estimated time table for the installation of renewable energy resources [1.34]

Fig. 1.6 shows a typical UPFC system where series voltage and shunt current are injected into the transmission line. The idea is based on back to back voltage source converters (VSC) where the line voltage is first rectified through VSCl and then a voltage is injected into the line through VSC2. A variable speed wind turbine system, with full-scale power converters, where the wind turbine is connected through a gearbox to generator G , is shown in fig. 1.7. Usually, the output of the generator is rectified and the resulting power is transferred through a DC link to a voltage source converter.

Typically, filter inductors (L-filters) are used to minimize the current harmonics injected into the grid. Both the UPFC back-to-back converters and the rectifier and the VSC used for power generation from the wind turbine, could be replaced by a matrix converter as shown in figs 1.8 [1.38]-[1.39] and 1.9 respectively.

$\overline{\text { Fig. 1.6 Schematic diagram of the UPFC with back to back converters }}$


Fig. 1.7 Schematic diagram of a wind turbine system with back to back converters


Fig. 1.8 Schematic diagram of a UPFC with a matrix converter


Fig. 1.9 Schematic diagram of a wind turbine system with a matrix converter

### 1.2 The Matrix Converter

The matrix converter (MC) has been recognized as an alternative to standard VSI converters. The MC is a direct power converter without any internal energy storage stage [1.40]. The MC is a forced commutated converter, which uses an array of controlled bi-directional switches in order to create a variable output voltage system with unrestricted frequency [1.41]. The switches used in a matrix converter must be able to block voltages of either polarity and to conduct current in either direction. This can be achieved either by a combination of the existing unidirectional switches and diodes as shown in fig. 1.10 or the introduction of new devices which are able to withstand bidirectional voltages.


Fig. 1.10 Schematic diagram of a 3-phase to 3-phase matrix converter

The matrix converter has many advantages over back-to-back converters in ac-ac power conversion [1.42]. It does not need any intermediate storage elements as used in conventional back-to-back converters, which results in a simple and compact circuit. The generation of output voltage with arbitrary amplitude and unrestricted frequency can be easily produced. Full control of the input power factor for any load can be maintained. Sinusoidal input and output current can be readily achieved under symmetrical supply conditions.
The reverse blocking IGBT (RB-IGBT) introduced in [1.43] could make a significant impact to the development of the matrix converter since it decreases one semiconductor device per load phase path. If the RB-IGBT device becomes available for mass production, it will minimize the device count and the conduction losses.
On the other hand, the matrix converter has disadvantages [1.40]-[1-42]. Its major drawback is that the maximum voltage transfer ratio between the output and input voltages is limited to $86 \%$. This is a problem if there is need to use a standard machine from a standard transformerless supply. Another drawback is the use of 18 switches in the conventional matrix converter rather than 12 with back to back converters. One more problem is the commutation of the bi-directional switches. It is difficult to achieve simultaneous commutation without generating overcurrent and voltage spikes that could destroy the semiconductor devices. This problem is partially solved by using multistep commutation strategies that allow safe commutation [1.44]-[1.47].

### 1.3 Scope of the thesis

The aim of this thesis is to describe a novel matrix converter operational approach based on feedback control which not only fulfills the specifications of the UPFC but also surpasses it on several points like the ability to connect linked power systems with different frequencies, which is central to embedded generation.
In the next chapter the basic FACTS concept, embedded generation fundamentals, and matrix converter concepts, are presented.
In chapter 3 the novel matrix converter control approach based on feedback control is described. The performance of this technique is evaluated in chapter 4.

How this approach could be used to fulfill the needs of embedded generation and UPFC specifications, are presented in chapters five and six respectively, which include simulation results.

The experimental test rig and the practical results are presented in chapter seven.
The main conclusions and future work drawn from this thesis are summarized in the final chapter, chapter eight.

## References:

[1.1] J. C. Condon, 'Distributed Generation as a Potential Solution to United States Electricity Transmission Bottlenecks', University of Arizona, August, 2004.
[1.2] http://www.pserc.wisc.edu/Resources.htm\#Resources NENA Data.htm.
[1.3] 'Power Compensation in Transmission Systems', Siemens Power Engineering Guide, Transmission and Distribution, $4^{\text {th }}$ Edition.
[1.4] R. Grunbaum, A. Peterson and B. Thorvaldsson, 'FACTS-Improving the performance of electrical grids', ABB review 3/2002, 11-18.
[1.5] R. Grunbaum, A. Peterson and B. Thorvaldsson, 'FACTS-powerful systems for flexible power transmission', ABB review 5/1999, 4-17.
[1.6] P. Moore and P. Ashmole, 'Flexible AC transmission systems', Power Engineering Journal [see also Power Engineer], Volume: 9 Issue: 6, Dec. 1995, 282-286.
[1.7] Ashmole, P.H.; 'Introduction to FACTS', Flexible AC Transmission Systems (FACTS) - The Key to Increased Utilisation of Power Systems, IEE Colloquium on (Digest No.1994/005), 12 Jan 1994, 1-2.
[1.8] Xianzhong Duan et al.; 'Power flow control with FACTS devices', Power Engineering Society Summer Meeting, 2000. IEEE, Volume: 3, 16-20 July 2000, 1585-1589.
[1.9] Ying Xiao; Song, Y.H. and Sun Y.Z., 'Power flow control approach to power systems with embedded FACTS devices', Power Systems, IEEE Transactions on, Volume: 17, Issue: 4, Nov. 2002, 943-950.
[1.10] Chen-Ching Liu, Heydt, G.T. and Edris, A.A., 'Impact of FACTS controllers on transfer capability of power grids', Power Engineering Society Winter Meeting, 2002. IEEE, Volume: 1, 27-31 Jan. 2002, 556-561.
[1.11] Mihalic, R., Zunko, P., Povh, D., 'Improvement of transient stability using unified power flow controller', Power Delivery, IEEE Transactions on, Volume:11, Issue: 1, Jan. 1996, 485-492.
[1.12] Poshtan, M., Rastgoufard, P., 'Improvement of power system transient stability by using controllable series compensator', System Theory, 1998. Proceedings of the Thirtieth Southeastern Symposium, March 1998, 225-228.
[1.13] Hingorani, N.G., 'High Power Electronics and flexible AC Transmission System', Power Engineering Review, IEEE, Volume: 8 Issue: 7, July 1988, 3-4.
[1.14] Ralls, K.J., 'The growth of power electronics in electrical power transmission systems', Power Engineering Journal, Volume: 9 Issue: 1, Feb. 1995, 15-23.
[1.15] Gyugyi, L., 'A unified flow control concept for flexible AC transmission systems', AC and DC Power Transmission, 1991 Conference, Sep 1991, 19-26.
[1.16] Gyugyi, L., 'Unified power-flow control concept for flexible AC transmission systems', Generation, Transmission and Distribution IEE Proceedings C, Volume: 139, Issue: 4, July 1992, 323-331.
[1.17] Gyugyi, L., et al. 'The unified power flow controller: a new approach to power transmission control', Power Delivery, IEEE Transactions, Volume: 10, Issue: 2, April 1995, 1085-1097.
[1.18] Sen, K.K., Stacey, E.J., 'UPFC-unified power flow controller: theory, modeling, and applications', Power Delivery, IEEE Transactions, Volume: 13 Issue: 4, Oct. 1998, 1453-1460.
[1.19] Arnez, R.L.V., Zanetta, L.C., 'Unified power flow controller (UPFC): its versatility in handling power flow and interaction with the network', Transmission and Distribution Conference and Exhibition 2002: Asia Pacific. IEEE/PES, Volume: 2, Oct. 2002, 1338-1343.
[1.20] Enslin, J.H.R., Zhao, J., Spee, R., 'Operation of the unified power flow controller as harmonic isolator', Power Electronics, IEEE Transactions on, Volume: 11, Issue: 6, Nov. 1996, 776-784.
[1.21] Schauder, C.D., et al., 'Operation of the unified power flow controller (UPFC) under practical constraints', Power Delivery, IEEE Transactions on, Volume: 13 Issue: 2, April 1998, 630-639.
[1.22] 'Prospects for Distributed Electricity Generation', The Congress of the

United States, Congressional Budget Office, Sep. 2003.
[1.23] Püttgen, H. B., Macgregor, P., and lambert, F., 'Distributed Generation: Semantic Hype or the Dawn of a New Era?', IEEE power and energy magazine, Jan./Feb. 2003.
[1.24] 'Distributed Generation: The way forward', Ofgem factsheet, 26.03.02. http://www.ofgem.gov.uk/Media/FactSheets/Documents1/1102-factsheet0602 27 feb.pdf
[1.25] 'The Tradable Value of Distributed Generation', ILEX ENERGY CONSULTING, 2005. http://www.aepuk.com/faq_pdf/dgdt100047.pdf
[1.26] L. Schwartz, 'Distributed Generation in Oregon: Overview, Regulatory Barriers and Recommendations', Feb. 2005. http://www.puc.state.or.us/PUC/electric gas/dg_report.pdf
[1.27] 'Distributed Generation in Liberalised Electricity Markets', INTERNATIONAL ENERGY AGENCY, France 2002. http://www.iea.org/textbase/nppdf/free/2000/distributed2002.pdf
[1.28] D. Popovic, 'Managing for Growth in Distributed Generation and Planning for the Future'. http://www.caenz.com/DistGen/DGdownloads/Popovicl.pdf
[1.29] M. Donkelaar, 'A survey of solutions and options for the integration of distributed generation into electricity supply systems', Energy \& Environment, Volume: 15, No 2 2004. pp 323-332.
[1.30] J. C. Condon, 'Distributed Generation as a Potential Solution to United States Electricity Transmission Bottlenecks', University of Arizona, Aug. 2004.
[1.31] http://unfccc.int/kyoto protocol/items/2830.php
[1.32] T. Blundell, 'Wind Power in the UK', Sustainable Development Commission, May2005.http://www.sd-commission.org.uk/publications/downloads/ Wind_Energy-NovRev2005.pdf.
[1.33] 'UK Electricity Network', Parliamentary office of science and technology, Oct. 2001, Number 163. http://www.parliament.uk/post/pn163.pdf
[1.34] 'Our energy future- creating a low carbon economy', Energy white paper. http://www.dti.gov.uk/files/file10719.pdf
[1.35] J. Bower, 'UK Offshore Wind Generation Capacity: A Return to Picking Winners', Oxford institute for energy studies, Jul. 2003.
[1.36] H.J. Longwell, director and executive vice president of Exxon Mobil cooperation, 'The future of the oil and gas industry: past approaches, new challenges', World energy, Volume: 5 Issue: 3, 2002, 100-104.
[1.37] M. Savinar, 'The oil age is over: what to expect as the world runs out of cheap oil 2005-2050', http://www.lifeaftertheoilcrash.net/
[1.38] Boon-Teck Ooi; Kazerani, M, 'Unified power flow controller based on matrix converter', Power Electronics Specialists Conference, 1996. PESC '96 Record., 27th Annual IEEE, Volume: 1, 23-27 June 1996, 502-507.
[1.39] Strzelecki R., et al. 'UPFC with matrix converter', $9^{\text {th }}$ European Conference on Power Electronics and Applications- EPE 2001. Graz 2001.
[1.40] Wheeler, P., et al., 'Matrix converters', Power Engineering Journal, Volume: 16 Issue: 6, Dec. 2002, 273-282.
[1.41] Wheeler, P.W., et al., 'Matrix converters: a technology review', Industrial Electronics, IEEE Transactions, Volume: 49 Issue: 2, April 2002, 276-288.
[1.42] L. Huber and D. Borojevic, 'Space Vector Modulated Three-Phase to ThreePhase Matrix Converter with Input Power Factor Correction', IEEE Trans. Industry Appl., Vol. 31, No. 6, pp. 1234-1246, Nov./Dec. 1995.
[1.43] M. Takei, T. Naito, and K. Ueno, 'The reverse blocking IGBT for matrix converter with ultra-thin wafer technology', Proc. IEEE ISPSD'03, 2003, pp. 156-159.
[1.44] Adamck, J., Hofmann, W., Ziegler, M., 'Fast commutation process and demand of bidirectional switches in matrix converters', Power Electronics Specialist, PESC. 2003 IEEE 34th Annual Conference, Volume: 3, June 15-19, 2003, 1281-1286.
[1.45] Ziegler, M., Hofmann, W., 'New one-step commutation strategies in matrix converters', Power Electronics and Drive Systems, 2001. Proceedings, 2001 4th IEEE International Conference on, Volume: 2, 22-25 Oct. 2001, 560-564.
[1.46] Ziegler, M., Hofmann, W., 'Implementation of a two steps commutated matrix converter', Power Electronics Specialists Conference, 1999. PESC 99. 30th Annual IEEE, Volume: 1, 27 June-1 July 1999, 175-180.
[1.47] Ziegler, M., Hofmann, W., 'Semi natural two steps commutation strategy for matrix converters', Power Electronics Specialists Conference, 1998. PESC 98 Record. 29th Annual IEEE, Volume: 1, 17-22 May 1998, 727-731.

## Chapter Two

## Literature Review

This chapter presents a literature survey of the matrix converter including its fundamentals, the most popular modulation methods, commutation techniques, bidirectional switches, and the effect of input voltages disturbance. Then the basic relationships for power transmission are reviewed. FACTS devices used to control transmitted power are introduced. Special attention is given to the most powerful FACTS device, the unified power flow controller (UPFC). Finally, a brief survey on embedded generation is given, its benefits, problems, and impact on both transmission and distribution networks.

### 2.1 The Matrix Converter

The matrix converter (MC), shown in fig.2.1, has been recognized as an alternative to the standard voltage source inverter (VSI). The MC is a direct type of power converter without any internal energy storage. It is a forced commutated converter, which uses a matrix of controlled bi-directional switches, in order to create a variable output voltage system with unrestricted frequency. The initial research contributing to matrix converter development used thyristors [2.1]. With this technology, performance was poor. Genuine development of the matrix converter occurred with the "Venturini modulation method" in 1980 [2.2]-[2.4], but the main limitation of the algorithm was its dependence on load power factor. Another control technique based on the "fictitious dc link" scheme was proposed by Rodriguez in 1983 [2.5]. With this method, switching is arranged so that each output line is switched between the most positive and most negative input lines using a pulse width modulation (PWM) technique, as conventionally used in standard VSIs.

This concept is also known as the "indirect transfer function" approach. In 1989, Huber
et al. published the first of a series of papers [2.6]-[2.10] in which the principles of space-vector modulation (SPVM) were applied to the matrix converter modulation problem.

The aim of this section is to present a review of matrix converter fundamentals, including:

- matrix converter fundamentals;
- modulation methods;
- commutation techniques;
- devices for bidirectional switches;
- input voltages disturbance; and
- protection and clamping circuitry.


Fig.2.1 Schematic diagram of the conventional matrix converter

### 2.1.1 Matrix Converter Fundamentals

Normally the matrix converter is fed by a voltage source, and for that reason the input terminals should not be short-circuited. On the other hand, the output side has an inductive nature so an output phase must never be open circuit. These two constraints can be expressed by

$$
\begin{gather*}
S_{A j}+S_{B j}+S_{C j}=1 \\
S_{i j}= \begin{cases}1 & \text { switch } S_{i j} \text { closed } \\
0 & \text { switch } S_{i j} \text { open }\end{cases}
\end{gather*}
$$

where $i=\{\mathrm{A}, \mathrm{B}, \mathrm{C}\}$

$$
j=\{\mathrm{a}, \mathrm{~b}, \mathrm{c}\}
$$

With these restrictions, the $3 \times 3$ matrix converter has 27 possible switch states. These states are summarised in table 2.1.

The source and load voltages can be expressed as vectors defined by

$$
v_{\mathrm{i}}=\left[\begin{array}{l}
v_{A}(t) \\
v_{B}(t) \\
v_{C}(t)
\end{array}\right] \quad v_{o}=\left[\begin{array}{l}
v_{a}(t) \\
v_{b}(t) \\
v_{c}(t)
\end{array}\right]
$$

The relationship between the output and input voltages can be expressed as

$$
\left[\begin{array}{l}
v_{a}(t) \\
v_{b}(t) \\
v_{c}(t)
\end{array}\right]=\left[\begin{array}{lll}
S_{A a} & S_{B a} & S_{C a} \\
S_{A b} & S_{B b} & S_{C b} \\
S_{A c} & S_{B c} & S_{C c}
\end{array}\right]\left[\begin{array}{l}
v_{A}(t) \\
v_{B}(t) \\
v_{C}(t)
\end{array}\right]
$$

In the same form, the relationship between input and output currents is expressed by

$$
i_{i}=T^{T} . i_{o}
$$

where

$$
i_{i}=\left[\begin{array}{l}
i_{A}(t) \\
i_{B}(t) \\
i_{c}(t)
\end{array}\right] \quad i_{o}=\left[\begin{array}{l}
i_{a}(t) \\
i_{b}(t) \\
i_{c}(t)
\end{array}\right]
$$

| $\begin{gathered} \text { ON } \\ \text { switches } \end{gathered}$ | Output Voltages |  |  | Input currents |  |  | Voltage vector |  | Current vector |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $V_{a b}$ | $V_{b c}$ | $V_{c a}$ | $I_{4}$ | $I_{B}$ | $I_{C}$ | Magn. | Phase | Magn. | Phase |
| $S_{\text {ca }} S_{B b} S_{C c}$ | $V_{A B}$ | $V_{B C}$ | $V_{C A}$ | $I_{a}$ | $I_{b}$ | $I_{c}$ | $V_{i l}$ | $\omega_{t} t$ | $I_{0}$ | $\omega_{0} t$ |
| $S_{A Q} S_{C b} S_{B c}$ | $-V_{C A}$ | - $V_{B C}$ | - $V_{A B}$ | $I_{a}$ | $I_{c}$ | $I_{b}$ | $v_{u}$ | $-\omega_{l} t+4 \pi / 3$ | $I_{0}$ | - $\omega_{0} t$ |
| $S_{B a} S_{A b} S_{C c}$ | $-V_{A B}$ | - $V_{C A}$ | - $V_{B C}$ | $I_{b}$ | $I_{a}$ | $I_{c}$ | $V_{i l}$ | - $\omega_{1}$ t | $I_{0}$ | $-\omega_{0} t+2 \pi / 3$ |
| $S_{B a} S_{C b} S_{A c}$ | $V_{B C}$ | $V_{C A}$ | $V_{A B}$ | $I_{c}$ | $I_{a}$ | $I_{b}$ | $v_{i \prime}$ | $\omega_{1} t+4 \pi / 3$ | $I_{0}$ | $\omega_{o} t+2 \pi / 3$ |
| $S_{C a} S_{A b} S_{B c}$ | $V_{C A}$ | $V_{A B}$ | $V_{B C}$ | $I_{b}$ | $I_{c}$ | $I_{a}$ | $V_{i l}$ | $\omega_{t} t+2 \pi / 3$ | $I_{0}$ | $\omega_{0} t+4 \pi / 3$ |
| $S_{C a} S_{B b} S_{A c}$ | - $V_{B C}$ | $-V_{A B}$ | $-V_{C A}$ | $I_{c}$ | $I_{b}$ | $I_{a}$ | $V_{u}$ | $-\omega_{1} t+2 \pi / 3$ | $I_{0}$ | $-\omega_{0} t+4 \pi / 3$ |
| $S_{A c} S_{B b} S_{B c}$ | $V_{A B}$ | 0 | $-V_{A B}$ | $I_{a}$ | $-I_{a}$ | 0 | $k V_{A B}$ | $\pi / 6$ | kla | - $\pi / 6$ |
| $S_{B a} S_{A b}, S_{A c}$ | $-V_{A B}$ | 0 | $V_{A B}$ | $-I_{a}$ | $I_{a}$ | 0 | $k V_{A B}$ | -5\%6 | $k{ }_{a}$ | $5 \pi / 6$ |
| $S_{B o} S_{C b} S_{C c}$ | $V_{B C}$ | 0 | - $V_{B C}$ | 0 | $I_{a}$ | $-I_{a}$ | $k V_{B C}$ | $\pi / 6$ | $k_{a}$ | $\pi / 2$ |
| $S_{C P} S_{B b} S_{B c}$ | - $V_{B C}$ | 0 | $V_{B C}$ | 0 | $-I_{a}$ | $I_{a}$ | $k V_{B C}$ | -5\%6 | ${ }^{k} l_{a}$ | - $\pi / 2$ |
| $S_{C a} S_{A b} S_{A c}$ | $V_{C A}$ | 0 | $-V_{C A}$ | $-I_{a}$ | 0 | $I_{a}$ | $k V_{C A}$ | $\pi / 6$ | $k l_{a}$ | -506 |
| $S_{A B} S_{C b} S_{C_{c c}}$ | - $V_{C A}$ | 0 | $V_{C A}$ | $I_{a}$ | 0 | $-I_{a}$ | $k V_{C A}$ | -5m6 | $k{ }_{a}$ | $\pi / 6$ |
| $S_{B a} S_{A b} S_{B c}$ | - $V_{A B}$ | $V_{A B}$ | 0 | $I_{b}$ | $-I_{b}$ | 0 | $k V_{A B}$ | $5 \pi / 6$ | $k_{b}$ | - $\pi / 6$ |
| $S_{B \infty} S_{B b} S_{A c}$ | $V_{A B}$ | - $V_{A B}$ | 0 | $-I_{b}$ | $I_{b}$ | 0 | $k V_{A B}$ | - $\pi / 6$ | $k l_{b}$ | $5 \pi / 6$ |
| $S_{C a} S_{B b} S_{C c}$ | - $V_{B C}$ | $V_{B C}$ | 0 | 0 | $I_{b}$ | $-I_{b}$ | $k V_{B C}$ | $5 \pi / 6$ | $k l_{b}$ | $\pi / 2$ |
| $S_{B a} S_{C b} S_{B c}$ | $V_{B C}$ | $-V_{B C}$ | 0 | 0 | $-I_{b}$ | $I_{b}$ | $k V_{B C}$ | - $\pi / 6$ | $k l_{b}$ | - $\pi / 2$ |
| $S_{A c} S_{C b} S_{A c}$ | - $V_{C A}$ | $V_{C A}$ | 0 | $-I_{b}$ | 0 | $I_{b}$ | $k V_{C A}$ | $5 \pi / 6$ | $k l_{b}$ | $-5 \pi / 6$ |
| $S_{C a} S_{A b} S_{C c}$ | $V_{C A}$ | $-V_{C A}$ | 0 | $I_{b}$ | 0 | $-I_{b}$ | $k V_{C A}$ | $-\pi / 6$ | $k l_{b}$ | $\pi / 6$ |
| $S_{B a} S_{B b} S_{\text {dc }}$ | 0 | - $V_{A B}$ | $V_{A B}$ | $I_{c}$ | $-I_{c}$ | 0 | ${ }^{k} V_{A B}$ | - $\pi / 2$ | $k l_{c}$ | - $\pi / 6$ |
| $S_{A c} S_{A b} S_{B c}$ | 0 | $V_{A B}$ | $-V_{A B}$ | $-I_{c}$ | $I_{c}$ | 0 | $k V_{A B}$ | $\pi / 2$ | $k l_{c}$ | $5 \pi / 6$ |
| $S_{C a} S_{C b} S_{B c}$ | 0 | $-V_{B C}$ | $V_{B C}$ | 0 | $I_{c}$ | $-I_{c}$ | $k V_{B C}$ | - $\pi / 2$ | $k{ }_{c}$ | $\pi / 2$ |
| $S_{B \phi} S_{B b} S_{C_{c}}$ | 0 | $V_{B C}$ | $-V_{B C}$ | 0 | $-I_{c}$ | $I_{c}$ | $k V_{B C}$ | $\pi / 2$ | $k l_{c}$ | - $\pi / 2$ |
| $S_{A b} S_{A b} S_{C_{c c}}$ | 0 | - $V_{C A}$ | $V_{C A}$ | $-I_{c}$ | 0 | $I_{c}$ | $k V_{C A}$ | - $\pi / 2$ | $k l_{c}$ | $-5 \pi / 6$ |
| $S_{C a} S_{C b} S_{A c}$ | 0 | $V_{C A}$ | $-V_{C A}$ | $I_{c}$ | 0 | $-I_{c}$ | $k V_{C A}$ | $\pi / 2$ | $k l_{c}$ | $\pi / 6$ |
| $S_{A c} S_{A b} S_{A c}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $S_{B a} S_{B b} S_{B c}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $S_{C a} S_{C b} S_{C_{c c}}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table 2.1 Possible switch states of the matrix converter

The duty cycle $m_{i j}(t)$ of the switch $S_{i j}$ is defined by

$$
m_{i j}=\frac{t_{i j}}{T_{s}} \quad 0 \leq m_{i j} \leq 1
$$

The low frequency transfer matrix $M\left(\omega_{m} t\right)$ is defined by

$$
M\left(\omega_{m} t\right)=\left[\begin{array}{ccc}
m_{A A}\left(\omega_{m} t\right) & m_{B a}\left(\omega_{m} t\right) & m_{C a}\left(\omega_{m} t\right) \\
m_{A b}\left(\omega_{m} t\right) & m_{B b}\left(\omega_{m} t\right) & m_{C b}\left(\omega_{m} t\right) \\
m_{A c}\left(\omega_{m} t\right) & m_{B C}\left(\omega_{m} t\right) & m_{C C}\left(\omega_{m} t\right)
\end{array}\right]
$$

The low frequency (fundamental) component of the output phase voltages is given by

$$
v_{o}(t)=M\left(\omega_{m} t\right) \cdot v_{i}(t)
$$

and the low frequency component of the input current is

$$
i_{i}(t)=\left(M\left(\omega_{m} t\right)\right)^{T} \cdot i_{o}(t)
$$

Given a set of sinusoidal input voltages and assuming a set of output currents as follows:

$$
\begin{gather*}
v_{i}(t)=V_{i}\left[\begin{array}{c}
\cos \omega_{0} t \\
\cos \left(\omega_{i} t+2 \pi / 3\right) \\
\cos \left(\omega_{i} t+4 \pi / 3\right.
\end{array}\right] \\
i_{o}(t)=I_{o}\left[\begin{array}{c}
\cos \left(\omega_{0} t+\phi_{0}\right) \\
\cos \left(\omega_{0} t+\phi_{o}+2 \pi / 3\right. \\
\cos \left(\omega_{o} t+\phi_{o}+4 \pi / 3\right)
\end{array}\right]
\end{gather*}
$$

Then

$$
\left.\begin{array}{r}
v_{o}(t)=q V_{i}\left[\begin{array}{c}
\cos \omega_{o} t \\
\cos \left(\omega_{0} t+2 \pi / 3\right) \\
\cos \left(\omega_{0} t+4 \pi / 3\right)
\end{array}\right] \\
i_{i}(t)=q \frac{\cos \phi_{o}}{\cos \phi_{t}} I_{o}\left[\begin{array}{c}
\cos \left(\omega_{t} t+\phi_{t}\right) \\
\cos \left(\omega_{i} t+\phi_{t}+2 \pi / 3\right. \\
\cos \left(\omega_{l} t+\phi_{1}+4 \pi / 3\right.
\end{array}\right]
\end{array}\right]
$$

where $q$ is the voltage transfer ratio.
The modulation problem is how to drive the modulation matrix $M\left(\omega_{m} t\right)$ to produce a sinusoidal output voltage and a sinusoidal input current.

### 2.1.2 Modulation Algorithm

There are many control algorithms to solve the modulation problem. Generally they can be divided into two types of control. One is termed the direct modulation method and the other is the indirect modulation method.

## i. Direct modulation algorithms

Two main solutions to this problem were derived by Venturini [2.2]-[2.4]:

$$
\begin{gather*}
M_{l}(t)=\left[\begin{array}{lll}
m_{l} & m_{2} & m_{3} \\
m_{3} & m_{l} & m_{2} \\
m_{2} & m_{3} & m_{l}
\end{array}\right] \text { with } \omega_{m}=\left(\omega_{o}-\omega_{i}\right) \\
M_{2}(t)=\left[\begin{array}{lll}
m_{l} & m_{3} & m_{2} \\
m_{2} & m_{l} & m_{3} \\
m_{3} & m_{2} & m_{l}
\end{array}\right] \text { with } \omega_{m}=-\left(\omega_{o}-\omega_{i}\right) \\
m_{i}=\frac{1}{3}\left(l+2 q \cos \left(\omega_{m} t-\theta_{i}\right)\right), \text { where } \theta_{i}=0, \frac{2 \pi}{3}, \frac{4 \pi}{3} \text { for } i=1,2,3 \text { respectively }
\end{gather*}
$$

The solution in 2.13 corresponds to when the output displacement angle $\phi_{0}$ is equal to the input displacement angle $\phi_{i}$, and the other solution, equation 2.14 , is related to $\phi_{o}=-\phi_{1}$. Combining the two solutions yields a general form in which the input displacement angle can be controlled using different weights $\alpha_{1}$ and $\alpha_{2}$ for $M_{1}$ and $M_{2}$

$$
M(t)=\alpha_{1} M_{1}(t)+\alpha_{2} M_{2}(t)
$$

For unity input displacement factor, the matrix elements expression can be reduced to the form

$$
m_{K J}=\frac{1}{3}\left[1+\frac{2 v_{K} v_{j}}{v_{I m}^{2}}\right]
$$

where $K=\mathrm{A}, \mathrm{B}, \mathrm{C}, j=\mathrm{a}, \mathrm{b}, \mathrm{c}$ and $v_{i m}$ is the maximum input voltage.

Using these solutions, the maximum voltage transfer ratio that can be achieved is $50 \%$. This is demonstrated in fig. 2.2.


Fig.2.2 Sinusoidal output phase voltage within the inner bounds of the input voltage envelope

This ratio can be improved to $86.6 \%$ if third harmonic components are injected into the output voltage. In this case the target output voltages will be

$$
v_{o}(t)=q V_{i}\left[\begin{array}{c}
\cos \left(\omega_{o} t\right)-\frac{1}{6} \cos \left(3 \omega_{o} t\right)+\frac{1}{2 \sqrt{3}} \cos \left(3 \omega_{i} t\right) \\
\cos \left(\omega_{o} t+2 \pi / 3\right)-\frac{1}{6} \cos \left(3 \omega_{o} t\right)+\frac{1}{2 \sqrt{3}} \cos \left(3 \omega_{i} t\right) \\
\cos \left(\omega_{o} t+4 \pi / 3\right)-\frac{1}{6} \cos \left(3 \omega_{o} t\right)+\frac{1}{2 \sqrt{3}} \cos \left(3 \omega_{i} t\right)
\end{array}\right]
$$

Injecting third harmonic components has no effect on the output line to line voltage, but allows the output phase voltage to fit within the input voltage envelope as shown in fig.2.3. In addition, this $86 \%$ transfer ratio can only be achieved at unity displacement factor. Varying this factor will reduce the voltage transfer ratio.


Fig.2.3 Output phase voltage after injecting third harmonic components, within the outer envelope of the input voltage envelope

In this case, the solution of the modulation problem, including displacement angle control, can be expressed in the form of equation 2.19 [2.4]

$$
\begin{align*}
m_{K j}=\frac{1}{3}\{ & 1+|1+\theta| q \cos \left(\left(\omega_{o}-\omega_{i}\right) t-\frac{2(X-y) \pi}{3}\right) \\
& +|1-\theta| q \cos \left(\left(\omega_{i}+\omega_{o}\right) t-\frac{2 \pi(X+y-2)}{3}\right) \\
& +q\left(\frac{-1}{6 \sqrt{3}} \cos \left(4 \omega_{i} t-\frac{2 \pi(y-1)}{3}\right)+\frac{7}{6 \sqrt{3}} \cos \left(2 \omega_{i} t-\frac{2 \pi(1-y)}{3}\right)\right) \\
& \left.-\frac{q}{6}\left(\cos \left(\left(3 \omega_{o}+\omega_{i}\right) t-\frac{2 \pi(y-1)}{3}\right)+\cos \left(\left(3 \omega_{o}-\omega_{i}\right) t-\frac{2 \pi(1-y)}{3}\right)\right)\right\}
\end{align*}
$$

where $\theta=\frac{\tan \phi_{i}}{\tan \phi_{o}}$
$X=1,2,3$ for $K=\mathrm{A}, \mathrm{B}, \mathrm{C}$ respectively
$y=1,2,3$ for $j=\mathrm{a}, \mathrm{b}, \mathrm{c}$ respectively
This expression is complicated; the modulation matrix elements will be dependant on the load power factor angle, which reduces its applicability. These are the main disadvantages of this method.
If a unity displacement angle is required, equation 2.19 can be reduced to [2.4]:

$$
m_{K j}=\frac{l}{3}\left[1+\frac{2 v_{K} v_{j}}{v_{i m}^{2}}+\frac{4 q}{3 \sqrt{3}} \sin \left(\omega_{i} t+\beta_{K}\right) \sin \left(3 \omega_{i} t\right)\right]
$$

$\beta_{K}=0,2 \pi / 3,4 \pi / 3$
where $j=\mathrm{a}, \mathrm{b}, \mathrm{c}$ and $K=\mathrm{A}, \mathrm{B}, \mathrm{C}$
For the direct method, three signals are compared with a carrier in the order: $m_{A j}$, $m_{A j}+m_{B j}$, and $m_{A j}+m_{B j}+m_{C j}$ to produce the output matrix sequences as in fig.2.4.
a

$$
A
$$

B

C
B
A
$b \quad A$
A
$\square$ B C
c A
B

$$
C
$$

B

Fig.2.4 Switching sequence for the direct method

## ii. Indirect Modulation Algorithm

With indirect space vector modulation [2.6]-[2.10], the matrix converter function can be described as an active rectifier and a cascaded inverter. In this case, all the quantities are referred to a virtual DC link as shown in fig. 2.5. The modulation problem in this case can be separated into two independent stages: a rectifier stage represented by switches $S_{1}$ to $S_{6}$ and an inverter stage represented by switches $S_{7}$ to $S_{12}$.


Fig.2.5 Arrangement of the matrix converter as virtual back to back converters

## a-Space vector for the rectifier stage

The input voltages and currents can be expressed in space vector forms using the transforms in equations 2.21 and 2.22 [2.9]

$$
\begin{align*}
V_{i} & =\frac{2}{3}\left(v_{A}+v_{B} \cdot e^{-j \frac{2 \pi}{3}}+v_{C} \cdot e^{-j \frac{+\pi}{3}}\right) \\
I_{i} & =\frac{2}{3}\left(i_{A}+i_{B} \cdot e^{-j \frac{2 \pi}{3}}+i_{C} \cdot e^{-j \frac{4 \pi}{3}}\right)
\end{align*}
$$

The relation between the input current phase angle $\theta_{i}$, the sector number $k$ and the angle within the sector $\delta_{i}$ is shown in fig. 2.6. The rectifier space vector duty cycles are calculated as follows [2.9]:

$$
\begin{gathered}
m_{\alpha}=m_{r e c} \cdot \sin \left(\frac{\pi}{3}-\delta_{i}\right) \\
m_{\beta}=m_{r e c} \cdot \sin \delta_{i} \\
m_{0}=1-m_{\alpha}-m_{\beta} \\
m_{r e c}=\frac{\left|\overline{I_{i}}\right|}{i_{D C}} \\
\delta_{i}=\theta_{i}-(k-1)(\pi / 3) \\
\left.k=\text { floor }\left(\frac{\theta_{i}}{(\pi / 3}\right)\right)+1
\end{gathered}
$$

where floor is the integer quotient.
The modulation index of the rectifier stage is often chosen to be 1 since no input current magnitude control is required.


Fig.2.6 Rectifier stage space vector.

## $b$-Space vector for the inverter stage

The output voltages and currents can be expressed in space vector forms using the transforms in equations 2.24 and 2.25 (which are similar to equations 2.21 and 2.22)

$$
\begin{aligned}
& \bar{V}_{o}=\frac{2}{3}\left(v_{a}+v_{b} \cdot e^{-j \frac{2 \pi}{3}}+v_{c} \cdot e^{-j \frac{4 \pi}{3}}\right) \\
& \bar{I}_{o}=\frac{2}{3}\left(i_{a}+i_{b} \cdot e^{-j \frac{2 \pi}{3}}+i_{c} \cdot e^{-j \frac{4 \pi}{3}}\right)
\end{aligned}
$$



Fig.2.7 Inverter stage space vector

$$
\begin{gathered}
m_{\gamma}=m_{i n v} \cdot \sin \left(\frac{\pi}{3}-\delta_{o}\right) \\
m_{\lambda}=m_{i n v} \cdot \sin \delta_{0} \\
m_{0}=1-m_{\gamma}-m_{\lambda} \\
m_{m v}=\frac{\left|\overline{V_{0}}\right|}{v_{D C}}
\end{gathered}
$$

The modulation index of the inverter stage should be less than 0.86 , this being the maximum voltage transfer ratio.

The overall duty cycles will be:

$$
m_{\gamma} \cdot m_{\alpha}=m_{i n v} \cdot \sin \left(\frac{\pi}{3}-\delta_{o}\right) \cdot \sin \left(\frac{\pi}{3}-\delta_{i}\right)
$$

$$
\begin{gathered}
m_{\lambda} \cdot m_{\alpha}=m_{ı n v} \cdot \sin \delta_{0} \cdot \sin \left(\frac{\pi}{3}-\delta_{i}\right) \\
m_{\gamma} \cdot m_{\beta}=m_{u n v} \cdot \sin \left(\frac{\pi}{3}-\delta_{o}\right) \cdot \sin \delta_{i} \\
m_{\lambda} \cdot m_{\beta}=m_{I n v} \cdot \sin \delta_{o} \cdot \sin \delta_{i} \\
m_{0}=1-\left(m_{\alpha}+m_{\beta}\right)\left(m_{\gamma}+m_{\lambda}\right) \\
m_{I n v}=\frac{\left|\bar{V}_{o}\right|}{v_{D C}}
\end{gathered}
$$

For the indirect method, the order of the four active vectors within the switching period and which of the zero vectors are used is the next procedural step. From the possible combinations, the state which limits switching to one transition during each state change is usually used in order to minimize total switching losses. Also, the zero vector is selected using a criteria where the number of 'Branch Switch Overs' (BSO) in the matrix converter is minimized [2.11].

- When the sum of the current and voltage hexagon sectors is odd, the output vector sequence is $m_{\alpha} m_{\gamma} \rightarrow m_{\alpha} m_{\lambda} \rightarrow m_{\beta} m_{\lambda} \rightarrow m_{\beta} m_{\gamma} \rightarrow m_{0}$
- When the sum of the current and voltage hexagon sectors is even, the output vector sequence is $m_{\alpha} m_{\lambda} \rightarrow m_{\alpha} m_{\gamma} \rightarrow m_{\beta} m_{\gamma} \rightarrow m_{\beta} m_{\lambda} \rightarrow m_{0}$
- When the input hexagon sector is odd, the output zero vector is 000 . Otherwise 111.

The switching sequence for optimized indirect SVM is listed in Table 2.2 and fig. 2.8. The output voltage and input current vectors both lie in sector 1.

| $\alpha \lambda$ | $\alpha \gamma$ | $\beta \gamma$ | $\beta \lambda$ | 0 | $\beta \lambda$ | $\beta \gamma$ | $\alpha \gamma$ | $\alpha \lambda$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $A B B$ | $A B A$ | $A C A$ | $A C C$ | $C C C$ | $A C C$ | $A C A$ | $A B A$ | $A B B$ |
| $\frac{1}{2} t_{\alpha \lambda}$ | $\frac{1}{2} t_{\alpha \gamma}$ | $\frac{1}{2} t_{\beta \gamma}$ | $\frac{1}{2} t_{\beta \lambda}$ | $T_{0}$ | $\frac{1}{2} t_{\beta \lambda}$ | $\frac{1}{2} t_{\beta \gamma}$ | $\frac{1}{2} t_{\alpha \gamma}$ | $\frac{1}{2} t_{\alpha \lambda}$ |

Table 2.2 Switching sequence in current sector $=1$, voltage sector $=1\left(t_{i j}=m_{i} m_{j} T_{s}\right)$

| $\boldsymbol{A}$ | $\boldsymbol{A}$ | $\boldsymbol{A}$ | $\boldsymbol{A}$ | $\boldsymbol{C}$ | $\boldsymbol{A}$ | $\boldsymbol{A}$ | $\boldsymbol{A}$ | $\boldsymbol{A}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\boldsymbol{B}$ | $\boldsymbol{B}$ | $\boldsymbol{C}$ | $\boldsymbol{C}$ | $\boldsymbol{C}$ | $\boldsymbol{C}$ | $\boldsymbol{C}$ | $\boldsymbol{B}$ | $\boldsymbol{B}$ |
| $\boldsymbol{B}$ | $\boldsymbol{A}$ | $\boldsymbol{A}$ | $\boldsymbol{C}$ | $\boldsymbol{C}$ | $\boldsymbol{C}$ | $\boldsymbol{A}$ | $\boldsymbol{A}$ | $\boldsymbol{B}$ |

Fig. 2.8 Switching sequence in current sector $=1$, voltage sector $=1$

### 2.1.3 Switch commutation

The matrix converter arrangement suffers from a lack of free-wheeling paths for the inductive load current. This makes the commutation process different to that of the normal voltage source inverter. To achieve safe commutation, a precise sequence must be followed in order to prevent either short circuiting the input terminals or open circuiting the load current path. A technique that complies with these two rules was proposed in [2.12] and named semi-soft commutation or four-step commutation, which means that half of the switching during any sampling period is performed naturally. A different approach based on the relative magnitudes of the converter input voltages was also used but it does not reveal any semi-soft switching properties [2.13]. Two-step commutation based on the input voltage magnitude was first introduced in [2.14] in order to minimize the number of commutation steps and to reduce the complexity of the commutation procedure. However, these commutation methods depend completely on the measurement accuracy of either the output current or the input voltage, and may lead to commutation failure, particularly at low V-I magnitudes. Other ideas have been proposed to eliminate the polarity detection circuit, thereby reducing converter costs. Gate drive intelligence has been used to indicate the current direction [2.15]. Another approach was to employ a dual bridge matrix converter together with a new modulation technique [2.16].

### 2.1.4 Switching devices

The semiconductor switches used in a matrix converter must be able to block voltages of either polarity and to conduct current in either direction. This can be achieved either by a combination of existing unidirectional switches and diodes (as in fig. 2.1) or the introduction of new devices. The first IGBT matrix module was announced by EUPEC [2.17]. This compact design reduces the power circuit stray inductance, hence switching losses and stress. The reverse blocking IGBT (RB-IGBT) has been developed for matrix converter applications [2.18]-[2.23] and it decreases semiconductor devices per load phase path from four to two by eliminating the diodes. This may increase the efficiency of the matrix converters to above that of the diode-bridge VSI, because conduction losses are produced only by a single RB- IGBT per phase.

### 2.1.5 Input voltages disturbance

Due to the absence of a DC link capacitor, matrix converter performance is affected by unbalance and distortion of the input voltage system. The matrix converter generates low-order harmonics in the output voltage when unbalanced supply voltages are present due to input grid condition [2.24]. Therefore research has been directed to compensate the influence of any input voltage disturbance. Balanced sinusoidal output voltages can be generated with unbalanced input voltages by modifying the modulation index in the inversion stage according to the virtual dc-link voltage, which can be estimated from the instantaneous line voltages. The same approach was extended to the Veturini modulation method under three-phase simultaneous voltage sag or swells [2.25]. The input current harmonic content and the voltage transfer ratio limits were determined analytically for different operational conditions [2.26]-[2.28]. Also the input current harmonic content is dependent on the input current modulation strategy employed to control the matrix converter.

In [2.29], the input imbalanced problem was overcome by taking into account the input/output power balance equation. It was implemented in a max-mid-min modulation algorithm which improved the input current quality without output performance degradation. In [2.30], a direct feed-forward unbalanced control method was proposed
for a dual-bridge matrix converter topology. The input voltages are detected and then used to adjust the switching function of the line side converter. However, the input currents are slightly distorted and the method is effective only if the locus of the output voltage vector fits within the input voltage outer locus.

### 2.1.6 Protection and diode clamping circuitry

The matrix converter needs protection against overvoltage and overcurrent. A clamp circuit first used for protection purposes consisted of a fast recovery diode rectifier bridge and a capacitor, which provided safe converter shutdown during overcurrent on the output side or voltage disturbances on the input side [2.31], [2.32]. Another approach was to dissipate the energy of inductive currents in varistors and in the semiconductors by employing active gate drives [2.33].

### 2.2 Flexible AC Transmission Systems (FACTS)

Developed by Hingorani [2.34], FACTS devices are based on power electronic controllers that improve the capacity of transmission lines. These devices control the transferred power through a transmission line by appropriately compensating network parameters, such as line series impedance, line shunt impedance, current, voltage, and real and reactive power. FACTs allow transmission lines to operate much closer to their thermal limits.

### 2.2.1 Basic relationships for power transmission

This review of the basic relationships for power transmission, for brevity, is limited to the two-machine model shown in Figure 2.9. This model includes the sending-end generator with voltage phasor $V_{s}$, the receiving-end generator with voltage phasor $V_{R}$, and the transmission line reactance $X_{s}$ (neglecting the resistance part of the impedance for simplicity). The magnitudes of the sending and the receiving end voltages are assumed equal to $V$ and the phase shift between the two phasors is $\delta$. The power transmitted between the sending-end and receiving-end generators is then obtained from the relationship:

$$
P=\frac{V^{2}}{X_{s}} \sin \delta
$$



Fig. 2.9 Simple power system model

As equation 2.28 indicates, the transmitted power is a function of:

- the transmission line impedance;
- the magnitude of the sending and receiving end voltages; and
- the phase angle between these voltages.

The objective of dynamic transmission system compensation is to control these parameters. The generalized power flow controller is an ideal device capable of varying the three transmission parameters (voltage, impedance, and phase angle) by appropriate series reactive compensation, shunt reactive compensation, and phase shifting.


Fig.2.10 Simple power system model including the generalized power flow controller

### 2.2.2 Types of FACTS controllers

FACTS controllers can be categorized into four groups:

- series controllers;
- shunt controllers;
- phase angle shifters controllers; and
- combined series-shunt controllers.


## i. Principles of Series Controllers

A series controller may be regarded as variable capacitive reactance inserted at the transmission line mid point in order to reduce the overall line reactance[2.33]-[2.35]. This is achieved by injecting an appropriate voltage phasor in series with the mid-line point of the transmission line. The degree of series compensation is defined by the coefficient $k(0<k<1)$.


Fig. 2.11 Simple power system model with series capacitive compensation
From the phasor diagram shown in fig. 2.11, the series capacitive compensation leads to an increment in the current flow through the line, hence an increment in the power flow. The $P$ versus $\delta$ relationship becomes:

$$
P_{2}=\frac{V^{2}}{X_{s}(1-k)} \sin \delta
$$

Examples of such controllers are the Static Synchronous Series Compensator (SSSC), the Thyristor-Switched Series Capacitor (TSSC), and the Thyristor-Controlled Series Reactor (TCSR), to cite a few. They can be effectively used to control current and power flow in the system and to damp system oscillations.

## ii. Principles of Shunt Controllers

In order to obtain a flat voltage profile, or in other words, a constant voltage magnitude along the transmission line, it is necessary to introduce shunt capacitive compensation distributed along the line to compensate the voltage drop at every point. This is impractical. However, the usual method is to provide compensation at the mid point. Shunt controllers inject current into the system at the connection point [2.33]-[2.35]. If the injected current is in phase quadrature with the line voltage, the controller adjusts
reactive power. This could be implemented by assuming that $V_{p q}=0$ and $I_{q}=-j$ $\left(4 V / X_{s}\right)\left(1-\cos \frac{1}{2} \delta\right)$.


Fig. 2.12 Simple power system model for shunt compensation

For this case of ideal mid-point compensation, the $P$ versus $\delta$ relationship can be written as:

$$
P_{3}=2 \frac{V^{2}}{X_{s}} \sin \frac{1}{2} \delta
$$

Examples of such systems are the Static Synchronous Generator (SSG) and the Static VAr Compensator (SVC). They can be used as an effective way to control the voltage at and around the point of connection by injecting active or reactive current into the system.

## iii. Controllers with phase angle control

In this case, $I_{q}$ is set to zero and $V_{p q}$ equals $\pm j V_{M}$ tan $\alpha$, or in other words, a voltage $V_{p q}$ with amplitude $\pm V_{M} \tan \alpha$ is added in quadrature to the mid-point voltage $V_{M}$ [2.33][2.35]. The phase-shifter maintains the transmitted power at its peak for angle $\delta$ exceeding $\pi / 2$ (the peak power angle) by controlling the amplitude of the quadrature voltage $V_{p q}$ so that the effective phase angle $\delta-\alpha$ between the sending and receiving end voltages is held at $\pi / 2$. Thus it can increase the stability limit. In this way, the actual transmitted power may be increased significantly, even though the phase-shifter does not increase the steady-state power transmission limit.

Considering $\delta-\alpha$ as the effective phase angle between the sending and receiving end voltages, then the transmitted power $P$ can be expressed as follows:

$$
P_{4}=\frac{V^{2}}{X_{s}} \sin (\delta-\alpha)
$$



Fig. 2.13 Simple power system model for phase angle control

## iv. Combined Series-Shunt Controller Principles

In a combined series-shunt controller, the shunt component injects a current into the system while the series component interposes a series voltage. When these two elements are unified, real power can be exchanged between the two via the power link. Examples of such controllers are the unified power flow controllers (UPFC) and the ThyristorControlled Phase-Shifting Transformer (TCPST). Both make use of the advantages of series and shunt controllers, hence facilitate effective and independent power/current flow and line voltage control.

### 2.2.3 Unified Power Flow Controller (UPFC)

The UPFC is the most versatile FACTS controller, with capabilities of voltage regulation, series compensation, and phase shifting. The UPFC is a member of the family of compensators and power flow controllers. The latter utilizes the synchronous voltage source (SVS) concept to provide unique comprehensive transmission system control [2.36]-[2.38]. The UPFC is able to control simultaneously or selectively all the parameters affecting power flow patterns in a transmission network, including voltage magnitudes and phases, and real and reactive powers. These capabilities make the UPFC a powerful device in present day transmission and control systems.

## i. Basic Operating Principles

As illustrated in Fig 2.14, the UPFC is a generalized SVS, represented at the fundamental frequency by controllable voltage phasor of magnitude $V_{p q}$ and angle $\rho$
injected in series with the transmission line. The angle $\rho$ can be controlled over the range 0 to $2 \pi$. For the system shown in Fig 2.15, the converters exchange both real and reactive power with the transmission system. In the UPFC, the real power supplied to or absorbed from the system is provided by one of the end buses to which it is connected. This meets the objective of the UPFC to control power flow rather than increasing the generation capacity of the system.


Fig. 2.14 Simple power system model including the unified power flow controller

As shown in Fig 2.15, the UPFC consists of two back-to-back voltage-source converters, one connected in series and the other connected in shunt. This configuration facilitates the free flow of real power in either direction between the ac terminals of the two converters while enabling each converter to independently generate or absorb reactive power at its ac terminals.


Fig. 2.15 Schematic diagram of a UPFC with back to back converters

The main function of the UPFC is performed by VSC2. It injects a series voltage with controllable magnitude $V_{p q}$ and phase $\rho$ via a series insertion transformer. This injected voltage phasor supplies real and reactive power exchange between the line and converter. The reactive power is generated locally while the real power is converted into dc power and appears on the inverters' interposing dc link as a positive or negative real power demand.

The shunt converter VSC1 supports the real power exchange need for the series voltage injection. It converts the dc power demand of VSC2 into ac and couples into the transmission line via a shunt connected transformer. VSC1 can also generate or absorb reactive power in addition to catering to the real power needs of VSC2; as a result, it provides shunt reactive compensation for the line.
It is to be noted that the reactive power exchanged is generated internally, hence this reactive power is independent of that exchanged between VSC2 and the line.

The matrix converter could be used instead of the two back to back converters, as shown in fig. 2.16 [2.39], [2.40].


Fig. 2.16 Schematic diagram of a UPFC using a matrix converter

## ii. UPFC Capabilities

The UPFC can accomplish the tasks of reactive shunt compensation, series compensation, and phase angle regulation. Thus it can meet several control objectives by introducing a series voltage with controllable amplitude and phase angle. The basic UPFC functions are

- Series reactive compensation by the series injection of voltage, hence the ability to control the transmitted power;
- Voltage regulation by controlling the reactive power of the shunt converter;
- Phase-shifting control that is achieved by injecting a voltage phasor with any particular angular relationship to the terminal voltage. In other words, the desired phase shift can be obtained without any change in the voltage magnitude; and
- Multifunction power flow control by simultaneously performing the above functions.


## iii. Control and Dynamic Performance

The UPFC has the capability to introduce a controlled voltage phasor in series with the line to perform the required function. It can not only set up an operating point within a wide range of possible $P$ and $Q$ flows on the line but can also relocate that operating point.

## a. Control of the Series Converter

The series voltage supplied by the series converter can be controlled. This converter has four operating modes:
i. voltage injection mode:

A voltage is generated with the magnitude and phase required by the reference input.

## ii. line impedance compensation mode:

The magnitude of the injected voltage is controlled according to the magnitude of the line current such that the series injection emulates the reactive impedance, when viewed from the line.

## iii. phase angle shift mode:

The injected voltage is controlled with respect to the reference input so that the output bus voltage phasor is shifted by a specific angle.
iv. automatic power flow control mode:

The magnitude and angle of the injected voltage phasor is controlled so as to adjust the line current to achieve the required real or reactive power flow.

## b. Control of the Shunt Converter

The shunt converter draws a controlled current phasor from the line, the real part of which is determined by the real power requirement of the series converter while the reactive part can be set to any desired level within the converter's capability.

The shunt part of the UPFC has two modes of operation:

## $i$ - Reactive power control:

The reference input is an inductive or capacitive VAr demand. This demand is translated into a corresponding shunt current demand by the shunt converter, which adjusts the gating of the converters to establish the desired current.

## ii- Automatic voltage control:

The shunt converter reactive current is automatically regulated to maintain the transmission line voltage at the point of connection.

### 2.3 Embedded Generation

Today's electrical power systems typically connect a few quite large generators to a large number of small and widely distributed loads. For several reasons, future generation in the UK and elsewhere will probably include many more units of rather smaller size and much closer to the customers end. This is called embedded generation (EG). Drivers to this new network configuration include the need of electricity market liberalization, the efficiency improvements of small-scale generation units, and the aim to reduce $\mathrm{CO}_{2}$ emission.

Embedded generation can offer many benefits to the electric grid network [2.42]. To make best use of these benefits, EG units have to be connected at appropriate locations and have suitable capabilities [2.43]. On the other hand, some EG units, such as solar and wind, are weather dependant which means that there is no guarantee that they can meet all the necessary operational conditions unless huge storage units are used. Some questions related to power quality, proper system operation, and network protection should be answered before these units are connected to power systems.

This section gives a brief summary of EG units and discusses their possible impact on transmission and distribution networks.

### 2.3.1 Definition of embedded generation

EG is defined as the integrated or stand-alone utilization of small, modular electric generation near the end-user terminals [2.45]. Another generic definition assigns the EG definition as any generation utilized near consumers in spite of the size or the type of the unit [2.44], [2.46]. According to the latter definition, EG may comprise any generation integrated into the distribution or transmission system, commercial and residential back-up generation, stand-alone onsite generators, and generators installed by the utility for voltage support or other reliability purposes.

### 2.3.2 Benefits of utilizing EG units

In many applications, EG technology can provide valuable benefits for both the consumers and the electric system [2.42]-[2.50]. The small size of EG units encourages their utilization in a wide range of applications. The location of EG units in distribution systems may reduce energy losses but delays upgrades to both transmission and distribution networks.

The main advantages of utilizing EG can be summarized as follows:

- Reduced grid costs: Embedded generation can cut utility costs by postponing the need for upgrading distribution and transmission facilities, if generators are located where the grid is constrained.
- Improved reliability: A large number of small units is more reliable than a small number of large units. This is because a failure of any one unit has far less impact.
- Reduced environmental impact: Some types of EG produce power with less environmental impact than conventional generation.
- Reduced investment risk: Smaller, more modular units require less project capital and less lead-time than large power plants. Power plant additions normally exceed demand, leaving extensive amounts of capacity unused until demand catches up. Smaller units can better match gradual increases in demand. Other benefits of embedded generation are:
- Distributed energy makes energy systems more secure from terrorist attack.
- Generating power where it is needed decreases the losses over transmission lines.
- Small generating equipment can more readily be resold or moved to a better location.
- Sale of power is a potential profit centre for businesses.
- Increasing the number of suppliers selling energy and capacity increases competition and stems market monopoly.


### 2.3.3 Impact of EG on the transmission network

The installation of EG should lower transmission losses, hence increase system efficiency. Additionally, upgrades and construction of new transmission lines can be avoided if the bulk of the EG units are introduced at the end of existing transmission lines. Consequently, the pressure on transmission companies to build new lines decreases.

### 2.3.4 Impact of EG on the distribution network

The extensive use of EG units in power systems may influence both grid steady state and transient performance. Some concerns of importance are:

## a-Network voltage changes

The voltage regulation concept depends on radial power flow from substations to loads. The use of EG units could cause electrical power to flow in a different manner, distorting the usual concept.

## b- Power quality

High power quality requires appropriate voltage and frequency levels at the customer point. EG units have to operate effective controllers to maintain both voltage and frequency within standard levels. In addition to the level itself, the voltage contents of flicker and harmonics have to be minimized.

## c- Protection system for the distribution network

Distribution networks are conventionally designed for unidirectional power flow, which means power is normally from the higher voltage level to customers located along radial feeders [2.51]. EG implementation affects protection, necessitating vital alterations to protection coordination [2.49].
The contribution of a small EG unit minimally influences the system short circuit current level. Yet a number of small EG units or a single large unit could cause a
considerable variation in short circuit currents sensed by the protective devices [2.52]-[2.53].

After an unexpected loss of grid connection, some network parts containing EG units may continue to operate as an island [2.49]. This mode of operation is unwanted since the reconnection and synchronization of the islanded part to the network will be complicated [2.54].

## References:

[2.1] L. Gyugi and B. Pelly, 'Static Power Frequency Changers: Theory, Performance and Applications'. New York: Wiley, 1976.
[2.2] A. Alesina and M.B. Venturini, 'Solid-state Power Conversion: A Fourier Analysis Approach to Generalized Transformer Synthesis'. IEEE Trans. Circuits Syst., Volume: CAS-28, pp. 319-330, Apr. 1981.
[2.3] A. Alesina and M.B. Venturini, 'Intrinsic Amplitude Limits and Optimum Design of 9-Switches Direct PWM AC-AC Converters', in 19th Power Electronics Specialists Conf. Rec., 1988, Volume: 2, pp. 1284-1291.
[2.4] A. Alesina and M.B. Venturini, 'Analysis and Design of OptimumAmplitude Nine Switch Direct AC-AC Converters', IEEE Trans. Power Elec., Volume: 4. Issue: 1, pp. 101-112, Jan. 1989.
[2.5] J. Rodriguez, 'A new control technique for AC-AC converters,' Proc. IFAC Control in Power Electronics and Electrical Drives Conf., Lausanne, Switzerland, 1983, pp. 203-208.
[2.6] L. Huber and D. Borojevic, 'Space Vector Modulator for Forced Commutated Cycloconverters', Industry Applications Society Annual Meeting, 1989, Conf. Rec. 1989 IEEE, Volume: 1, pp. 871-876.
[2.7] L. Huber, D. Borojevic and N. Burány, ‘Voltage Space Vector Based PWM Control of Forced Commutated Cycloconverters', Industrial Electronics Society 1989. IECON'89, 15th Annual Conf. IEEE 1989, Volume: 1, pp. 106-111.
[2.8] L. Huber, D. Borojevic and N. Burány, 'Digital Implementation of the Space Vector Modulator for Forced Commutated Cycloconverters', Power Electronics and Variable-Speed Drives 1991, Fourth International

Conference 1990, pp. 63-68.
[2.9] L. Huber and D. Borojevic, 'Space Vector Modulation With Unity Input Power Factor For Forced Commutated Cycloconverters', Industry Applications Society Annual Meeting 1991, Conf. Rec. 1991 IEEE, Volume: 1, pp. 1032-1041.
[2.10] L. Huber and D. Borojevic, 'Space Vector Modulated Three-Phase to Three-Phase Matrix Converter with Input Power Factor Correction', IEEE Trans. Industry Appl., Volume: 31, Issue: 6, pp. 1234-1246, Nov. /Dec. 1995.
[2.11] P. Nielsen, F. Blaabjerg, and J. K. Pedersen, 'Space vector modulated matrix converter with minimized number of switchings and a feedforward compensation of input voltage unbalance', Proc. IEEE International Conference on Power Electronics, Drives and Energy Systems for Industrial Growth, Volume: 2, 1996, pp. 833-839.
[2.12] N. Burany, 'Safe control of four-quadrant switches,' in Conf. Rec. IEEEIAS Annu. Meeting, Volume: 1, 1989, pp. 1190-1194.
[2.13] L. Zhang, C. Wathanasarn, and W. Shepherd, 'Switch sequencer circuit for safe commutation of a matrix converter,' Electronics Letters, Volume: 31, pp. 1530-1532, Aug. 1995.
[2.14] J. Youm, and B. Kwon, 'Switching technique for current-controlled AC-to-AC converters,' IEEE Transactions on Industrial Electronics, Volume: 46, pp. 309-318, April 1999.
[2.15] P. W. Wheeler et al., 'Gate drive level intelligence and current sensing for matrix converter current commutation, IEEE Trans. on Industrial Electronics, Volume: 49, pp. 382-389, April 2002.
[2.16] L. Wei, and T. A. Lipo, 'A novel matrix converter topology with simple commutation,' Conf. Rec. IEEE-IAS Annu. Meeting, Volume: 3, 2001, pp.1749-1754.
[2.17] M. Hornkamp et al. 'Economac, the first all-in-one IGBT module for matrix converters,' Proc. Drivers and Controls and Power Electronics Conf., London, U.K, 2001, pp. 35-39.
[2.18] S. Bernet, T. Matsuo, and T. A. Lipo, 'A matrix converter using reverse
blocking NPN-IGBTs and optimized pulse patterns, Proc. IEEE PESC'96, Volume: 1, 1996, pp. 107-113.
[2.19] Motto, E.R. et al., ‘Application characteristics of an experimental RBIGBT (reverse blocking IGBT) module', Industry Applications Conference, 2004. $39^{\text {th }}$ IAS Annual Meeting. Conference Record of the 2004 IEEE, Volume 3, 3-7 Oct. 2004 pp.1540-1544.
[2.20] Naito, T., et al., ' $\mathbf{1 2 0 0 V}$ reverse blocking IGBT with low loss for matrix converter', Power Semiconductor Devices and ICs, 2004. Proceedings. ISPSD '04. The 16th International Symposium, 24-27 May 2004 pp.125-128.
[2.21] K. Sun et al., 'Performance Improvements for Matrix Converter Based on Reverse Blocking IGBT', Power Electronics Specialists Conference, 2006. PESC '06. 37th IEEE 18-22 June 2006 pp.1-6.
[2.22] M. Takei, T. Naito, and K. Ueno, 'The reverse blocking IGBT for matrix converter with ultra-thin wafer technology,' Proc. IEEE ISPSD’03, 2003, pp. 156-159.
[2.23] Klumpner, C.; Blaabjerg, F.; 'Using reverse-blocking IGBTs in power converters for adjustable-speed drives', Industry Applications, IEEE Transactions on Volume 42, Issue 3, May-June 2006 pp. 807-816.
[2.24] P. N. Enjeti, and X. Wang, 'A critical evaluation of harmonic generated by forced commutated cycloconverters under unbalance,' Proc. IEEE IECON'90, Volume: 2, 1990, pp. 1162-1166.
[2.25] S. Kwak, R. M. O. Dabbousi, and H. A. Toliyat, ‘An instantaneous compensation technique for supply disturbances in direct frequency converters,' Proc. IEEE IECON'02, Volume: 2, 2002, pp. 956-960.
[2.26] D. Casadei, G. Serra, and A. Tani, 'Reduction of the input current harmonic content in matrix converters under input/output unbalance,' IEEE Trans. On Industrial Electronics, Volume: 45, pp. 401-411, June 1998.
[2.27] D. Casadei, G. Serra, and A. Tanl, 'A general approach for the analysis of the input power quality in matrix converters,' Proc. IEEE PESC'96, Volume: 2, 1996, pp. 1128-1134.
[2.28] F. Blaabjerg, et al., 'Comparison of two current modulation strategies for matrix converters under unbalanced input voltage conditions,' IEEE

Trans. on Industrial Electronics, Volume: 49, April 2002 pp. 289- 296.
[2.29] J. Kang, et al., 'The matrix converter drive performance under abnormal input voltage conditions,' IEEE Trans. on Power Electronics, Volume: 17, Sept. 2002, pp. 721-730.
[2.30] W. Lixiang, Y. Matsushita, and T. A. Lipo, 'Investigation of dual-bridge matrix converter operating under unbalanced source voltages,' Proc. IEEE PESC'03, Volume: 3, 2003, pp. 1293-1298.
[2.31] C. L. Neft, and C. D. Schauder, 'Theory and design of a 30-hp matrix converter', Conf. Rec. IEEE-IAS Annu. Meeting, Volume: 1, 1988, pp. 934939.
[2.32] Nielsen, P., Blaabjerg, F., and Pedersen, J.K., 'Novel solutions for protection of matrix converter to three phase induction machine', Industry Applications Conference, 1997. $32^{\text {nd }}$ IAS Annual Meeting, IAS '97, Conference Record of the 1997 IEEE, Volume: 2, 5-9 Oct. 1997, pp. 14471454.
[2.33] J. Mahlein, and M. Braun, 'A matrix converter without diode clamped overvoltage protection,' Proc. IEEE PIEMC'00, Volume: 2, 2000, pp. 817822.
[2.34] Hingorani, N.G., 'High Power Electronics and flexible AC Transmission System', Power Engineering Review, IEEE, Volume: 8 Issue: 7 , July 1988, 3-4.
[2.35] Gyugyi, L., et al. 'The unified power flow controller: a new approach to power transmission control', Power Delivery, IEEE Transactions, Volume:10, Issue:2, April 1995 pp. 1085-1097.
[2.36] Gyugyi, L., et al, 'Understanding FACTS: Concepts and Technology of Flexible AC Transmission Systems', John Wiley \& Sons Inc (10 Dec 1999), ISBN-10: 0780334558
[2.37] K. Schoder, 'Analysis and Robust Decentralized Control of Power Systems Using FACTS Devices', PhD thesis, Morgantown, West Virginia 2002.
[2.38] L. M. Tolbert, 'Power electronics for distributed energy systems and transmission and distribution applications', The University of Tennessee-

Knoxville and U.S. Department of Energy, December 2005.
[2.39] Boon-Teck Ooi; Kazerani, M, 'Unified power flow controller based on matrix converter', Power Electronics Specialists Conference, 1996. PESC ' 96 Record., 27th Annual IEEE, Volume: 1, 23-27 June 1996, 502-507.
[2.40] Strzelecki R., et al. 'UPFC with matrix converter', $9^{\text {th }}$ European Conference on Power Electronics and Applications- EPE 2001. Graz 2001.
[2.41] Sangshin K., 'Design and analysis of modern three phase AC/AC power converters for ac drives interface', PhD thesis, Texas A\&M University, May 2005.
[2.42] C. Timpe, Öko-Institut, M.J.J. Scheepers, ECN, 'A look into the future: Scenario for distributed generation in Europe', December 2003.
[2.43] A. Collinson et al., 'Solutions for the connections and operation of distributed generation', Prepared under the DTI New \& Renewable Energy Programme July 2003.
[2.44] Peter A. Daly and Jay Morrison, 'Understanding the potential benefits of distributed generation on power delivery systems' Rural Electric Power Conference, 29 April-1 May 2001, pp. A2-1-A2-13, Little Rock, Arkansas, USA.
[2.45] M. Begovic et al., 'Impact of renewable distributed generation on power systems', System Sciences, 2001, Proceedings of the $34^{\text {th }}$ Annual Hawaii International Conference on, Jan. 3-6, 2001, pp. 654- 663, Maui, Hawaii.
[2.46] T. Ackermann and V. Knyazkin, 'Interaction between distributed generation and the distribution network: operation aspect' IEEE/PES Transmission and Distribution Conf. and Exhibition 2002: Asia Pacific, Volume: 2, 6-10 Oct. 2002, pp. 1357-1362, Yokohama, Japan.
[2.47] Bruno Delfino, 'Modelling of the integration of distributed generation into the electrical system' Power Engineering Society Summer Meeting, 2002 IEEE, Chicago USA, Volume: 1, 21-25 July 2002, pp. 170-175.
[2.48] P. P. Barker and R. W de Mello, 'Determining the impact of distributed generation on power systems: Part I. Radial distribution systems' Power Engineering Society Summer Meeting, Seattle, Washington, USA, 2000 IEEE, Volume: 3, 16-20 July 2000, pp. 1645-1656.
[2.49] Stan Blazwicz and David Kleinschmidt, 'Distributed Generation: System Interfaces' White paper prepared by Arthur D. Little, Cambridge, MA. 1999, http://www.encorp.com/dwnld/pdf/whitepaper/wp_ADL_2.pdf.
[2.50] P. P. Barker and B. K. Johnson, 'Power system modeling requirements for rotating machine interfaced distributed resources' Power Engineering Society Summer Meeting, 2002 IEEE, Chicago, USA, Volume: 1, 21-25 July 2002, pp. 161-166.
[2.51] Kauhaniemi, K., Kumpulainen, L.: 'Impact of distributed generation on the protection of distribution networks'. Developments in Power System Protection, IEE International Conference, 5-8 April 2004, Amsterdam, pp. 315-318.
[2.52] N. Nimpitiwan, G. Heydt, 'Consequences of Fault Currents Contributed by Distributed Generation', Power Systems Engineering Research Centre, Arizona State University, June 2006.
[2.53] A. Narang, 'Impact of large scale distributed generation penetration on power system stability', Chad Abbey Natural Resources Canada, March 2003.
[2.54] V. V. Menon, 'A new islanding detection technique for distributed generation', Master of science, Montana state university, January 2006.

## CHAPTER THREE

## A Novel Control Technique for The Matrix Converter

The matrix converter is a direct frequency conversion device with high input power quality and regeneration capability. In this chapter, a novel technique for controlling the matrix converter output current, hence the output power is introduced. Not only can sinusoidal output currents be obtained but any output current waveform is possible even if the input voltage is slightly distorted or unbalanced. Like the space vector modulation technique, the method is based on separating the operation of the conventional matrix converter into two virtual stages: the rectifier stage and the inverter stage as shown in Fig. 3.1.


Fig 3.1 Back to back rectifier and inverter virtual stages.

### 3.1 Control technique [3.1]-[3.8]

A simple control technique for the operation of the matrix converter can be based on current feedback control combined with control of the input displacement angle $\phi_{i}$. The operation of the matrix converter is separated into two stages: an output inverter stage and an active input rectifier stage. The inverter stage is responsible for controlling the output currents ( $i_{a}, i_{b}$ and $i_{c}$ ) while input displacement angle control is achieved by the active rectifier stage. Each stage generates a matrix. The inverter matrix indicates the switch states that control the output currents for a conventional VSI by any current controller. The rectifier matrix represents the switch states that control the input displacement angle. Subsequently, the unified matrix converter switch configuration can be realised from the resulting matrices using logic circuitry.

### 3.1.1 The inverter stage

The inverter stage is considered first since the rectifier stage is partially dependant on the inverter stage as will be explained in the rectifier stage section. The main objective of the inverter control stage is to determine the switching states of the inverter switches $S_{7}$ to $S_{12}$, which are shown in Fig. 3.2. This is similar to a normal inverter used to produce any output current waveform for any phase number using any current control technique. In other words, it is not essential to use space vector modulation to generate a sinusoidal output current.


Fig 3.2 Output inverter stage diagram.

For simplicity, a three-phase system and a ramp comparator current controller scheme (triangular carrier) are used for the explanation of the inverter stage controller. The inputs to the controller are the difference error between the output current commands $i_{a}{ }^{*}, i_{b}{ }^{*}$ and $i_{c}{ }^{*}$ and the feedback output currents $i_{a}, i_{b}$ and $i_{c}$ as shown in Fig. 3.3. In the simplest form, each controller output ( $u_{a}, u_{b}$ or $u_{c}$ ) will be a function of the input references and the actual currents.

$$
\begin{align*}
& u_{a}=f\left(i_{a}^{*}, i_{a}\right) \\
& u_{b}=f\left(i_{b}^{*}, i_{b}\right) \\
& u_{c}=f\left(i_{c}^{*}, i_{c}\right)
\end{align*}
$$

The output reference voltages of the inverter controller are responsible for generating the switching signals, $S_{7}(t), S_{8}(t) \ldots \ldots S_{12}(t)$, by comparing these voltages with the carrier. Up to four different inverter matrices from a possible eight are generated during every sampling cycle depending on the magnitude of the controller outputs.
Fig 3.4 represents the distribution of these matrices during one sampling period for the case $\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}$ ( $\hat{U}$ is the peak of the carrier). Each inverter matrix $I_{I}$ is one of the eight matrices shown in table 3.1.


Fig 3.3 The inverter stage block diagram.


Fig 3.4 The inverter output matrices for the case $\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}$.

|  | $I_{0}$ | $I_{1}$ | $I_{2}$ | $I_{3}$ | $I_{4}$ | $I_{5}$ | $I_{6}$ | $I_{7}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Inverter | $\left[\begin{array}{ll}S_{7} & S_{8} \\ S_{9} & S_{10} \\ S_{11} & S_{12}\end{array}\right]$ | $\left[\begin{array}{ll}1 & 0 \\ 1 & 0 \\ 1 & 0\end{array}\right]$ | $\left[\begin{array}{ll}1 & 0 \\ 0 & 1 \\ 0 & 1\end{array}\right]$ | $\left[\begin{array}{ll}1 & 0 \\ 0 & 1 \\ 1 & 0\end{array}\right]$ | $\left[\begin{array}{ll}0 & 1 \\ 0 & 1 \\ 1 & 0\end{array}\right]$ | $\left[\begin{array}{ll}0 & 1 \\ 1 & 0 \\ 1 & 0\end{array}\right]\left[\begin{array}{ll}0 & 1 \\ 1 & 0 \\ 0 & 1\end{array}\right]\left[\begin{array}{ll}1 & 0 \\ 1 & 0 \\ 0 & 1\end{array}\right]\left[\begin{array}{ll}0 & 1 \\ 0 & 1 \\ 0 & 1\end{array}\right]$ |  |  |

Table 3.1 Inverter matrices corresponding to the different inverter switching states.

The corresponding output voltage expressions and the DC link current can be calculated using equations 3.2 and 3.3

$$
\begin{gathered}
{\left[\begin{array}{c}
v_{a} \\
v_{b} \\
v_{c}
\end{array}\right]=\left[\begin{array}{ll}
S_{7} & S_{8} \\
S_{9} & S_{10} \\
S_{11} & S_{12}
\end{array}\right] \cdot\left[\begin{array}{l}
v_{D C+} \\
v_{D C-}
\end{array}\right]} \\
{\left[\begin{array}{c}
i_{D C+} \\
i_{D C-}
\end{array}\right]=\left[\begin{array}{lll}
S_{7} & S_{9} & S_{11} \\
S_{8} & S_{10} & S_{12}
\end{array}\right]\left[\begin{array}{c}
i_{a} \\
i_{b} \\
i_{c}
\end{array}\right]}
\end{gathered}
$$

The relation between the output values (voltages and currents) and the virtual dc link values for the different switching states are summarized in table 3.2

| $\left[\begin{array}{cc}S_{1} & S_{8} \\ S_{9} & S_{10} \\ S_{11} & S_{12}\end{array}\right]$ |  | $V_{a b}$ | $V_{b C}$ | $V_{c a}$ | $V_{o}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | $I_{D C}$ |  |  |
| $I_{1}$ | $V_{D C}$ | 0 | $-V_{D C}$ | $k v_{D C}$ | $\pi / 6$ | $i_{a}$ |
| $I_{2}$ | $V_{D C}$ | $-V_{D C}$ | 0 | $k v_{D C}$ | $-\pi / 6$ | $-i_{b}$ |
| $I_{3}$ | 0 | $-V_{D C}$ | $V_{D C}$ | $k v_{D C}$ | $--\pi / 2$ | $i_{c}$ |
| $I_{4}$ | $-V_{D C}$ | 0 | $V_{D C}$ | $k v_{D C}$ | $-5 \pi / 6$ | $-i_{a}$ |
| $I_{5}$ | $-V_{D C}$ | $V_{D C}$ | $V_{D C}$ | $k v_{D C}$ | $5 \pi / 6$ | $i_{b}$ |
| $I_{6}$ | 0 | $V_{D C}$ | $-V_{D C}$ | $k v_{D C}$ | $\pi / 2$ | $-i_{c}$ |
| $I_{0}$ or $I_{7}$ | 0 | 0 | 0 | 0 | 0 | 0 |

Table 3.2 Possible switch state combinations of the inverter

The tips of the reference voltage signals form a hexagon as shown in Fig. 3.5. The converter can only produce voltages that are within this hexagon. Knowing that the voltage vector length is $k v_{D C}$, the maximum length of the reference voltage is $k v_{D C} \cos 30^{\circ}$.


Fig. 3.5 Voltage vectors for a 2 level VSI.

This limit is reached when any of the reference voltage peaks are equal to the peak of the carrier signal, and is termed unity modulation index $(m=l)$. If the peak of the reference signal is larger than the peak of the carrier signal, over-modulation occurs, which creates low frequency current harmonics. This effect is reduced if an offset voltage $u_{x}$ is subtracted from the reference voltage signals:

$$
\begin{align*}
& u_{a a}=u_{a}-u_{x} \\
& u_{b b}=u_{b}-u_{x} \\
& u_{c c}=u_{c}-u_{x}
\end{align*}
$$

Although the phase reference voltages are no longer sinusoidal as shown in Fig. 3.6, the load voltages remain sinusoidal. In order to optimize the modulation, $u_{x}$ is selected such that the reference signals are symmetrical as in the following equation

$$
u_{x}=\frac{\max \left(u_{a}, u_{b}, u_{c}\right)+\min \left(u_{a}, u_{b}, u_{c}\right)}{2}
$$



Fig. 3.6 Modified command signal for phase a, by adding $u_{x}=\frac{\max \left(u_{a}^{*}, u_{b}^{*}, u_{c}^{0}\right)+\min \left(u_{a}^{\cdot}, u_{b}, u_{c}^{0}\right)}{2}$

The reference signal adjustment can be obtained by logic circuitry as shown in Fig. 3.7. The voltage command signals are compared to determine their relative magnitudes. According to these levels the signals are multiplexed to determine the maximum and the minimum command signals (max, min). Then the offset signal is obtained by adding the (max and min) signals then divided by 2 (right shifted). The command signals are adjusted by adding this resulting offset value as shown in Fig. 3.8.

Referring to Fig. 3.6, it can be concluded that the modulation index can be reduced by a factor of 0.866 without altering the output voltage. Another benefit obtained is that the minimum and maximum values are equal in magnitude as shown in Fig. 3.9, which means that symmetrical PWM is obtained.


Fig. 3.7 Logic circuitry used to obtain the offset signal $u_{x}$.


Fig. 3.8 Adjusting the command signals by adding an offset $u_{x}$.


Fig. 3.9 Maximum and minimum boundaries of the command signals in:

> a- modified command signals, and
> b- original command signals.

### 3.1.2 The rectifier stage

The objective of the active rectifier stage shown in Fig. 3.10 is to control the switching states of switches $S_{1}$ to $S_{6}$ in order to control the input displacement angle, $\phi_{i}$. Normal sinusoidal modulation can be applied and the output signals are modified as in the inverter stage. This will lead to command signals similar to those from space vector modulation.


Fig. 3.10 Schematic diagram of the rectifier stage.

The time distribution $t_{\text {lrec }}, t_{2 \text { rec }}$ and $t_{\text {orec }}$ for the input current at a given angle $\delta_{i}$ (defined in equation 2.23), as shown in Fig. 3.11, can be expressed by

$$
\begin{gather*}
t_{\text {lrec }}=m_{\text {rec }} \cdot T_{s} \sin \left(\frac{\pi}{3}-\delta_{i}\right) \\
t_{2 \text { rec }}=m_{\text {rec }} \cdot T_{s} \sin \delta_{i} \\
t_{\text {orec }}=T_{s}-t_{\text {lrec }}-t_{2 \text { rec }} \\
m_{\text {rec }}=\frac{\left|I_{i}\right|}{i_{\text {DC }}} \\
\delta_{i}=\left(\theta+\phi_{i}\right)-(k-1)(\pi / 3) \\
\left.k=\text { floor }\left(\frac{\theta_{i}}{(\pi / 3}\right)\right)+1
\end{gather*}
$$

Where $\theta$ is the input voltage phase angle, $\phi_{i}$ is the controlled input displacement angle


Fig. 3.11 Input current vector for a 2 level CSI.

The equivalent command signal levels are

$$
\begin{gather*}
u_{\text {trec }}=m_{\text {rec }} \cdot \hat{U} \sin \left(\frac{\pi}{3}-\delta_{i}\right) \\
u_{\text {rrec }}=m_{\text {rec }} \cdot \hat{U} \sin \delta_{i} \\
u_{\text {orec }}=2 \hat{U}-u_{\text {Irec }}-u_{2 r e c}
\end{gather*}
$$

It should be noted that not all the sampling period is effective for the rectifier stage. The zero vectors of the inverter stage reduce the effective sampling period. In other words, no input displacement angle control can be achieved during the inverter zero vectors since no current is drawn during these intervals. Referring to Fig. 3.4, the equivalent time for the zero vectors $t_{x}$ can be expressed as

$$
t_{x}=T_{s}-\frac{T_{s}}{2 \hat{U}}(\hat{u}-\tilde{u})
$$

where

$$
\begin{aligned}
& \tilde{u}=\left\{\begin{array}{cc}
\min \left(u_{a a}, u_{b b}, u_{c c}\right) & u_{a a}, u_{b b} \text { and } u_{c c}>-\hat{U} \\
-\hat{U} & \text { otherwise }
\end{array}\right. \\
& \hat{u}=\left\{\begin{array}{cc}
\max \left(u_{a a}, u_{b b}, u_{c c}\right) & u_{a a}, u_{b b} \text { and } u_{c c}<\hat{U} \\
\hat{U} & \text { otherwise }
\end{array}\right.
\end{aligned}
$$

For the reference signal shown in Fig. 3.6, the equivalent time of the zero vectors with respect to the different angle positions of the output voltage command signals is presented in Fig. 3.12. It can be concluded that at unity modulation index, the maximum of this equivalent time is 0.133 pu .


Fig. 3.12 The equivalent time of the zero vectors with respect to the different angle positions of the output voltage commands at unity modulation index.

The effective sampling time for the rectifier stage is the difference between the inverter stage sampling time $T_{s}$ and the equivalent time for the zero vectors $t_{x}$. This time $T_{\text {seff }}$ can be expressed as

$$
T_{\text {seff }}=\frac{T_{s}}{2 \hat{U}}(\hat{u}-\stackrel{u}{u})
$$

The hexagon representing the input current vector will shrink by the ratio of $T_{s e f f} / T_{s}$ as shown in Fig. 3.13. The main objective of the rectifier stage is to control the input displacement angle, not the current magnitude. The latter is automatically determined and adjusted by the power balance of the system.

The time distribution $t_{\text {reec }} t_{\text {2rec }}$ and $t_{\text {orec }}$ for the input current at a given angle $\delta_{i}$ can be expressed by

$$
\begin{gathered}
t_{\text {lrec }}=m_{\text {rec }} \cdot T_{\text {seff }} \sin \left(\frac{\pi}{3}-\delta_{i}\right) \\
t_{2 \text { rec }}=m_{\text {rec }} \cdot T_{\text {seff }} \sin \delta_{i} \\
t_{\text {orec }}=T_{\text {seff }}-t_{\text {lrec }}-t_{2 \text { rec }} \\
m_{\text {rec }}=\frac{\left|I_{i}\right|}{i_{D C}}
\end{gathered}
$$



Fig. 3.13 Adjusted hexagon for the shown input current vector.

The equivalent command signal levels that should be used are

$$
\begin{gather*}
u_{\text {lrec }}^{*}=m_{\text {rec }} \cdot(\hat{u}-\hat{u}) \sin \left(\frac{\pi}{3}-\delta_{i}\right) \\
u_{2 \text { rec }}^{*}=m_{\text {rec }} \cdot(\hat{u}-\hat{u}) \sin \delta_{i} \\
u_{\text {orec }}^{*}=2 \hat{U}-u_{\text {lrec }}^{*}-u_{2 \text { rec }}^{*}
\end{gather*}
$$

These times can be converted into level values which are compared with the triangular carrier

$$
\begin{gather*}
u_{l}=\frac{1}{2} u_{\text {orec }}^{*} \\
u_{2}=u_{l \text { rec }}^{*}+u_{l} \\
u_{3}=u_{2 \text { rec }}^{*}+u_{2}
\end{gather*}
$$

Again the adjustment in the rectifier stage signals from the normal values is achieved by using logic circuitry as shown in Fig.3.14. Based on the available cited references, this is the first time the effect of the effective sampling time is taken into account.


Fig. 3.14 Logic circuitry used to adjust the values for the active rectifier stage.

Fig 3.15 represents the actual time distribution for the rectifier matrices for the case in which the input voltage lies in sector 1 .


Fig 3.15 The rectifier output matrices for the case when $V_{i}$ lies in sector 1 .

Up to four different rectifier matrices occur during every sampling period. Table 3.3 represents all the rectifier matrices possibilities for the different sector cases.

|  | $R_{l}$ | $R_{2}$ | $R_{3}$ | $R_{4}$ | $R_{5}$ | $R_{6}$ | $R_{0 a}$ | $R_{0 b}$ | $R_{0 c}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Rectifier Switch states | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 0 & 1\end{array}\right]$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right]$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 1 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 0 & 1 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ |

Table 3.3 Rectifier matrices corresponding to the different rectifier switching states.

The input currents and DC link voltages can be expressed in terms of the switch states, and the dc link currents and the input voltages as in 3.13 and 3.14:

$$
\begin{gather*}
{\left[\begin{array}{l}
i_{A} \\
i_{B} \\
i_{C}
\end{array}\right]=\left[\begin{array}{ll}
S_{1} & S_{2} \\
S_{3} & S_{4} \\
S_{5} & S_{6}
\end{array}\right]\left[\begin{array}{l}
i_{D C+} \\
i_{D C-}
\end{array}\right]} \\
{\left[\begin{array}{l}
v_{D C+} \\
v_{D C-}
\end{array}\right]=\left[\begin{array}{lll}
S_{1} & S_{3} & S_{5} \\
S_{2} & S_{4} & S_{6}
\end{array}\right]\left[\begin{array}{l}
v_{A} \\
v_{B} \\
v_{C}
\end{array}\right]}
\end{gather*}
$$

The relationship between the input values (voltages and currents) and the dc link values, are summarized in table 3.4

| $\left[\begin{array}{ll}\mathrm{S}_{1} & \mathrm{~S}_{2} \\ \mathrm{~S}_{3} & \mathrm{~S}_{4} \\ \mathrm{~S}_{5} & \mathrm{~S}_{6}\end{array}\right]$ | $i_{A}$ | $i_{B}$ | $i_{C}$ | $\overline{I_{i}}$ |  | $V_{D C}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | $\left\|\bar{I}_{i}\right\|$ | $\angle \bar{I}_{i}$ |  |
| $R_{1}$ | $I_{D C+}$ | $I_{D C-}$ | 0 | $k v_{D C}$ | $-\pi / 6$ | $V_{A B}$ |
| $R_{2}$ | $I_{D C+}$ | 0 | $I_{D C-}$ | $k v_{D C}$ | $\pi / 6$ | $V_{A C}$ |
| $R_{3}$ | 0 | $I_{D C+}$ | $I_{D C-}$ | $k v_{D C}$ | $\pi / 2$ | $V_{B C}$ |
| $R_{4}$ | $I_{D C-}$ | $I_{D C+}$ | 0 | $k v_{D C}$ | $5 \pi / 6$ | $V_{B A}$ |
| $R_{5}$ | $I_{D C .}$ | 0 | $I_{D C+}$ | $k v_{D C}$ | $-5 \pi / 6$ | $V_{C A}$ |
| $R_{6}$ | 0 | $I_{D C-}$ | $I_{D C+}$ | $k v_{D C}$ | $-\pi / 2$ | $V_{C B}$ |
| $R_{0 a}$ or <br> $R_{0 b}$ or <br> $R_{0 c}$ | 0 | 0 | 0 | 0 | 0 | 0 |

Table 3.4 Possible rectifier stage switch state combinations.

The quality of the output current from the inverter stage depends mainly on the robustness of the current controller and the virtual dc link voltage. The dc link voltage instantaneous value varies between $\pm v_{A B}, \pm v_{B C}, \pm v_{C A}$ and 0 . The average dc link voltage within any sector can be calculated from:

$$
V_{D C}=v_{l} \cdot m_{l}+v_{2} \cdot m_{2}
$$

$$
m_{l}=\sin \left(\frac{\pi}{3}-\delta_{i}\right) \cdot \frac{T_{s e f f}}{T_{s}}
$$

$$
v_{I}=\hat{V_{i L}} \cos \left(\delta_{i}+\phi\right)
$$

where

$$
m_{2}=\sin \delta_{i} \cdot \frac{T_{s e f f}}{T_{s}}
$$

$$
v_{2}=\hat{V_{i L}} \cos \left(\delta_{i}+\phi-\frac{\pi}{3}\right)
$$

which gives:

$$
\begin{align*}
V_{D C} & =\left(\hat{V_{i L}} \cos \left(\delta_{i}+\phi\right) \sin \left(\frac{\pi}{3}-\delta_{i}\right)+\hat{V_{i L}} \cos \left(\delta_{i}+\phi-\frac{\pi}{3}\right) \sin \delta_{i}\right) \cdot \frac{T_{\text {seff }}}{T_{s}} \\
& =\hat{V_{i L}} \cdot \frac{\sqrt{3}}{2} \cos \phi \cdot \frac{T_{s e f f}}{T_{s}}
\end{align*}
$$



Fig. 3.16 Input voltages in each sector for:
a- Zero displacement angle and
b- $\quad \phi$ displacement angle.


Fig. 3.17 The virtual dc link average voltage at unity modulation index and zero displacement angle for $f_{o}=80 \mathrm{~Hz}$.

By referring to figures 3.9 and 3.17 it can be proved that the virtual dc link voltage has the same shape as the maximum and minimum boundaries of the inverter stage. Also from equation 3.16, the average dc voltage is proportional to the effective sampling period. This means that at high output modulation indices (high $T_{s e f f}$ ) the
dc link voltage has a high value and the opposite at low modulation indices. This guarantees valid operation of the inverter stage if a robust controller is used.

Another factor affecting the dc voltage is the input phase angle measurement. It must be correctly estimated in order to properly control the input displacement angle, hence the virtual dc voltage. Frequency and phase angle estimation are performed by transforming the input voltage vectors to the $d-q$ frame. It is assumed that $\theta$ is the voltage vector angle, $\hat{\theta}$ is the estimated voltage vector angle, and that the grid voltage $E$ has only a quadrature component as shown in Fig. 3.18. For small angle estimation error, the error signal $\Delta$ between to the actual and the estimated voltage $\hat{E}$ vectors, can be written as

$$
\Delta=-\hat{E} \sin (\theta-\hat{\theta}) \approx-\hat{E}(\theta-\hat{\theta}) \approx-\hat{E} \frac{e_{D}}{e_{Q}}
$$



Fig. 3.18 Actual and estimated voltage vectors.
The error signal can be used to estimate both the estimated angular frequency $\hat{\omega}$ and the phase angle using gains $A$ and $B$ as follows [3.9]:

$$
\begin{gather*}
\frac{d \hat{\omega}}{d t}=A(\theta-\hat{\theta}) \approx-A \frac{e_{D}}{e_{Q}} \\
\frac{d \hat{\theta}}{d t}=\hat{\omega}+B(\theta-\hat{\theta}) \approx \hat{\omega}-B \frac{e_{D}}{e_{Q}} \\
\frac{d \hat{\omega}}{d t} \approx \frac{\omega_{k+1}-\omega_{k}}{T_{s}} \\
\frac{d \hat{\theta}}{d t} \approx \frac{\theta_{k+1}-\theta_{k}}{T_{s}}
\end{gather*}
$$

which lead to

$$
\begin{gather*}
\omega_{k+1} \approx \omega_{k}-A \frac{e_{D, k}}{e_{Q, k}} T_{s} \\
\theta_{k+1} \approx \theta_{k}+\omega_{k} T_{s}-B \frac{e_{D, k}}{e_{Q, k}} T_{s}
\end{gather*}
$$

Values of A, B were arrived at by trial and error $(A=1136, B=34)$, using the block diagram shown in Fig. 3.19 a. For a sinusoidal input voltage, the frequency estimator performs as shown in Fig. 3.19 b. The initial values for the angular frequency $\omega_{0}$ and phase angle $\theta_{0}$ are set at $314 \mathrm{rad} / \mathrm{sec}$ and zero rad respectively.

(a)

(b)

Fig. 3.19 Frequency estimator: (a) block diagram (b) steady state response.
But in the case of a flat-topped voltage source (a voltage source that contains fifth and seventh harmonics) the quadrature axis voltage $v_{q}$ will contain sixth order
harmonic components as shown in Fig. 3.20. The direct axis voltage will remain null in the case of constant frequency since the voltage vector is aligned with the quadrature axis. This is also the case with an unbalanced power supply, where the quadrature axis voltage will contain a second order harmonic. For these reasons, the quadrature voltage should be filtered in order to correctly estimate the fundamental supply frequency.


Fig. 3.20 Direct and quadrature axis voltages for a flat topped supply voltage. The phase angle estimator is responsible for generating the time distribution $t_{\text {lrec }}, t_{2 \text { rec }}$ and $t_{0 r e c}$ for the input current at a given angle $\delta_{i}$, hence the rectifier switch states.

### 3.1.3 Transforming from back-to-back converters to a matrix converter

The previous switching strategy can be applied directly to the sparse matrix converter shown in Fig. 3.21 [3.11]. The switching states will be the same as those obtained from the considered inverter and rectifier stages.


Fig. 3.21 Sparse matrix converter [3.11].

Where as considered matrix converter switching is needed for the conventional matrix converter shown in Fig. 3.22 since it is not constructed using a rectifier and an inverter,


Fig. 3.22 Conventional matrix converter.

From equations $3.2,3.3,3.13$, and 3.14 , the relationship between the matrix converter and the corresponding rectifier and inverter matrices is derived as in equation 3.21

$$
\begin{gathered}
T=\left[\begin{array}{lll}
S_{A a} & S_{B a} & S_{C a} \\
S_{A b} & S_{B b} & S_{B c} \\
S_{A c} & S_{B c} & S_{C c}
\end{array}\right]=\left[\begin{array}{ll}
S_{7} & S_{8} \\
S_{9} & S_{10} \\
S_{11} & S_{12}
\end{array}\right]\left[\begin{array}{lll}
S_{1} & S_{3} & S_{5} \\
S_{2} & S_{4} & S_{6}
\end{array}\right] \\
T=\left[\begin{array}{lll}
S_{7} S_{1}+S_{8} S_{2} & S_{7} S_{3}+S_{8} S_{4} & S_{7} S_{5}+S_{8} S_{6} \\
S_{9} S_{1}+S_{10} S_{2} & S_{9} S_{3}+S_{10} S_{4} & S_{9} S_{5}+S_{10} S_{6} \\
S_{11} S_{1}+S_{12} S_{2} & S_{11} S_{3}+S_{12} S_{4} & S_{11} S_{5}+S_{12} S_{6}
\end{array}\right]
\end{gathered}
$$

Instead of using multiplication, logic circuits can be used since all the terms of the rectifier and inverter matrices are zeros or ones. Considering switch $S_{A a}$, each element in this matrix can be realised by using logic circuitry consisting of 2 AND gates and 1 OR gate as shown in Fig. 3.23. This means that the corresponding matrix converter control signals can be generated without any need for further calculation. Based on the available cited references, this is the first time that multiplication of the virtual inverter and rectifier matrices has been realized using logic circuits.


Fig 3.23 Logic circuitry to transform from back to back converters to the matrix converter.

The switching pattern during a sampling period when the input voltage lies in sector 1 and $\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}$ is represented in Fig. 3.24. Nine different matrices can be obtained from the multiplication of the inverter and rectifier matrices (logic circuitry is used to perform this multiplication). From this diagram, it can be concluded that the number of commutations per cycle increased to 11 rather than 9 in the space vector modulation technique described in chapter 2.
The procedure is summarized in the flow chart in Fig. 3.23. The most important features of the technique are

- minimal calculations are required to produce the inverter current controller outputs and the rectifier timing distributions. Most functional realization can be achieved using logic circuits.
- The output matrices are generated automatically.


Fig 3.24 Switching pattern during a sampling period when the input voltage lies in sector 1 and $\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}$.

Inverter Stage


Fig 3.25 Flow chart for the proposed technique.

Fig 3.26 Block diagram of the proposed technique.

### 3.2 PWM patterns

An interesting feature of the proposed method is the possibility to reduce the number of output PWM matrices by adding (or subtracting) another offset to the inverter stage.
Adding $\hat{U}-\hat{u}$ to the inverter stage signals, they become

$$
\begin{align*}
& u_{i a}=u_{a a}+2 \hat{U}-\hat{u} \\
& u_{i b}=u_{b b}+2 \hat{U}-\hat{u} \\
& u_{i c}=u_{c c}+2 \hat{U}-\hat{u}
\end{align*}
$$

Retaining the same rectifier stage output signal order, the output matrix converter PWM pattern reduces from 9 to 7 matrices as shown in Fig. 3.27.


Fig 3.27 Switching pattern during a sampling period when the input voltage lies in sector 1 and $\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}$.

By subtracting the minimum inverter stage signal, the inverter stage outputs become

$$
\begin{align*}
& u_{i a}=u_{a a}-v \\
& u_{i b}=u_{b b}-\tilde{u} \\
& u_{i c}=u_{c c}-\tilde{u}
\end{align*}
$$

The resultant PWM pattern as shown in Fig. 3.28 has 7 matrices patterns instead of the 9 matrices as in Fig. 3.23.


## $T_{s}$

Fig 3.28 Switching pattern during a sampling period when the input voltage lies in sector 1 and $\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}$.
Based on the available cited references, this is the first time the number of matrices has been reduced to 7 .

### 3.3 Number of commutations per cycle

One of the main problems associated with the control method explained is the number of commutations per cycle for each phase. If the final matrices are generated directly from the rectifier and the inverter matrices, there will be no control on the number of commutations associated with each switch per cycle. Table 3.5 shows switch commutations for the twelve relative magnitudes of $u_{a}, u_{b}, u_{c}$ and $u_{2}$ assuming that the input voltage vector lies in the $1^{\text {st }}$ sector.

| Relative positions (sector 1) | $T_{i}$ | $T_{i i}$ | $T_{i i i}$ | $T_{0}$ | commutations per half cycle |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\boldsymbol{u}_{a}<\boldsymbol{u}_{b}<\boldsymbol{u}_{2}<\boldsymbol{u}_{c}$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $\begin{aligned} & 1 \\ & 2 \end{aligned}$ |
| $\boldsymbol{u}_{a}<\boldsymbol{u}_{2}<\boldsymbol{u}_{b}<\boldsymbol{u}_{c}$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $1$ |
| $u_{a}<u_{c}<u_{2}<u_{b}$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 0 & 1\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $1$ |
| $\boldsymbol{u}_{a}<\boldsymbol{u}_{2}<\boldsymbol{u}_{c}<\boldsymbol{u}_{\boldsymbol{b}}$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 0 & 1\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $\begin{aligned} & 1 \\ & 1 \\ & 1 \end{aligned}$ |
| $\boldsymbol{u}_{b}<\boldsymbol{u}_{a}<\boldsymbol{u}_{2}<\boldsymbol{u}_{c}$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 1 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $\overline{2}$ |
| $u_{b}<u_{2}<u_{a}<u_{c}$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $1$ |
| $u_{b}<u_{c}<u_{2}<u_{a}$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $\begin{aligned} & 1 \\ & 1 \end{aligned}$ |
| $u_{b}<u_{2}<u_{c}<u_{a}$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $1$ |
| $u_{c}<u_{b}<u_{2}<u_{a}$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0\end{array}\right]$ | $\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $\left[\begin{array}{lll}0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 1\end{array}\right]$ | $1$ |


| $\boldsymbol{u}_{c}<\boldsymbol{u}_{2}<\boldsymbol{u}_{b}<\boldsymbol{u}_{a}$ |
| :---: |
| $\boldsymbol{u}_{c}<\boldsymbol{u}_{a}<\boldsymbol{u}_{2}<\boldsymbol{u}_{b}$ |
| $\boldsymbol{u}_{c}<\boldsymbol{u}_{2}<\boldsymbol{u}_{a}<\boldsymbol{u}_{\boldsymbol{b}}$ |$\left[\begin{array}{ll}1 & 0\end{array} 0\right.$

Table 3.5 Number of commutations per switch per cycle in sector 1 .
In table 3.5 it is noticed that if $u_{2}$ (the middle signal of the rectifier stage) is lower than the middle signal of the inverter stage, the maximum commutations per half cycle is 1 otherwise the maximum number of commutations is 2 . Therefore the middle signals of the rectifier and inverter stages are compared.

If the rectifier signal is higher than the inverter stage signal, the signals are swapped. In other words, instead of using $t_{0}, t_{0}+t_{1}$ and $t_{0}+t_{1}+t_{2}$ as the three rectifier signals, they are changed to $t_{0}, t_{0}+t_{2}$ and $t_{0}+t_{1}+t_{2}$. This reduces the number of commutations to 1 for approximately half the switching, as shown in fig. 3.29. This function is achieved using the logic circuitry shown in Fig. 3.30. The inverter stage middle signal is compared with the rectifier stage middle signal. If the latter is smaller, the rectifier stage signals are interchanged. . Based on the available cited references, this is the minimum number of commutations per switching cycle that can be achieved.


Fig. 3.29 Relative position of the inverter and rectifier mid signals


Fig. 3.30 Logic circuitry to minimize the number of commutations per cycle.

### 3.4 Hysteresis controller

The control technique can be implemented with the hysteresis current controller shown in Fig. 3.31. Assuming a three-phase three-wire system, the inputs to the controller are the difference between the output current commands $i_{a}{ }^{*}, i_{b}{ }^{*}$, and $i_{c}{ }^{*}$ and the feedback output currents $i_{a}, i_{b}$, and $i_{c}$. In its simplest form, the hysteresis controller for phase $a$ will be

$$
S_{7}=\left\{\begin{array}{lc}
1 & i_{a}^{*}-i_{a}>i_{\text {threshold }} \\
0 & i_{a}^{*}-i_{a}<-i_{\text {threshold }} \\
N C & -i_{\text {threshold }} \leq i_{a}^{*}-i_{a} \leq i_{\text {threshold }} \\
S_{8}=\overline{S_{7}}
\end{array}\right.
$$



Fig. 3.31 Schematic diagram of a hysterisis current controller.

The sum of the error signals $e_{a}, e_{b}$ and $e_{c}$ can be expressed as

$$
\sum e_{i}=\sum i_{i}^{*}-\sum i_{i}=0
$$

Hence the sum of the error signals will be null, which means these error signals will not simultaneously increase or decrease, in the current controller. In other words, no zero vectors can be obtained from the inverter stage matrix. In this case there is no need to apply $T_{\text {seff }}$ to modify the rectifier stage levels.

## References:

[3.1] R. W. Erickson, D. Maksimovic, 'Fundamentals of Power Electronics', Springer Press (January 2001), Second Edition, ISBN-10: 0792372700
[3.2] Bimal K. Bose, 'Modern Power Electronics and AC Drives', Prentice Hall PTR (October 12, 2001), ISBN-10: 0130167436
[3.3] B. Pal, B. Chaudhuri, 'Robust Control in Power Systems', Springer (June 21, 2005), ISBN-10: 038725949X
[3.4] H. J. Sira-Ramirez, R.-Ortigoza, 'Control Design Techniques in Power Electronics Devices', Springer Press (July 5, 2006), ISBN-10: 1846284589.
[3.5] D. A. Paice, 'Power Electronics Converter Harmonics: Multipulse Methods for Clean Power', Wiley-IEEE Press (September 15, 1999), ISBN10: 0780353943.
[3.6] E. Acha, et al. 'Power Electronic Control in Electrical Systems', Newnes (January 16, 2002), ISBN-10: 0750651261.
[3.7] M. H. Rashid, 'Power Electronics Handbook', Academic Press (August 24, 2001), ISBN-10: 0125816502.
[3.8] M. H. Rashid, 'SPICE for Power Electronics and Electric Power, Second Edition', CRC Press (November 02, 2005), ISBN-10: 0849334187.
[3.9] W. Perruquetti, 'Sliding Mode Control in Engineering', Marcel Dekker (January 15, 2002), ISBN-10: 0824706714.
[3.10] W. Lixiang, Y. Matsushita, and T. A. Lipo, 'Investigation of dual-bridge matrix converter operating under unbalanced source voltages,' Proc. IEEE PESC'03, Volume: 3, 2003, pp. 1293-1298.
[3.11] Kolar, J.W et al. 'Novel three-phase AC-DC-AC sparse matrix converter' Applied Power Electronics Conference and Exposition, 2002. APEC 2002. Seventeenth Annual IEEE, Volume: 2, pp 777-791 vol. 2

## Chapter Four

## System Controllers And Simulation Results

In this chapter a simple R-L load is connected to the output of the matrix converter as shown in Fig. 4.1 while the input is connected to a flat topped three-phase supply. A PI current controller is derived to control the output current, while a space vector algorithm is used to control the input displacement angle. The performance of the converter is evaluated for different output frequencies.


Fig. 4.1 Matrix converter system connected to a three-phase load.

### 4.1 The inverter stage

Although the matrix converter is tested using a passive R-L load in this section, the derivation of the current controller will consider the presence of an electric grid as shown in Fig. 4.2. This general case avoids repeating the derivation in the next chapter. A simple PI current controller is derived in the $d-q$ rotating reference frame for the inverter stage output currents and its transient performance is evaluated [4.1][4.8].


Fig. 4.2 Matrix converter inverter stage schematic diagram.

### 4.1.1 The current controller

In order to produce sinusoidal output currents, a PI current controller is used in the rotating $d-q$ frame. If the $d-q$ frame is synchronized with the grid ac voltage, both voltage and current signals become constant vectors or dc quantities. A fast response time can be achieved and zero steady state error can be obtained. However, in the case of grid voltage transients or harmonics, response is limited [4.5]. Moreover, a long transient time may occur with variations in system parameters, load and grid voltage.
Assuming balanced grid phase voltages $e_{a}(t), e_{b}(t)$, and $e_{c}(t)$

$$
\begin{align*}
& e_{a}(t)=E \cdot \cos \omega t \\
& e_{b}(t)=E \cdot \cos (\omega t+2 \pi / 3) \\
& e_{c}(t)=E \cdot \cos (\omega t+4 \pi / 3)
\end{align*}
$$

where: $E$ is the peak grid voltage and $\omega$ is the angular frequency.
Applying KVL to the output circuit gives

$$
\begin{align*}
& v_{a}(t)-e_{a}(t)-R i_{a}(t)-L \frac{d i_{a}(t)}{d t}=0 \\
& v_{b}(t)-e_{b}(t)-R i_{b}(t)-L \frac{d i_{b}(t)}{d t}=0 \\
& v_{c}(t)-e_{c}(t)-R i_{c}(t)-L \frac{d i_{c}(t)}{d t}=0
\end{align*}
$$

A three-phase system constituted of the three quantities $x_{\mathrm{a}}(t), x_{\mathrm{b}}(t)$ and $x_{\mathrm{c}}(t)$ can be transformed into a vector in a complex reference frame, usually called the $\alpha-\beta$ frame, as shown in Fig. 4.3.


Fig. 4.3 Transformation from the $a b c$ frame to the $\alpha-\beta$ frame

In the $\alpha-\beta$ frame these equations become

$$
\begin{align*}
& v_{\alpha}(t)-e_{\alpha}(t)-R i_{\alpha}(t)-L \frac{d i_{\alpha}(t)}{d t}=0 \\
& v_{\beta}(t)-e_{\beta}(t)-R i_{\beta}(t)-L \frac{d i_{\beta}(t)}{d t}=0
\end{align*}
$$

which can be written in vector form in the $\alpha-\beta$ frame

$$
\overline{v_{\alpha \beta}^{-}}(t)-\overline{e_{\alpha \beta}}(t)-R i_{\alpha \beta}^{-}(t)-L \frac{d \overline{i_{\alpha \beta}}(t)}{d t}=0
$$

Transforming from the stationary $\alpha-\beta$ frame to the rotating $d-q$ frame shown in fig 4.4, gives $\overrightarrow{x_{d q}}(t)=\overrightarrow{x_{\alpha \beta}}(t) \cdot e^{j \omega t}$, thus

$$
\overline{v_{d q}}(t)-\overline{e_{d q}}(t)-R \overline{i_{d q}}(t)-L \frac{d \overline{i_{d q}}(t)}{d t}-j \omega L \bar{i}(t)=0
$$



Fig. 4.4 Transformation from the $\alpha-\beta$ frame to the $d-q$ frame.

This equation can be resolved into two equations

$$
\begin{align*}
& v_{d}(t)-e_{d}(t)-R i_{d}(t)-L \frac{d i_{d}(t)}{d t}+\omega L i_{q}(t)=0 \\
& v_{q}(t)-e_{q}(t)-R i_{q}(t)-L \frac{d i_{q}(t)}{d t}-\omega L i_{d}(t)=0
\end{align*}
$$

In discrete form, the currents are assumed to vary linearly during one sample period [ $k, k+1]$ which leads to the following approximations:

$$
\begin{gather*}
\frac{d i_{d}(t)}{d t} \approx \frac{i_{d, k+1}-i_{d, k}}{T_{s}} \\
\frac{d i_{q}(t)}{d t} \approx \frac{i_{q, k+l}-i_{q, k}}{T_{s}} \\
i_{d}(t) \approx \frac{i_{d, k+l}+i_{d, k}}{2} \\
i_{q}(t) \approx \frac{i_{q, k+1}+i_{q, k}}{2}
\end{gather*}
$$

These approximations lead to:

$$
\begin{align*}
& v_{d, k+1}-e_{d, k+1}-R\left(\frac{i_{d, k+1}+i_{d, k}}{2}\right)-L\left(\frac{i_{d, k+1}-i_{d, k}}{T_{s}}\right)+\omega L\left(\frac{i_{q, k+1}+i_{q, k}}{2}\right)=0 \\
& v_{q, k+1}-e_{q, k+1}-R\left(\frac{i_{q, k+1}+i_{q, k}}{2}\right)-L\left(\frac{i_{q, k+1}-i_{q, k}}{T_{s}}\right)-\omega L\left(\frac{i_{d, k+1}+i_{d, k}}{2}\right)=0
\end{align*}
$$

In order to achieve fast transient performance, a dead-beat controller is used which means that the current error can be eliminated in one sampling period. In other words the output current signal at any sampling instant $k+1$ should be equal to the reference current signal at the previous sampling instant $k$.

$$
\begin{align*}
& i_{d, k+1} \approx i_{d, k}^{*} \\
& i_{q, k+1} \approx i_{q, k}^{*}
\end{align*}
$$

With this assumption equations 4.9 become

$$
\begin{align*}
& v_{d, k+1}=e_{d, k+1}+R i_{d, k}+\left(\frac{L}{T_{s}}+\frac{R}{2}\right) \cdot\left(i_{d, k}^{*}-i_{d, k}\right)-\omega L\left(\frac{i_{q, k}^{*}+i_{q, k}}{2}\right) \\
& v_{q, k+1}=e_{q, k+1}+R i_{q, k}+\left(\frac{L}{T_{s}}+\frac{R}{2}\right) \cdot\left(i_{q, k}^{*}-i_{q, k}\right)+\omega L\left(\frac{i_{d, k}^{*}+i_{d, k}}{2}\right)
\end{align*}
$$

The resistive voltage drop can be replaced by an integral on the assumption that in steady state the current is equal to the sum of the current errors.

$$
\begin{align*}
& v_{d, k+1}=e_{d, k+1}+R \sum_{n=0}^{k}\left(i_{d, n}^{*}-i_{d, n}\right)+\left(\frac{L}{T_{s}}+\frac{R}{2}\right) \cdot\left(i_{d, k}^{*}-i_{d, k}\right)-\omega L\left(\frac{i_{q, k}^{*}+i_{q, k}}{2}\right) \\
& v_{q, k+1}=e_{q, k+l}+R \sum_{n=0}^{k}\left(i_{q, n}^{*}-i_{q, n}\right)+\left(\frac{L}{T_{s}}+\frac{R}{2}\right) \cdot\left(\dot{q}_{q, k}^{*}-i_{q, k}\right)+\omega L\left(\frac{i_{d, k}^{*}+i_{d, k}}{2}\right)
\end{align*}
$$

The grid voltage change between two successive samples is minimal, thus

$$
\begin{align*}
& e_{d, k+l} \approx e_{d, k} \\
& e_{q, k+1} \approx e_{q, k}
\end{align*}
$$

Now equation 4.12 can be rewritten for an R-L load in the form

$$
\begin{align*}
& v_{d, k+l}=k_{i} \sum_{n=0}^{k}\left(\dot{i}_{d, n}^{*}-i_{d, n}\right)+k_{p} \cdot\left(i_{d, k}^{*}-i_{d, k}\right)-\omega L\left(\frac{i_{q, k}^{*}+i_{q, k}}{2}\right) \\
& v_{q, k+l}=k_{i} \sum_{n=0}^{k}\left(\dot{i}_{q, n}^{*}-i_{q, n}\right)+k_{p} \cdot\left(\dot{i}_{q, k}^{*}-i_{q, k}\right)+\omega L\left(\frac{i_{d, k}^{*}+i_{d, k}}{2}\right)
\end{align*}
$$

For an R-L load the grid components $e_{d, k}$ and $e_{q, k}$ are zero. Fig. 4.5 presents the block diagram of the current controller.


Fig. 4.5 Current controller schematic diagram.

The command signals are then transformed from the $d-q$ frame to the $\alpha-\beta$ frame and then to the $a b c$ frame as shown in Fig. 4.6.


Fig. 4.6 Transforming from the $d-q$ frame to the $a b c$ frame.


Fig. 4.7 Simulated direct and quadrature axis current responses when neglecting measurement time delay.

Fig. 4.7 shows the output response for a variation in direct and quadrature currents respectively (using Simulink). Practically, one sample delay is added to account for the DSP computational time. If this time delay is considered, oscillatory controller behaviour is obtained as shown in Fig. 4.8. If the proportional gain of the system is reduced to $50 \%$ the dead-beat gain, the oscillations decrease [4.7]. However, since the gain is reduced, the current settling time is longer and overshoot is introduced, as shown in Fig. 4.9.


Fig. 4.8 Simulated direct and quadrature axis current responses taking into account one sample time delay due to the measurements.


Time (s)


Time (s)

Fig. 4.9 Simulated direct and quadrature axis current responses taking into account one sample time delay due to the measurements and reducing the proportional gain to $50 \%$.

### 4.1.2 Overmodulation

The output reference voltages of the inverter stage $u_{\mathrm{a}}(t), u_{b}(t)$, and $u_{\mathrm{c}}(t)$ are responsible for generating the switching signals, $S_{7}(t), S_{8}(t) \ldots . . S_{12}(t)$, by comparing these voltage peaks with the carrier signal. The limit is reached when any of the reference voltages are equal to the peak of the carrier signal, which is known as unity modulation index $(m=1)$. If the reference signal peak is larger than the carrier signal peak then over-modulation occurs, which results in low frequency current harmonics [4.4]. The overmodulation effect can be limited if an offset voltage $v_{x}$ is subtracted from the reference voltage signals as shown in equation 4.15.

$$
\begin{align*}
& v_{a a}=v_{a}-v_{x} \\
& v_{b b}=v_{b}-v_{x} \\
& v_{c c}=v_{c}-v_{x}
\end{align*}
$$

Although the phase reference voltages are no longer sinusoidal as shown in Fig. 4.10, the load voltages are sinusoidal. In order to optimize the modulation, $u_{x}$ is selected such that the reference signals are symmetrical as in the following equation

$$
v_{x}=\frac{\max \left(v_{a}^{*}, v_{b}^{*}, v_{c}^{*}\right)+\min \left(v_{a}^{*}, v_{b}^{*}, v_{c}^{*}\right)}{2}
$$



Fig. 4.10 Modified command signal for phase a by adding $v_{x}=\frac{\max \left(v_{a}^{\bullet}, v_{b}^{*}, v_{c}^{*}\right)+\min \left(v_{a}^{\bullet}, v_{b}^{*}, v_{c}^{*}\right)}{2}$ After subtracting the offset, if the reference signal is still larger than the peak of the carrier, saturation occurs which results in integrator windup. In this case the integral of the current control error becomes large resulting in uncontrolled phase currents. In order to avoid windup the author suggests pausing the integration, but this results in reduced control system performance. Anti-windup is achieved by the algorithm shown in Fig. 4.11.


Fig. 4.11 Algorithm to prevent integration windup.

### 4.1.3 Generation of the reference current

The only restriction on the output current reference signal is the over modulation boundary ( $m \geq 0.860$ ) shown in Fig. 4.12, which assumes that the rectifier stage operates at unity power factor. This boundary can be computed from the relation

$$
I=\frac{0.866 V_{i n}}{\sqrt{R^{2}+(\omega L)^{2}}}
$$

Any arbitrary value below this boundary can be used as a reference current. The inverter stage to control the output current is shown in Fig. 4.13


Fig. 4.12 Over modulation boundary.


Fig. 4.13 Inverter stage block diagram.

### 4.2 The active rectifier stage

The aim of the matrix converter rectifier stage is to control the displacement angle of the input current as shown in Fig. 4.14, using the space vector control mentioned in chapter 3. The input to the matrix converter is the three-phase grid voltage which means that the supply frequency is assumed to fluctuate slightly around 50 Hz as shown in Fig. 4.14. Also the input voltage is assumed flat topped as shown in Fig. 4.15 , which contains $0.6 \%$ and $0.45 \%$ fifth and seventh order harmonics respectively. In order to achieve proper displacement angle control, the supply frequency and hence the supply phase angle must be measured or estimated accurately.


Fig. 4.14 Matrix converter rectifier stage schematic diagram.


Fig. 4.15 Assumed supply frequency and terminal voltage.

### 4.2.1 Frequency and phase angle estimator

Same estimator explained in chapter 3 is used to indicate the frequency and phase angle.

### 4.2.2 The input filter

The main function of the input filter [4.10] is to reduce the input current ripple with minimum reactive element energy. Common topologies are shown in Fig. 4.16.
The input filter has to meet the following requirements:

- Produce a high attenuation at the switching frequency.
- Minimal voltage drop across the filter to achieve a high overall voltage transfer ratio.
- Minimal filter weight and volume.
- Maximize the achievable operating power factor.


Fig. 4.16 Power filter topologies.

Connecting a damping resistor in series with the inductor, as shown in Fig. 4.16a, produces a relatively high voltage drop. Connecting it across the inductor as shown in Fig. 4.16b reduces the effect of the filter to a first order filter at high frequencies. The input filter circuit used is that shown in Fig. 4.16c, which fulfils the requirements of an input filter.
The current transfer function of the input filter is

$$
\frac{I_{\text {filtered }}(s)}{I_{\text {unfllered }}(s)}=\frac{4 L s+3 r}{L^{2} C s^{3}+3 L C r s^{2}+4 L s+3 r}
$$

Usually the input filter cut-off frequency $\omega_{c}$ is chosen to provide sufficient attenuation at the switching frequency $(6.1 \mathrm{kHz})$. Also the cut-off frequency should be above the harmonic components of the unfiltered input current.

The voltage drop at the supply frequency is calculated as:

$$
\frac{\Delta E}{E} \approx 1-\sqrt{1-\left(\omega_{1} \cdot L\right)^{2} \cdot\left(\frac{I_{F L}}{E}\right)^{2}}
$$

The input filter characteristics are shown in table 4.1 and the filter frequency response is shown in Fig. 4.17.

| L/phase | 6.3 mH |
| :---: | :---: |
| C/phase | $10 \mu \mathrm{~F}$ |
| $\mathrm{r} /$ phase | $20 \Omega$ |
| $\omega_{\mathrm{c}}$ | $4500 \mathrm{rad} / \mathrm{s}$ |
| \% voltage drop at full load | $0.15 \%$ |
| Attenuation at the <br> switching frequency | -26 dB |

Table 4.1 Input filter typical values and characteristics.


Fig. 4.17 Input filter frequency response.

The expression for the filtered input current is

$$
I_{\text {filtered }}(s)=\left(\frac{4 L s+3 r}{L^{2} C s^{3}+3 L C r s^{2}+4 L s+3 r}\right)\left(I_{\text {unfiltered }}(s)+C s V_{\text {in }}(s)\right)
$$

The direct input voltage to the matrix converter is

$$
\begin{gather*}
v_{c}(t)=\frac{1}{C} \int\left(i_{\text {filtered }}(t)-i_{\text {unfiltered }}(t)\right) d t \\
V_{c}(s)=\frac{1}{C s}\left(I_{\text {filtered }}(s)-I_{\text {unfiltered }}(s)\right)
\end{gather*}
$$

Fig. 4.18 shows the input filter block diagram.


Fig. 4.18 Input filter block diagram per phase.

Another interesting aspect related to the frequency estimator is the point of measurement of the input voltage to the matrix converter. Normally, the voltage between the input filter and the converter is used for measurement in order to avoid input filter angle compensation in the rectifier stage calculation. Here the measured voltage is slightly distorted as shown in Fig. 4.19a. In this case the frequency estimator response is as shown in Fig. 4.19b. By reducing the gain of the frequency and phase angle estimators ( $A=284, B=17$ ), the oscillations are eliminated as shown in Fig. 4.19c. Another solution is to measure the actual grid voltage as opposed to the voltage at the point between the filter and the converter, and compensate for the effect of the input filter angle.


Fig. 4.19 Frequency estimator response under distorted input voltage:
(a) matrix converter input voltage.
(b) frequency estimator response without reducing the gain factor.
(c) frequency estimator response when reducing the gain factor to $25 \%$.


Fig. 4.20 Rectifier stage block diagram


### 4.3 Transforming from back-to-back converters to the matrix converter

The relationship between the matrix converter and the corresponding rectifier and inverter matrices is as shown in equation 4.22

$$
\begin{gather*}
T=\left[\begin{array}{lll}
S_{A a} & S_{B a} & S_{C a} \\
S_{A b} & S_{B b} & S_{C b} \\
S_{A c} & S_{B c} & S_{C c}
\end{array}\right]=\left[\begin{array}{cc}
S_{7} & S_{8} \\
S_{9} & S_{10} \\
S_{11} & S_{12}
\end{array}\right]\left[\begin{array}{lll}
S_{1} & S_{3} & S_{5} \\
S_{2} & S_{4} & S_{6}
\end{array}\right] \\
T=\left[\begin{array}{ccc}
S_{7} S_{1}+S_{8} S_{2} & S_{7} S_{3}+S_{8} S_{4} & S_{7} S_{5}+S_{8} S_{6} \\
S_{9} S_{1}+S_{10} S_{2} & S_{9} S_{3}+S_{10} S_{4} & S_{9} S_{5}+S_{10} S_{6} \\
S_{11} S_{1}+S_{12} S_{2} & S_{11} S_{3}+S_{12} S_{4} & S_{11} S_{5}+S_{12} S_{6}
\end{array}\right]
\end{gather*}
$$

### 4.4 Calculation of the output voltages and input currents

The IGBTs are assumed to be ideal, thus the relationship between the output and input voltages and currents can be expressed by

$$
\begin{align*}
& {\left[\begin{array}{l}
v_{a}(t) \\
v_{b}(t) \\
v_{c}(t)
\end{array}\right]=\left[\begin{array}{lll}
S_{A a} & S_{B a} & S_{C a} \\
S_{A b} & S_{B b} & S_{C b} \\
S_{A c} & S_{B c} & S_{C c}
\end{array}\right]\left[\begin{array}{l}
v_{A}(t) \\
v_{\mathbf{B}}(t) \\
v_{C}(t)
\end{array}\right]} \\
& {\left[\begin{array}{l}
i_{A}(t) \\
i_{\mathrm{B}}(t) \\
i_{C}(t)
\end{array}\right]=\left[\begin{array}{lll}
S_{A a} & S_{B a} & S_{C a} \\
S_{A b} & S_{B b} & S_{C b} \\
S_{A c} & S_{B c} & S_{C c}
\end{array}\right]^{T}\left[\begin{array}{l}
i_{a}(t) \\
i_{b}(t) \\
i_{c}(t)
\end{array}\right]}
\end{align*}
$$

### 4.5 Calculation of the output currents

The load is assumed to be a balanced three wire star connection. The output line to neutral voltages can be expressed by

$$
\begin{align*}
& v_{a o}=\frac{1}{3}\left(2 v_{a}-v_{b}-v_{c}\right) \\
& v_{b o}=\frac{1}{3}\left(2 v_{b}-v_{a}-v_{c}\right) \\
& v_{c o}=\frac{1}{3}\left(2 v_{c}-v_{b}-v_{a}\right)
\end{align*}
$$

The current flowing through the converter is expressed by

$$
i_{x}=\frac{v_{x o}}{R+s L}
$$

where $x$ is $\{a$ or $b$ or $c\}$


Fig. 4.22 Output connected load in the $s$ domain

| R/phase | $21.5 \Omega$ |
| :---: | :---: |
| L/phase | 20 mH |

Table 4.3 Load typical Values

### 4.6 Simulation results

The proposed controllers are simulation tested using Simulink at two different output frequencies: 80 Hz and 20 Hz , with three different input supply conditions [4.4], [4.11]:

- pure sinusoid;
- flat topped; and
- unbalanced.


### 4.6.1 Pure sinusoidal supply

Fig. 4.26 parts a to f show the output performance of the matrix converter when controlled by the proposed technique. The output frequency is set at 20 Hz , the output reference current is 10 A (peak) which is equivalent to a modulation index of 0.77 , the input displacement angle is zero (unity power factor), and the system switching frequency is 6.1 kHz . The controller gain are $k_{p}=34, k_{i}=5.125$. For the output stage, Fig. 4.23 parts a to c shows the fast transient response that can be achieved from the controller. Also the steady state error in the output current is zero. For the input stage, Fig. 4.23 d shows that the reference displacement angle has been modified to compensate for the effect of the input filter. A zero input displacement angle between the supply voltage (and not the input to the matrix converter) and the filtered input current is maintained as shown in Fig. 4.23 f.

(a)

(c)

(b)

(d)


Fig. 4.23 Output response for $f_{o}=20 \mathrm{~Hz}$, unity input power factor at 6.1 kHz switching frequency and sinusoidal input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current, (d) output line voltage, (e) unfiltered input current *20 and input voltage, and
(f) filtered input current*20 and input voltage.

The 80 Hz output performance shown in Fig. 4.24 parts a to f , is almost the same as that at 20 Hz if the transient response and the steady state error are considered but the output waveform quality itself is not as good as that at 20 Hz . This is because the switching frequency is lower relative to 80 Hz . For the input stage, the input current is also slightly distorted when compared to that at 20 Hz . This is explained by referring to input filter magnitude performance shown in Fig. 4.17. Any harmonic component from the input current near the filter corner frequency ( $4500 \mathrm{rad} / \mathrm{s} ; 716 \mathrm{~Hz}$ ) will be amplified resulting in distortion in the input current waveform. If the filter corner frequency is increased the distortion can be reduced but the attenuation at the switching frequency will be decreased.
If the input power factor is set to 0.866 lagging and then leading, the corresponding results are shown in Figures 4.25 and 4.26 respectively. For the output stage, the reference current is lowered since the maximum output modulation index is affected by the input power factor variation. The results show the ability of the matrix converter to produce an output current at any input displacement angle.


Fig. 4.24 Output response for $f_{0}=80 \mathrm{~Hz}$, unity input power factor at 6.1 kHz switching frequency and sinusoidal input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current, (d) output line voltage, (e) unfiltered input current *20 and input voltage, and (f) filtered input current*20 and input voltage.


Fig. 4.25 Output response for $f_{o}=80 \mathrm{~Hz}, 0.86$ input power factor lagging at 6.1 kHz and sinusoidal input voltage: (a)output current, (b) quadrature axis current, (c) direct axis current, (d) output line voltage, (e) unfiltered input current *20 and input voltage, and (f) filtered input current*20 and input voltage.


Fig. 4.26 Output response for $f_{o}=80 \mathrm{~Hz}, 0.86$ input power factor leading at 6.1 kHz and sinusoidal input voltage:
(a) output current, (b) quadrature axis current, (c) direct axis current, (d) output line voltage, (e) unfiltered input current *20 and input voltage, and (f) filtered input current*20 and input voltage.

If a 12 kHz switching frequency is used, the input filter corner frequency attenuation margin is increased. The same filter topology is used as in Fig. 4.19c but with a fourth the capacitance (while keeping the inductances and resistance as per the previous designed values). The filter frequency response is shown in Fig.4.27. The current controllers are set at $k_{p}=68, k_{i}=5.125$.


Fig. 4.27 Input filter frequency response

Considering the matrix converter response at 20 and 80 Hz with unity displacement angle, the output signals are as shown in figures 4.28 and 4.29 for 20 and 80 Hz , respectively. It is noticed that the output current quality has been enhanced. This is expected when increasing the switching frequency. The main advantage is that the distortion in the input current waveforms has been reduced.


Fig. 4.28 Output response for $f_{o}=20 \mathrm{~Hz}$, unity input power factor at 12 kHz and sinusoidal input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current, (d) unfiltered input current *20 and input voltage, and (e) filtered input current*20 and input voltage.


Fig. 4.29 Output response for $f_{o}=80 \mathrm{~Hz}$, unity input power factor at 12 kHz and sinusoidal input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current, unfiltered input current *20 and input voltage, and (e) filtered input current*20 and input voltage.

Another benefit from increasing the filter corner frequency by decreasing the filter capacitance, is the reduction of the no load filter current. This increases the ability to control the input displacement angle of the system at low modulation indices.

### 4.6.2 Unbalanced supply voltage

A power supply with unbalanced voltage magnitudes ( $10 \%$ unbalance) as shown in fig 4.30 is connected to the matrix converter input terminals.


Fig. 4.30 Unbalanced voltage supply.
The system is simulated with an output reference current of 10 A peak, zero input displacement angle, and a 12 kHz switching frequency as shown in Fig. 4.31 for 20 Hz and Fig. 4.32 for an 80 Hz output. A sinusoidal output current can be obtained at 20 Hz with a zero displacement input angle. But the input current is no longer a pure sinusoid. It has second order harmonic components. This can be explained after considering the power balance in the system. Since the output currents are balanced and pure sinusoids, the instantaneous output power is constant. This should be the same for that power drawn from the input side. If a balanced and pure sinusoidal input current is drawn from the input side, then the input power is not constant in the case of an unbalanced supply voltage.

The input power has two components: one a positive sequence input voltage which will be constant and the other a negative sequence voltage which will be oscillatory. Hence it is not possible to achieve balanced sinusoidal input current unless the quality of the output side is compromised. At 80 Hz the output current is slightly distorted; this is mainly due to the higher output voltage required which means a higher modulation
index, 0.84 rather than 0.78 in the 20 Hz case which cannot be achieved at certain periods with the unbalance in the supply voltage.



Fig. 4.31 Output response for $f_{o}=20 \mathrm{~Hz}$, unity input power factor at 12 kHz and unbalanced input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current, (d) unfiltered input current *20 and input voltage, and (e) filtered input current*20 and input voltage.


(e)

(g)

(f)

(h)

Fig. 4.32 Output response for $f_{0}=80 \mathrm{~Hz}$, unity input power factor at 12 kHz and unbalanced input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current, (d) unfiltered input current *20 and input voltage, and (e) filtered input current*20 and input voltage.

If the reference output current is lowered with a lower modulation index, better output performance is obtained, as shown in Fig. 4.33.


Fig. 4.33 Output current versus time response.

In case of an unbalanced voltage supply, either the input voltage or the input current vectors are the sum of two vectors: positive and negative sequence vectors as seen in Fig. 4.34.


Fig. 4.34 Decomposition of signals into positive and negative sequence components.

The apparent power $S$ in the case of unbalance can be further defined in terms of the grid voltages and currents as a positive and a negative sequence in the $d-q$ frame:

$$
S_{i / p}=\overrightarrow{e_{D Q}} \cdot \overrightarrow{i_{D Q}^{*}}=\left(e_{D Q_{+}} e^{j a t}+e_{D Q_{-}} e^{-j a x}\right)\left(i_{D Q_{+}} e^{j a t}+i_{D Q_{-}-} e^{-j a x}\right)
$$

which becomes:

$$
\begin{align*}
S_{i / p} & =\left(e_{D Q_{+}} e^{j a t}+e_{D Q-} e^{-j a t}\right)\left(i_{D Q_{+}+} e^{-j \omega t}+i_{D Q}^{*} e^{j a t}\right) \\
& =e_{D Q_{+}+} i_{D Q_{+}+}^{*}+e_{D Q_{-}-} i_{D Q_{-}}^{*}+e_{D Q_{+}+} i_{D Q_{-}-} e^{j 2 a t}+e_{D Q_{-}} i_{D Q_{+}+}^{*} e^{-j 2 a t}
\end{align*}
$$

Rearranging the above equation gives:

$$
\begin{align*}
S_{i / p}= & S_{+}+S_{-}+(a+j b) e^{j 2 \omega t}+(c+j d) e^{-j 2 \omega t} \\
= & S_{+}+S_{-}+((a+c) \cos 2 \omega t+(d-b) \sin 2 \omega t) \\
& +j((a+c) \sin 2 \omega t-(d-b) \cos 2 \omega t)
\end{align*}
$$

where

$$
\begin{align*}
& S_{+}=\left(e_{D_{+}} i_{D+}+e_{Q+} i_{Q+}\right)+j\left(e_{Q+} i_{D+}-e_{D_{+}} i_{Q+}\right) \\
& S_{-}=\left(e_{D_{-}} i_{D-}+e_{Q-} i_{Q_{-}}\right)+j\left(e_{Q-} i_{D-}-e_{D_{-}} i_{Q-}\right) \\
& a=e_{D_{+}} i_{D_{-}}+e_{Q+} i_{Q-} \quad b=e_{D_{-}} i_{Q+}-e_{Q-} i_{D+} \\
& c=e_{D_{-}} i_{D+}+e_{Q-} i_{Q+} \quad d=e_{D_{+}} i_{Q-}-e_{Q+} i_{D_{-}}
\end{align*}
$$

Equation 4.29 can be written in matrix form as:

$$
\left[\begin{array}{c}
P \\
Q \\
d-b \\
a+c
\end{array}\right]=\left[\begin{array}{cccc}
e_{D+} & e_{Q^{+}} & e_{D-} & e_{Q-} \\
e_{Q+} & -e_{D+} & e_{Q-} & -e_{D-} \\
e_{Q-} & -e_{D-} & -e_{Q_{+}} & e_{D+} \\
e_{D-} & e_{Q-} & e_{D+} & e_{Q^{+}}
\end{array}\right]\left[\begin{array}{c}
i_{D+} \\
i_{Q+} \\
i_{D-} \\
i_{Q-}
\end{array}\right]
$$

Hence the input current can be calculated from:

$$
\left[\begin{array}{c}
i_{D+} \\
i_{Q+} \\
i_{D-} \\
i_{Q-}
\end{array}\right]=\left[\begin{array}{cccc}
e_{D+} & e_{Q+} & e_{D-} & e_{Q-} \\
e_{Q+} & -e_{D+} & e_{Q-} & -e_{D-} \\
e_{Q-} & -e_{D-} & -e_{Q+} & e_{D+} \\
e_{D-} & e_{Q-} & e_{D+} & e_{Q+}
\end{array}\right]^{-1} \cdot\left[\begin{array}{c}
P \\
Q \\
d-b \\
a+c
\end{array}\right]
$$

Assuming a balanced output load, in steady-state the instantaneous output active power is constant. By neglecting the semiconductors and input filter losses, the instantaneous input active power should equal this dc value, hence the oscillating components ( $a+c$ ) and (d-b) should both be zero. In equation 4.31, if the oscillating components are equal to zero, the negative sequence input current cannot be eliminated. Based on the available cited references, this is the first time mathematical analysis has proved it is not possible to achieve balanced input and output currents with unbalanced input voltages.
Also this contrasts with the results obtained in [2.29]
If a balanced sinusoidal input current is required, the control algorithm should be modified. The average output power should be first estimated. In the case of an R-L load, the steady state active power will be:

$$
P_{o / p}=3 I_{p h, r m s}^{2} R
$$

For a pure sinusoidal input current, the negative sequence current components should be eliminated, hence equation 4.32 will be:

$$
\left[\begin{array}{c}
P \\
Q \\
d-b \\
a+c
\end{array}\right]=\left[\begin{array}{cccc}
e_{D+} & e_{Q+} & e_{D-} & e_{Q-} \\
e_{Q+} & -e_{D+} & e_{Q-} & -e_{D-} \\
e_{Q-} & -e_{D-} & -e_{Q_{+}} & e_{D+} \\
e_{D-} & e_{Q-} & e_{D_{+}} & e_{Q^{+}}
\end{array}\right]\left[\begin{array}{c}
i_{D+} \\
i_{Q+} \\
0 \\
0
\end{array}\right]
$$

Hence:

$$
\begin{align*}
& P_{i / p}=e_{D+} i_{D+}+e_{Q+} i_{Q_{+}}=P_{o / p} \\
& Q_{i / p}=P_{i / p} \cdot \tan \left(\cos ^{-1} p f\right)=e_{Q_{+}+} i_{D+}-e_{D+} i_{Q+}
\end{align*}
$$

The modified instantaneous active output power $\left(P_{\text {total }}\right)$ becomes:

$$
P_{\text {total }}(\omega t)=e_{D+} i_{D+}+e_{Q_{+}} i_{Q_{+}}+\left(e_{D_{-}-} i_{D+}+e_{Q-} i_{Q+}\right) \cos 2 \omega t+\left(e_{Q-} i_{D+}-e_{D-} i_{Q+}\right) \sin 2 \omega t
$$

The output reference current magnitude is modified according to the instantaneous input power. A pure balanced sinusoidal input current is at the expense of distorting the output current since there is no energy storage element within the matrix converter. This will not be the case if normal back to back converters are used with an interconnecting capacitor dc link. The latter configuration can readily control both the output and input power simultaneously.


Fig. 4.35 Conventional back to back converters

### 4.6.3 Flat topped voltage supply

A supply which contains $1.5 \%$ and $1 \%$ fifth and seventh order harmonics respectively as shown in Fig. 4.36 is connected to the input terminals.
There is no problem with the quality of the output current, but the input current is distorted as shown in Figures 4.37 and 4.38. This is the same power balance problem as with an unbalanced power supply.


Fig. 4.36 Flat topped input voltage


(e)

Fig. 4.37 Output response for $f_{0}=20 \mathrm{~Hz}$, unity input power factor at 12 kHz and flat topped input voltage (a) output current, (b) quadrature axis current, (c) direct axis current, (d) output voltage, and (c) filtered input current*20 and input voltage.


(e)

Fig. 4.38 Output response for $f_{o}=80 \mathrm{~Hz}$, unity input power factor at 12 kHz and flat topped input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current,
(d) output voltage, and (e) filtered input current*20 and input voltage.

In case of a flat topped voltage supply, either the input voltage or the input current vectors can be viewed as the sum of three vectors as in Fig. 4.42.


Fig. 4.39 Decomposition of the flat topped source into fundamental, fifth, and seventh harmonic components.
The apparent power $S$ can be defined similarly to the unbalanced case. The grid voltages and currents in terms of positive and negative sequences in the $d-q$ frame give:

$$
\begin{align*}
S_{o / P} & =\overrightarrow{e_{D Q}} \cdot \overrightarrow{i_{D Q}} \\
& =\left(e_{D Q 1} e^{j a x}+e_{D Q} e^{-j s_{a x}}+e_{D Q 7} e^{j 7 a x}\right)\left(i_{D Q 1} e^{j a x}+i_{D Q} e^{-\int s_{a x}}+i_{D Q 7} e^{j 7 a x}\right)^{*}
\end{align*}
$$

This can be written as:

$$
\begin{align*}
S_{o / p}= & \overrightarrow{e_{D Q}} \cdot \overrightarrow{i_{D Q}^{*}} \\
S_{o / p}= & \left(e_{D Q I} e^{j a t}+e_{D Q s} e^{-j S a t}+e_{D Q 7} e^{j 7 a x}\right)\left(i_{D Q I}^{*} e^{-j a t}+i_{D Q s}^{*} e^{j 5 a t}+i_{D Q 7}^{*} e^{-j 7 a x}\right) \\
= & \left(e_{D Q 1} i_{D Q I}^{*}+e_{D Q S} i_{D Q s}+e_{D Q 7} i_{D Q 7}^{*}\right)+\left(e_{D Q l} i_{D Q s}^{*}+e_{D Q 7} i_{D Q 1}^{*}\right) e^{j 6 a t} \\
& +\left(e_{D Q I} i_{D Q 7}^{*}+e_{D Q s} i_{D Q I}^{*}\right) e^{-j 6 a t}+\left(e_{D Q s} i_{D Q 7}^{*}\right) e^{-j 12 a t}+\left(e_{D Q 7} i_{D Q s}^{*}\right) e^{j 12 a t}
\end{align*}
$$

Rearranging the above equation gives:

$$
\begin{align*}
S_{o / p}= & S_{l}+S_{s}+S_{7}+ \\
= & (a+j b) e^{j 6 \omega t}+(c+j d) e^{-j 6 \omega t}+(e+j f) e^{j l 2 \omega t}+(g+j h) e^{-j l} \\
=S_{l}+S_{s}+S_{7} & +((a+c) \cos 6 \omega t+(d-b) \sin 6 \omega t) \\
& +j((a+c) \sin 6 \omega t-(d-b) \cos 6 \omega t) \\
& +((e+g) \cos 6 \omega t+(h-f) \sin 12 \omega t) \\
& \quad+j((e+g) \sin 6 \omega t-(h-f) \cos 12 \omega t)
\end{align*}
$$

where

$$
\begin{align*}
& S_{l}=\left(e_{D 1} i_{D I}+e_{q 1} i_{q 1}\right)+j\left(e_{Q l} i_{D 1}-e_{D I} i_{Q 1}\right) \\
& S_{S}=\left(e_{D S} i_{D S}+e_{Q S} i_{Q S}\right)+j\left(e_{Q S} i_{D S}-e_{D S} i_{Q S}\right) \\
& S_{7}=\left(e_{D 7} i_{D 7}+e_{Q 7} i_{Q 7}\right)+j\left(e_{Q 7} i_{D 7}-e_{D 7} i_{Q 7}\right) \\
& a=e_{D I} i_{D S}+e_{Q 1} i_{Q S}+e_{D 7} i_{D I}+e_{Q 7} i_{Q 1} \quad b=e_{D I} i_{Q S}-e_{Q I} i_{D S}+e_{D 7} i_{Q 1}-e_{Q 7} i_{D I} \\
& c=e_{D I} i_{D 7}+e_{Q 1} i_{Q 7}+e_{D s} i_{D I}+e_{Q s} i_{Q I} \quad d=e_{D I} i_{Q 7}-e_{Q l} i_{D 7}+e_{D s} i_{Q 1}-e_{Q s} i_{D I} \\
& e=e_{D s} i_{D 7}+e_{Q s} i_{Q 7} \\
& f=e_{D S} i_{Q 7}-e_{Q S} i_{D 7} \\
& g=e_{D 7} i_{D S}+e_{Q 7} i_{Q S} \\
& h=e_{D 7} i_{Q S}-e_{Q 7} i_{D S}
\end{align*}
$$

Equation 4.39 can be written in matrix form as:

$$
\left[\begin{array}{c}
P \\
Q \\
a+c \\
d-b \\
e+g \\
f-h
\end{array}\right]=\left[\begin{array}{cccccc}
e_{D 1} & e_{Q 1} & e_{D S} & e_{Q S} & e_{D 7} & e_{Q 7} \\
e_{Q 1} & -e_{D 1} & e_{Q S} & -e_{D S} & e_{Q 7} & -e_{D 7} \\
e_{D S}+e_{D 7} & e_{Q S}+e_{Q 7} & e_{D 1} & e_{Q 1} & e_{D 1} & e_{Q 1} \\
e_{Q 7}-e_{Q S} & e_{D S}-e_{D 7} & e_{Q 1} & -e_{D 1} & -e_{Q 1} & e_{D I} \\
0 & 0 & e_{D 7} & e_{Q 7} & e_{D S} & e_{Q S} \\
0 & 0 & e_{Q 7} & -e_{D 7} & -e_{Q S} & e_{D S}
\end{array}\right]\left[\begin{array}{c}
i_{D 1} \\
i_{Q 1} \\
i_{D S} \\
i_{Q S} \\
i_{D 7} \\
i_{Q 7}
\end{array}\right]
$$

Hence the input current can be calculated from the formula:

$$
\left[\begin{array}{c}
i_{D 1} \\
i_{Q 1} \\
i_{D S} \\
i_{Q S} \\
i_{D 7} \\
i_{Q 7}
\end{array}\right]=\left[\begin{array}{cccccc}
e_{D 1} & e_{Q 1} & e_{D S} & e_{Q S} & e_{D 7} & e_{Q 7} \\
e_{Q 1} & -e_{D 1} & e_{Q 5} & -e_{D S} & e_{Q 7} & -e_{D 7} \\
e_{D S}+e_{D 7} & e_{Q S}+e_{Q 7} & e_{D 1} & e_{Q 1} & e_{D 1} & e_{Q 1} \\
e_{Q 7}-e_{Q S} & e_{D 5}-e_{D 7} & e_{Q 1} & -e_{D 1} & -e_{Q 1} & e_{D 1} \\
0 & 0 & e_{D 7} & e_{Q 7} & e_{D S} & e_{Q 5} \\
0 & 0 & e_{Q 7} & -e_{D 7} & -e_{Q 5} & e_{D S}
\end{array}\right]^{-1}\left[\begin{array}{c}
P \\
Q \\
a+c \\
d-b \\
e+g \\
f-h
\end{array}\right]
$$

Assuming a balanced output load, at steady-state the instantaneous output active power is a constant dc value. The instantaneous input active power should equal this dc value, hence the oscillating components $(a+c),(b-d),(e+g)$ and $(f-h)$ should all be zero. By referring to equation 4.41 , the fifth and seventh harmonic components in the input current cannot be eliminated. Again, the advantage of back to back converters being able to exploit the dc link energy is applicable.

## References:

[4.1] B. Pal, B. Chaudhuri, 'Robust Control in Power Systems', Springer, 2005, ISBN-10: 038725949X.
[4.2] H. J. Sira-Ramirez, R.-Ortigoza, 'Control Design Techniques in Power Electronics Devices', Springer, 2006, ISBN-10: 1846284589.
[4.3] D. A. Paice, 'Power Electronics Converter Harmonics: Multipulse Methods for Clean Power', Wiley-IEEE Press, 1999, ISBN-10: 0780353943.
[4.4] E. Acha, et al. 'Power Electronic Control in Electrical Systems', Newnes, 2002, ISBN-10: 0750651261.
[4.5] M. H. Rashid, 'Power Electronics Handbook', Academic Press, 2001, ISBN-10: 0125816502.
[4.6] M. H. Rashid, 'SPICE for Power Electronics and Electric Power, Second Edition', CRC Press 2005, ISBN-10: 0849334187.
[4.7] J.A. Rossiter, 'Model-Based Predictive Control: A Practical Approach', 2003, ISBN-10: 0849312914.
[4.8] C. A. Smith; A. B. Corripio, 'Principles and Practice of Automatic Process Control', John Wiley \& Sons, 1997, 2nd Edition, ISBN-10: 0471575887.
[4.9] W. Perruquetti, 'Sliding Mode Control in Engineering', Marcel Dekker, 2002, ISBN-10: 0824706714.
[4.10] J. Arrillaga, N. R. Watson, 'Power System Harmonics', John Wiley \& Sons, 2003, ISBN-10: 0470851295.
[4.11] J. O. Attia, 'Electronics and Circuit Analysis using MATLAB', CRC Press, 1999, ISBN-10: 0849311764.

## Chapter Five

## Grid-Connected Matrix Converter <br> WITH AN L-FiLTER INTERFACE

In this chapter the variable-speed wind turbine system shown in Fig. 5.1 is considered. A PI current controller is developed for output current control and its transient performance is evaluated. Some adjustments are proposed to attain acceptable controller performance. For the rectifier stage, a space vector algorithm is used to control the generator end displacement angle.

## Wind turbine



Fig 5.1 Matrix converter system connected to a three-phase grid

### 5.1 The inverter stage

A virtual VSC is assumed to be connected to the grid through an L-filter interface as shown in figure 5.2. A PI controller is used to control the inverter output voltage in order to provide the desired output currents [5.1]-[5.4]. The reference currents are varied in-line with the input power to the wind turbine.


Fig 5.2 Grid-connected with an L-Filter interface inverter stage.

### 5.1.1 The current controller

The current controller explained in chapter 4 equation 4.14 is utilized to produce the inverter output signals $v_{d, k+l}$ and $v_{q, k+l}$.

$$
\begin{align*}
& v_{d, k+1}=e_{d, k}+k_{i} \sum_{n=0}^{k}\left(i_{d, n}^{*}-i_{d, n}\right)+k_{p} \cdot\left(i_{d, k}^{*}-i_{d, k}\right)-\omega L \frac{i_{q, k}^{*}+i_{q, k}}{2} \\
& v_{q, k+1}=e_{q, k}+k_{i} \cdot \sum_{n=0}^{k}\left(i_{q, n}^{*}-i_{q, n}\right)+k_{p} \cdot\left(i_{q, k}^{*}-i_{q, k}\right)+\omega L \frac{i_{d, k}^{*}+i_{d, k}}{2}
\end{align*}
$$

The current controller block diagram is shown in fig. 5.3.


Fig 5.3 Current controller block diagram

### 5.1.2 Generation of the reference currents

The control algorithm depends on the system power balance. The active power at the output side of the converter $P_{o / p}$ is assumed equal to the active power at the input side $P_{i / p}$, that is zero losses in the converter switches is assumed. The reactive power at the output $Q_{o / p}$ is independent of the input reactive power $Q_{i p}$. The apparent power at the ac side of the converter $S_{o / p}$ is:

$$
S_{o / p}=\overrightarrow{e_{d q}} \cdot \overrightarrow{i_{d q}}=\left(e_{d} i_{d}+e_{q} i_{q}\right)+j\left(e_{q} i_{d}-e_{d} i_{q}\right)
$$

Separating the real and imaginary components, equation (5.2) can be expressed in matrix form as:

$$
\left[\begin{array}{l}
P_{o / p} \\
Q_{o / p}
\end{array}\right]=\left[\begin{array}{cc}
e_{d} & e_{q} \\
e_{q} & -e_{d}
\end{array}\right]\left[\begin{array}{l}
i_{d}^{*} \\
i_{q}^{*}
\end{array}\right]
$$

Re-arranging gives

$$
\left[\begin{array}{l}
i_{d}^{*} \\
i_{q}^{*}
\end{array}\right]=\left[\begin{array}{cc}
e_{d} & e_{q} \\
e_{q} & -e_{d}
\end{array}\right]^{-1}\left[\begin{array}{c}
P_{o / p} \\
Q_{o / p}
\end{array}\right]
$$

Assuming the grid voltage has only a $q$ component, or in other words, the $q$ axis is aligned with the grid voltage vector, the reference current signals become:

$$
\begin{align*}
& i_{d}^{*}=\frac{Q_{o / p}}{e_{q}} \\
& i_{q}^{*}=\frac{P_{o / p}}{e_{q}}
\end{align*}
$$

### 5.1.3 Droop control

In order to obtain correct load sharing between different generating units, droop control is used [5.7]-[5.8]. It is assumed that there is a large distance between the different converters, hence high speed control communications is impractical. Thus the converter only uses quantities that can be measured at the converter connection point. In fig. 5.4, for steady state sinusoidal operation, the apparent power flowing into the line can be described as

$$
S=\bar{U} \cdot \bar{I}^{*}=U\left(\frac{U-V e^{-j \delta}}{Z e^{j \theta}}\right)=U\left(\frac{U-V e^{+j \delta}}{Z e^{-j \theta}}\right)=\frac{U^{2}}{Z} e^{j \theta}-\frac{U V}{Z} e^{(\theta+\delta)}
$$


$U \boxed{0}$
$V \underline{-\delta}$


Fig 5.4 Equivalent circuit and phasor diagram of a grid connected generator.

Thus the active and reactive powers become

$$
\begin{align*}
& P=\frac{U^{2}}{Z} \cos \theta-\frac{U V}{Z} \cos (\theta+\delta)=\frac{U^{2} R}{Z^{2}}-\frac{U V R}{Z^{2}} \cos \delta+\frac{U V X}{Z^{2}} \sin \delta \\
& Q=\frac{U^{2}}{Z} \sin \theta-\frac{U V}{Z} \sin (\theta+\delta)=\frac{U^{2}}{Z^{2}}-\frac{U V R}{Z^{2}} \sin \delta-\frac{U V X}{Z^{2}} \cos \delta
\end{align*}
$$

where $R=Z \cos \theta, \quad$ and $X=Z \sin \theta$
Since the load angle $\delta$ is normally small

$$
\begin{align*}
& \sin \delta \approx \delta \\
& \cos \delta \approx 1
\end{align*}
$$

Equation 5.7 then becomes

$$
\begin{align*}
& P=\frac{U^{2} R}{Z^{2}}-\frac{U V R}{Z^{2}}+\frac{U V X}{Z^{2}} \delta \\
& Q=\frac{U^{2}}{Z^{2}}-\frac{U V R}{Z^{2}} \delta-\frac{U V X}{Z^{2}}
\end{align*}
$$

which leads to

$$
\begin{align*}
& V \delta \approx \frac{X P-R Q}{U} \\
& U-V \approx \frac{X Q+R P}{U}
\end{align*}
$$

Since $X \gg R$

$$
\begin{align*}
& \delta \approx \frac{X P}{U V} \\
& U-V \approx \frac{X Q}{U}
\end{align*}
$$

Since the power angle depends on the frequency, the active power can be controlled by controlling the frequency. On the other hand, the reactive power depends mainly on the voltage difference, $U-V$, as shown in fig. 5.5.



Fig 5.5 Active and reactive power droop characteristics.

$$
\begin{align*}
& P_{o / p}=C_{P}\left(\omega_{o}-\omega\right) \\
& Q_{o / p}=C_{Q}\left(v_{o}-v\right)
\end{align*}
$$

For load sharing purposes, these droop functions are used in order to make the system appear as a synchronous machine. The active power is dependant on the wind power input which can be controlled mechanically within certain limits by adjusting the angle of the blades. The reactive power is independent of the input values.

### 5.1.4 Frequency and phase angle estimator

The estimators used in chapter 4 are used, namely [5.9]

$$
\begin{align*}
& \omega_{k+l} \approx \omega_{k}-A \frac{e_{d, k}}{e_{q, k}} T_{s} \\
& \theta_{k+l} \approx \theta_{k}+\omega_{k} T_{s}-B \frac{e_{d, k}}{e_{q, k}} T_{s}
\end{align*}
$$

To reduce the effect of noise on the estimated values, the direct axis voltage is replaced by

$$
e_{d, k} \approx v_{d, k}-R i_{d, k}+\omega L i_{q, k}
$$

The inverter stage block diagram is shown in fig. 5.6.


Fig 5.6 Block diagram of a grid-connected inverter with an L-filter interface.

### 5.2 The active rectifier stage

The rectifier stage shown in fig. 5.7 is assumed to have a three-phase generator connected to a wind turbine. The input displacement angle can be controlled by measuring the generator position angle $\theta$. Modelling of the wind turbine, the synchronous generator, and the input filter are briefly introduced.

Wind turbine


Fig 5.7 Virtual rectifier stage of $L$ filter Grid-connected matrix converter

### 5.2.1 Wind turbine rotor model

The aerodynamic model of the wind turbine rotor is normally based on a look-up table for the torque coefficient $C_{Q}$ or the power coefficient $C_{P}\left(C_{Q}=\frac{V_{\infty} C_{p}}{R}\right)$. The torque coefficient $C_{Q}$ is used to directly determine the aerodynamic torque from [5.10]-[5.11]:

$$
T_{w i n d}=\frac{1}{2} \pi \rho R^{3} V_{\infty}^{2} C_{Q}
$$

where: $\rho$ is the air density, $R$ is the blade radius, $V_{\infty}$ is the wind speed, and $C_{Q}$ is the torque coefficient.

Alternatively the aerodynamic torque can be determined using the power coefficient $C_{P}$ based on:

$$
T_{w n d}=\frac{1}{2} \pi \rho R^{2} V_{\infty}^{3} C_{p}
$$

Alternatively, fig. 5.8 is used when modelling the wind turbine as a look-up table.


Fig 5.8 Wind-power and turbine speed characteristics at different wind speeds.

### 5.2.2 The generator model

A comprehensive synchronous generator model is presented, which takes into account dynamic phenomena occurring in the machine. Since this model has been widely known since the 1930 s, only the main assumptions and results are presented. The dynamic equations of a synchronous machine are derived for a non salient pole machine with damping bars.

## i-Model assumptions

A three-phase, wound-field synchronous generator has three identical armature windings symmetrically distributed around the air-gap, one field winding, and damper windings as shown in fig. 5.9. It is assumed that one damper winding is present in each machine axis. 'Rotor windings' implies the field winding placed on the opposite side of the air gap with respect to the three-phase armature windings.


Fig. 5.9 Synchronous machine model

Some approximations are used in order to simplify the real synchronous machine model:

- Every winding in the machine generates a sinusoidal MMF along the air gap.
- The effects of magnetic saturation and flux fringing are neglected, in other words infinite machine iron permeability.
Inaccuracies introduced by these approximations are normally small enough to be negligible, particularly from the point of view of the machine's dynamic performance.


## ii- Development of the model equations and equivalent circuit

Electrical equations are obtained by applying Kirchoff's voltage law to all windings [5.12]-[5.14].

$$
\begin{align*}
& v_{a b c s}=-r_{s} i_{a b c s}+p \lambda_{a b c s} \\
& v_{d q r}=r_{r} i_{d q r}+p \lambda_{d q r}
\end{align*}
$$

where

$$
\begin{aligned}
& \left(f_{a b c s}\right)^{T}=\left[\begin{array}{lll}
f_{a s} & f_{b s} & f_{c s}
\end{array}\right] \\
& \left(f_{d q r}\right)^{T}=\left[\begin{array}{lll}
f_{f d} & f_{k d} & f_{k q}
\end{array}\right]
\end{aligned}
$$

$$
r_{s}=\left[\begin{array}{ccc}
r_{s} & 0 & 0 \\
0 & r_{s} & 0 \\
0 & 0 & r_{s}
\end{array}\right] \quad r_{r}=\left[\begin{array}{ccc}
r_{f d} & 0 & 0 \\
0 & r_{k d} & 0 \\
0 & 0 & r_{k q}
\end{array}\right]
$$



Fig. 5.10 Synchronous machine equivalent circuit in the $a b c$ frame.

The relationship between the currents and the flux linkages is

$$
\left[\begin{array}{c}
\lambda_{a b c s} \\
\lambda_{d q r}
\end{array}\right]=\left[\begin{array}{cc}
L_{s} & L_{s r} \\
\left(L_{s r}\right)^{T} & L_{r}
\end{array}\right]\left[\begin{array}{c}
-i_{a b c s} \\
i_{d q r}
\end{array}\right]
$$

It is convenient to transform these equations into the rotor $d-q$ reference frame. The voltage and flux linkage equations become time independent. Transformation from the $a b c$ to the $d-q$ reference frame is given by

$$
K_{s}=\frac{2}{3}\left[\begin{array}{ccc}
\cos \theta & \cos \left(\theta-\frac{2 \pi}{3}\right) & \cos \left(\theta+\frac{2 \pi}{3}\right) \\
\sin \theta & \sin \left(\theta-\frac{2 \pi}{3}\right) & \sin \left(\theta+\frac{2 \pi}{3}\right) \\
\frac{1}{2} & \frac{1}{2} & \frac{1}{2}
\end{array}\right]
$$

where

$$
\theta=\int_{0}^{t} \omega_{r}(\zeta) d \zeta+\theta(0)
$$



Fig. 5.11 Transformation from the $a b c$ to the $d-q$ frame.

Note that transformation of the variables preserves total system power: in every time instant, power in the $a b c$ reference frame is equal to the power in the $d-q$ reference frame.

$$
\begin{align*}
& v_{d q 0 s}^{r}=-r_{s} i_{d q 0 s}^{r}+\omega_{r} \lambda_{d q o_{s}}^{r}+p \lambda_{d q 0 s}^{r} \\
& v_{d q r}^{r}=r_{r} i_{d q r}^{r}+p \lambda_{d q r}^{r}
\end{align*}
$$

where

$$
\left[\begin{array}{c}
\lambda_{d q 0}^{r} \\
\lambda_{d q r}^{r}
\end{array}\right]=\left[\begin{array}{cc}
K_{s} L_{s}\left(K_{s}\right)^{T} & K_{s} L_{s r} \\
L_{s r}\left(K_{s}\right)^{T} & L_{r}
\end{array}\right]\left[\begin{array}{c}
-i_{d q 0 s}^{r} \\
i_{d q r}^{r}
\end{array}\right]
$$

where

$$
\begin{gathered}
K_{s} L_{s}\left(K_{s}\right)^{T}=\left[\begin{array}{ccc}
L_{l s}+L_{m} & 0 & 0 \\
0 & L_{l s}+L_{m} & 0 \\
0 & 0 & L_{l s}
\end{array}\right] \\
K_{s} L_{s r}=\left[\begin{array}{ccc}
L_{m} & L_{m} & 0 \\
0 & 0 & L_{m} \\
0 & 0 & 0
\end{array}\right] \\
L_{s r}\left(K_{s}\right)^{T}=\left[\begin{array}{ccc}
L_{m} & 0 & 0 \\
L_{m} & 0 & 0 \\
0 & L_{m} & 0
\end{array}\right]
\end{gathered}
$$

$$
\begin{align*}
{\left[\begin{array}{c}
v_{d} \\
v_{q} \\
v_{0} \\
-v_{f d} \\
-v_{k d} \\
-v_{k q}
\end{array}\right] } & =-\left[\begin{array}{cccccc}
r_{s} & \omega\left(L_{l s}+L_{m}\right) & 0 & 0 & 0 & \omega L_{m} \\
-\omega\left(L_{l s}+L_{m}\right) & r_{s} & 0 & -\omega L_{m} & -\omega L_{m} & 0 \\
0 & 0 & r_{s} & 0 & 0 & 0 \\
0 & 0 & 0 & r_{f d} & 0 & 0 \\
0 & 0 & 0 & 0 & r_{k d} & 0 \\
0 & 0 & 0 & 0 & 0 & r_{s}
\end{array}\right]\left[\begin{array}{c}
i_{d} \\
i_{q} \\
i_{0} \\
i_{f d} \\
i_{k d} \\
i_{k q}
\end{array}\right] \\
& -\left[\begin{array}{cccccc}
L_{l s}+L_{m} & 0 & 0 & L_{m} & L_{m} & 0 \\
0 & L_{l s}+L_{m} & 0 & 0 & 0 & L_{m} \\
0 & 0 & L_{l s} & 0 & 0 & 0 \\
L_{m} & 0 & 0 & L_{l s}+L_{m} & 0 & 0 \\
L_{m} & 0 & 0 & 0 & L_{l s}+L_{m} & 0 \\
0 & L_{m} & 0 & 0 & 0 & L_{l s}+L_{m}
\end{array}\right]\left[\begin{array}{c}
p i_{d} \\
p i_{q} \\
p i_{0} \\
p i_{f d} \\
p i_{k d} \\
p i_{k q}
\end{array}\right]
\end{align*}
$$



Fig. 5.12 Synchronous machine equivalent circuit in the $d-q$ frame.

### 5.2.3 The input filter

Since the synchronous generator normally has a relatively high magnetizing inductance, there is no need to use a high order input filter. The filter is reduced to only capacitors as shown in fig. 5.13.


Fig. 5.13 Input filter reduced to only capacitors.
The filter equation in vector form is:

$$
C \frac{d \overline{v_{s}}}{d t}=\overline{i_{s}}-\bar{i}_{\text {unfiltered }}
$$

and in the $d-q$ form

$$
\begin{gather*}
C \frac{d \overline{v_{d q}}}{d t}-j \omega C \overline{v_{d q}}=\overline{i_{d q}}-\bar{i}_{d q u n f i l t e r e d} \\
C \frac{d v_{d}}{d t}+\omega C v_{q}=i_{d}-i_{d \text { dunfiltered }} \\
C \frac{d v_{q}}{d t}-\omega C v_{d}=i_{q}-i_{q \text { unfiltered }}
\end{gather*}
$$

## Wind turbine



Fig. 5.14 Block diagram of the rectifier stage of a grid-connected matrix converter with an L-filter interfaced.

Fig. 5.15 Block diagram of a grid-connected matrix converter with an L-filter interface.

### 5.3 Simulation results

For simplicity, the grid voltage is assumed to be pure balanced sinusoids. Also, for the rectifier side the generator inertia is assumed to be high, making the speed variation negligible, hence the generator terminal voltage and frequency can be assumed constant at 380 V and 50 Hz . With these assumptions, the simulation using Simulink results at a 6.1 kHz switching frequency are shown in fig. 5.16. The controller gains $\operatorname{are} k_{p}=7.25, k_{i}=0.25$. Both a fast transient response and a zero steady state error performance can be achieved.

| L filter | 5 mH |
| :--- | :--- |
| R filter | $0.5 \Omega$ |

Table 5.2 Filter parameters

| Nominal power | 4 kW |
| :--- | :--- |
| L-L volt | 380 V |
| Internal impedance $\left(R_{p u}, X_{p u}\right)$ | $0.2 \& 3 \mathrm{pu}$ |
| Inertia (s) | 3.7 |

Table 5.3 Synchronous machine parameters



Fig. 5.16 Output response for a sinusoidal grid voltage at a 6.1 kHz switching frequency: (a) output current phase ' $a$ ', (b) output current phase ' $b$ ', (c) output current phase ' $c$ ', (d) output current phase (a)*20 and the grid voltage, (e) quadrature axis current, (f) direct axis current, (g) filtered input current*20 and the generator voltage, (h) unfiltered input current*20 and the generator voltage, and (i) output active power

### 5.4 Unbalanced grid condition

In case of an unbalanced grid voltage as shown in fig. 5.17, the actual quadrature and direct axis voltages with and without the frequency and phase angle estimators are shown in fig. 5.18.


Fig. 5.17 Unbalanced grid voltage


Fig. 5.18 Direct and quadrature axis voltages:
(a) actual direct and quadrature axis voltages versus time response and
(b) direct and quadrature axis voltages versus time response using the frequency estimator.


(g)

Fig. 5.19 Output response for unbalanced grid voltage at a 6.1 kHz switching frequency: (a) output current phase ' $a$ ', (b) output current phase ' $b$ ', (c) output current phase ' $c$ ', (d) quadrature axis current, (e) direct axis current, (f) output active power, and (g) filtered input current*20 and the generator voltage.

Even though balanced pure sinusoidal output currents can be achieved, the output active power oscillates at double the grid frequency around the reference value due to the negative sequence voltage. This is also reflected in the input current waveform. In order to obtain non-oscillatory output power, the controller should be modified to take into account the effect of the negative sequence voltage by using dual current control.

### 5.4.1 Dual vector current controller

In the case of unbalanced grid voltages, the normal vector current controller does not give satisfactory performance.
A dual vector current controller which consists of two separate PI controllers, one for controlling the positive-sequence and the other for controlling the negative-sequence, should be considered. This controller gives good performance when used for grid current control. A simplified block diagram for this controller is shown in Fig. 5.20. The positive sequence PI-controller is described in the positive $d-q$ frame as:

$$
\begin{align*}
& v_{d+, k+l}=e_{d+, k}+R \cdot \sum_{n=0}^{k}\left(i_{d+, n}^{*}-i_{d+, n}\right)+\left(\frac{L}{T_{s}}+\frac{R}{2}\right) \cdot\left(i_{d+, k}^{*}-i_{d+, k}\right)-\omega L \frac{i_{q+, k}^{*}+i_{q+, k}}{2} \\
& v_{q+, k+1}=e_{q+, k}+R \cdot \sum_{n=0}^{k}\left(i_{q+, n}^{*}-i_{q+, n}\right)+\left(\frac{L}{T_{s}}+\frac{R}{2}\right) \cdot\left(i_{q+, k}^{*}-i_{q+, k}\right)+\omega L \frac{i_{d+, k}^{*}+i_{d+, k}}{2}
\end{align*}
$$

The negative sequence PI-controller is described in the positive $d-q$ frame as:

$$
\begin{align*}
& v_{d-, k+l}=e_{d-, k}+R \cdot \sum_{n=0}^{k}\left(i_{d-, n}^{*}-i_{d-, n}\right)+\left(\frac{L}{T_{s}}+\frac{R}{2}\right) \cdot\left(i_{d-, k}^{*}-i_{d-, k}\right)-\omega L \frac{i_{q-, k}^{*}+i_{q-, k}}{2} \\
& v_{q-, k+1}=e_{q-, k}+R \cdot \sum_{n=0}^{k}\left(i_{q-, n}^{*}-i_{q-, n}\right)+\left(\frac{L}{T_{s}}+\frac{R}{2}\right) \cdot\left(i_{q-, k}^{*}-i_{q-, k}\right)+\omega L \frac{i_{d-, k}^{*}+i_{d-, k}}{2}
\end{align*}
$$



Fig. 5.20 Dual PI current controllers for an unbalanced power supply

### 5.4.2 Extraction of the positive and negative sequence components

Decomposition of either the grid voltage or the output current into positive and negative sequences is performed in a $d-q$ frame that rotates in the positive direction. From fig. 5.21, it can be established that the positive sequence vector rotates in the same direction and with the same speed which means that the $d-q$ components will be dc values. On the other hand the negative sequence rotates in the negative direction, which means that its $d-q$ component vectors rotate with double the line frequency in the opposite direction. If the measured quantity in the $d-q$ frame is delayed by $90^{\circ}$, the negative sequence component is shifted by $180^{\circ}$. Adding this to the original quantity nulls the negative sequence component.


Fig. 5.21 Transformation from the $a b c$ to the $d-q$ frame for:
(a) the positive sequence and
(b) the negative sequence.

The positive sequence components can thus be extracted from the measured values as:

$$
\begin{align*}
& x_{d+}(t)=\frac{1}{2}\left(x_{d}(t)+x_{d}\left(t-\frac{T}{4}\right)\right) \\
& x_{q+}(t)=\frac{1}{2}\left(x_{q}(t)+x_{q}\left(t-\frac{T}{4}\right)\right)
\end{align*}
$$

while the negative sequence components are:

$$
\begin{align*}
& x_{d-}(t)=\frac{1}{2}\left(x_{d}(t)-x_{d}\left(t-\frac{T}{4}\right)\right) \\
& x_{q-}(t)=\frac{1}{2}\left(x_{q}(t)-x_{q}\left(t-\frac{T}{4}\right)\right)
\end{align*}
$$

Noted that the negative sequence values $x_{d-}(t)$ and $x_{q-}(t)$ calculated in 5.35 are sinusoidal signals with double the supply frequency. In order to convert these signals to dc values for the current controller equation 5.33 , the following transformation is used

$$
x_{d q-}(t)=e^{-2 j \theta} x_{d q-}(t)
$$



Fig. 5.22 Extraction of the positive and negative sequence components

### 5.4.3 Generation of the reference currents

For an unbalance supply, the apparent power $S$ can be defined in terms of grid voltages and currents resolved into positive and negative sequences in the $d-q$ frame as:

$$
S_{o / p}=\overrightarrow{e_{d q}} \cdot \overrightarrow{i_{d q}}=\left(e_{d q+} e^{j \alpha x}+e_{d q}-e^{-j \alpha x}\right)\left(i_{d q+} e^{j \alpha \alpha}+i_{d q} e^{-j \alpha x}\right)^{*}
$$

which can be written as :

$$
\begin{align*}
S_{o / p} & =\left(e_{d q+} e^{j \omega t}+e_{d q-} e^{-j \omega t}\right)\left(i_{d q+}^{*} e^{-j \omega t}+i_{d q-}^{*} e^{j \omega t}\right) \\
& =e_{d q+} i_{d q+}^{*}+e_{d q-} i_{d q-}^{*}+e_{d q+} i_{d q-}^{*} e^{j 2 \alpha t}+e_{d q-} i_{d q+}^{*} e^{-j 2 \alpha t}
\end{align*}
$$

Rearranging this equation gives

$$
\begin{align*}
S_{o / p}= & S_{+}+S_{-}+(a+j b) e^{j 2 \omega t}+(c+j d) e^{-j 2 \omega t} \\
= & S_{+}+S_{-}+((a+c) \cos 2 \omega t+(d-b) \sin 2 \omega t) \\
& +j((a+c) \sin 2 \omega t-(d-b) \cos 2 \omega t)
\end{align*}
$$

where

$$
\begin{align*}
& S_{+}=\left(e_{d+} i_{d+}+e_{q+} i_{q+}\right)+j\left(e_{q+} i_{d+}-e_{d+} i_{q+}\right) \\
& S_{-}=\left(e_{d-} i_{d-}+e_{q-} i_{q-}\right)+j\left(e_{q_{-}} i_{d-}-e_{d-} i_{q-}\right) \\
& a=e_{d+} i_{d-}+e_{q+} i_{q_{-}} \quad b=e_{d-} i_{q_{+}}-e_{q_{-}} i_{d_{+}} \\
& c=e_{d-} i_{d+}+e_{q-} i_{q+} \quad d=e_{d+} i_{q-}-e_{q+} i_{d-}
\end{align*}
$$

In order to achieve non oscillating power, the terms $(a+c)$ and $(d-b)$ are set to zero. Equation 5.40 can be written in matrix form as:

$$
\left[\begin{array}{c}
p \\
Q \\
a+c \\
d-b
\end{array}\right]=\left[\begin{array}{cccc}
e_{d+} & e_{q+} & e_{d-} & e_{q-} \\
e_{q+} & -e_{d+} & e_{q-} & -e_{d-} \\
e_{q-} & -e_{d-} & -e_{q+} & e_{d+} \\
e_{d-} & e_{q-} & e_{d+} & e_{q+}
\end{array}\right]\left[\begin{array}{c}
i_{d+} \\
i_{q+} \\
i_{d-} \\
i_{q-}
\end{array}\right]
$$

Hence the reference currents can be calculated from:

$$
\left[\begin{array}{l}
i_{d+} \\
i_{q+} \\
i_{d-} \\
i_{q-}
\end{array}\right]=\left[\begin{array}{cccc}
e_{d+} & e_{q+} & e_{d-} & e_{q-} \\
e_{q+} & -e_{d+} & e_{q-} & -e_{d-} \\
e_{q-} & -e_{d-} & -e_{q+} & e_{d+} \\
e_{d-} & e_{q_{-}} & e_{d+} & e_{q_{+}}
\end{array}\right]^{-1} \cdot\left[\begin{array}{l}
P \\
Q \\
0 \\
0
\end{array}\right]
$$

### 5.4.4 Simulation results

The simulation results with the modified current controller (same controller gains) are shown in fig. 5.23. The active power tracking is improved and the double frequency oscillations in the output power are suppressed. This improvement is at the expense of system transient response. The settling time increases since a time delay of a quarter of the cycle is introduced in order to extract the true values of the positive and negative sequence components.



Fig. 5.23 Output response at 6.1 kHz and unbalanced input voltage: (a) output current, (b) output active power, (c) +ve seq. direct axis current, (d) -ve seq. direct axis current, (e) +ve seq. quadrature axis current, (f) -ve seq. quadrature axis current, and (g) filtered input current

## References:

[5.1] B. Pal, B. Chaudhuri, 'Robust Control in Power Systems', Springer (June 21, 2005), ISBN-10: 038725949X.
[5.2] H. J. Sira-Ramirez, R.-Ortigoza, 'Control Design Techniques in Power Electronics Devices', Springer Press (July 5, 2006), ISBN-10: 1846284589.
[5.3] D. A. Paice, 'Power Electronics Converter Harmonics: Multipulse Methods for Clean Power', Wiley-IEEE Press (September 15, 1999).
[5.4] E. Acha, et al. 'Power Electronic Control in Electrical Systems', Newnes (January 16, 2002), ISBN-10: 0780353943.
[5.5] M. H. Rashid, 'Power Electronics Handbook', Academic Press (August 24, 2001), ISBN-10: 0125816502.
[5.6] M. H. Rashid, 'SPICE for Power Electronics and Electric Power, Second Edition', CRC Press (November 02, 2005), ISBN-10: 0849334187.
[5.7] H. Lee Willis, 'Power Distribution Planning Reference Book', CRC (January 02, 2004), Second Edition, ISBN-10: 0824748751.
[5.8] L. Philipson, H. Lee Willis, 'Understanding Electric Utilities and DeRegulation', CRC (September 29, 2005), Second Edition, ISBN-10: 0824727738.
[5.9] W. Perruquetti, 'Sliding Mode Control in Engineering', Marcel Dekker (January 15, 2002), ISBN-10: 0824706714.
[5.10] Fernando D. Bianchi, Hernan De Battista, Ricardo J. Mantz, 'Wind Turbine Control Systems: Principles, Modelling and Gain Scheduling Design', Springer Press (July 10, 2006), ISBN-10: 1846284929.
[5.11] Tony Burton, David Sharpe, Nick Jenkins, Ervin Bossanyi, 'Wind Energy Handbook', John Wiley \& Sons (November 15, 2001), ISBN-10: 0471489972.
[5.12] John Chiasson, 'Modeling and High Performance Control of Electric Machines', Wiley-IEEE Press (April 07, 2005), ISBN-10: 047168449X.
[5.13] Ion Boldea, 'Synchronous Generators (Electric Power Engineering Series)', CRC Press (November 09, 2005), ISBN-10: 084935725X.
[5.14] Chee-Mun Ong, 'Dynamic Simulations of Electric Machinery: Using MATLAB/SIMULINK', Prentice Hall PTR (September 19,1997), ISBN-10: 0137237855.

## CHAPTER SIX

# Unified Power Flow Controller 

## Using The Matrix Converter

One of the main reasons for the USA $14^{\text {th }}$ of August 2003 blackout was the increased loading of the transmission lines, which brought some transmission lines to operate close to their full capacities. FACTS devices can provide realistic solutions to reinforce transmission systems by better utilising the existing transmission network without the need for constructing new transmission lines. The unified power flow controller (UPFC) is a FACTS device that was developed for real-time control and dynamic compensation of ac transmission systems [6.1]-[6.4], providing multifunctional flexibility as explained in chapter 2.
The UPFC has control over all the parameters (voltage, impedance, and phase angle) affecting power flow on a transmission line. It has the ability to control the real and reactive power on the transmission line and the bus voltage simultaneously and independently. The control of the simplified UPFC using the matrix converter shown in fig. 6.1 is considered in this chapter.

### 6.1 Simplified UPFC Model

In fig. 6.1, the series converter (VSC2) is responsible for the UPFC main function of controlling the power flow on the transmission line by series injecting from a synchronous voltage source with controllable magnitude and angle. The shunt converter VSC1 provides both controllable shunt reactive power to control the bus voltage magnitude $l$ and the real power requirements of VSC2.


Fig 6.1 UPFC schematic employing the matrix converter.

The schematic model of a UPFC system is shown in Fig. 6.2. In this figure, $I_{s h}$ and $V_{s}$ represent the shunt current and series voltage of the UPFC respectively, and $Z_{s}$ and $Z_{s h}$ represent the impedances of their coupling transformers. Buses $l$ and $m$ are the sending and receiving buses.


Fig 6.2 Equivalent circuit of a UPFC system using the matrix converter.

There are numerous functional control modes for the UPFC such as direct voltage injection, bus voltage regulation and control, line impedance compensation, phase angle regulation, and automatic power flow control. This chapter deals with the automatic power flow control mode of the series converter since this operating mode fully utilizes the capabilities of the UPFC, and the shunt converter is used in the voltage magnitude control mode.

### 6.2 Series power converter control

The line section between $l$ and $m$ in fig. 6.2 can be represented in the three phase form shown in fig. 6.3. $E_{l}$ and $E_{m}$ are equivalent to the voltages measured at buses $l$ and $m$ respectively.


Fig 6.3 Inverter stage (series power converter).

Applying KVL to the output circuit gives

$$
\begin{align*}
& e_{l a}(t)+v_{s a}(t)-e_{m a}(t)-R i_{a}(t)-L \frac{d i_{a}(t)}{d t}=0 \\
& e_{l b}(t)+v_{s b}(t)-e_{m b}(t)-R i_{b}(t)-L \frac{d i_{b}(t)}{d t}=0 \\
& e_{l c}(t)+v_{s c}(t)-e_{m c}(t)-R i_{c}(t)-L \frac{d i_{c}(t)}{d t}=0
\end{align*}
$$

The transformed equations in the $d-q$ frame will be:

$$
\begin{align*}
& e_{l d}(t)+v_{s d}(t)-e_{m d}(t)-R i_{d}(t)-L \frac{d i_{d}(t)}{d t}+\omega L i_{q}(t)=0 \\
& e_{l q}(t)+v_{s q}(t)-e_{m q}(t)-R i_{q}(t)-L \frac{d i_{q}(t)}{d t}-\omega L i_{d}(t)=0
\end{align*}
$$

In order to write these equations in discrete form, the currents are assumed to be linear during one sample period $[k, k+1]$. Then using the same approximations as used in chapter 4 , the $d q$ voltages become:

$$
\begin{align*}
& v_{s d, k+l}=e_{m d, k}-e_{l d, k}+R \sum_{n=0}^{k}\left(i_{d, n}^{*}-i_{d, n}\right)+\left(\frac{L}{T}+\frac{R}{2}\right) \cdot\left(i_{d, k}^{*}-i_{d, k}\right)-\omega L\left(\frac{i_{q, k}^{*}+i_{q, k}}{2}\right) \\
& v_{s q, k+l}=e_{m q, k}-e_{l q, k}+R \sum_{n=0}^{k}\left(i_{q, n}^{*}-i_{q, n}\right)+\left(\frac{L}{T_{s}}+\frac{R}{2}\right)\left(i_{q, k}^{*}-i_{q, k}\right)+\omega L\left(\frac{i_{d, k}^{*}+i_{d, k}}{2}\right)
\end{align*}
$$

The controller block diagram is shown in fig. 6.4


Fig 6.4 Current controller block diagram

The $d-q$ voltages are then transformed to the equivalent $a b c$ voltages.

### 6.2.1 Generation of the reference currents

The active power $P_{o / p}$ at the converter output is considered equal to the active power at the input $P_{i / p}$, assuming zero losses in the converter switches. Reactive power at the output side $Q_{o / p}$ is independent of the input reactive power $Q_{i / p}$.
The apparent output power at the AC -side of the converter $S_{\mathrm{o} / \mathrm{p}}$ is:

$$
S_{m o / p}=\overrightarrow{e_{m d q}} \cdot \overrightarrow{i_{m d q}}=\left(e_{m d} i_{m d}+e_{m q} i_{m q}\right)+j\left(e_{m q} i_{m d}-e_{m d} i_{m q}\right)
$$

Separating the real and imaginary parts, (6.4) can be expressed in matrix form as:

$$
\left[\begin{array}{c}
P_{m o / p} \\
Q_{m o / p}
\end{array}\right]=\left[\begin{array}{cc}
e_{m d} & e_{m q} \\
e_{m q} & -e_{m d}
\end{array}\right]\left[\begin{array}{l}
i_{m d}^{*} \\
i_{m q}^{*}
\end{array}\right]
$$

The current references are then calculated from:

$$
\left[\begin{array}{c}
i_{m d}^{*} \\
i_{m q}^{*}
\end{array}\right]=\left[\begin{array}{cc}
e_{m d} & e_{m q} \\
e_{m q} & -e_{m d}
\end{array}\right]^{-1}\left[\begin{array}{c}
P_{m o / p} \\
Q_{m o / p}
\end{array}\right]
$$

Assuming the grid voltage has only a $q$ component or in other words that the $q$ axis is aligned with the grid voltage vector, the reference current signals become:

$$
\begin{align*}
& i_{m d}^{*}=\frac{Q_{m o / p}}{e_{m q}} \\
& i_{m q}^{*}=\frac{P_{m o / p}}{e_{m q}}
\end{align*}
$$



Fig 6.5 Series power converter block diagram

### 6.4 Shunt power converter control

The main function of the shunt converter is to control the magnitude of the voltage at bus $l$. This is achieved by adjusting the rectifier stage displacement angle. The shunt converter current can be divided into two parts:

- $I_{p}$ which is in phase with the voltage at bus $l$. This means that it is responsible for producing the active power needed by the series converter.
- $I_{q}$ is in quadrature to the phase voltage, so that it is responsible for the reactive power taken by the matrix converter. It should be noted that this value is independent of the controlled reactive power supplied by the series converter. The equivalent circuit of the shunt converter and its phasor diagram are shown in fig. 6.6.


Fig. 6.6 Shunt converter equivalent circuit and phasor diagram

The real and reactive powers are given by:

$$
\begin{align*}
& Q=i_{s h, D} E_{l} \\
& P=i_{s h, Q} E_{l}
\end{align*}
$$

It can be concluded that $i_{s h, Q}$ is responsible for the active power, while $i_{s h, D}$ is responsible for the reactive power. Thus by regulating $i_{s h, Q}$ and $i_{s h, D}$, real power $P$ and reactive power $Q$ to/from the shunt inverter can be controlled independently. The
active power is the same as that of the series converter, so the only controllable variable is the reactive power component.
The quadrature axis reference current can be estimated by using the output active power and the voltage magnitude at bus bar $m$, while the direct axis reference current is calculated using a PI controller in order to control the voltage magnitude at bus $l$, as given by:

$$
i_{s h, D}^{*}=k_{p}\left(E_{l}^{*}-E_{l}\right)+k_{i} \Sigma\left(E_{l}^{*}-E_{l}\right)
$$

The input displacement angle is estimated as:

$$
\theta_{s h}^{*}=\tan ^{-1}\left(\frac{i_{s h, D}^{*}}{i_{s h, Q}^{*}}\right)
$$



Fig 6.7 Shunt converter controller block diagram

The virtual dc link average voltage is given by

$$
v_{D C}=\hat{V_{L L}} \cdot \frac{\sqrt{3}}{2} \cos \theta_{s h} \cdot \frac{T_{s e f f}}{T_{s}}
$$

This means that the virtual dc link voltage is affected by the input displacement angle $\theta_{\text {sh }}$, hence the output voltage maximum transfer ratio is also affected. This reduces the capability of the series converter to control the active and reactive power flowing through the line.

From fig. 6.8, the series voltage required can be estimated from

$$
\bar{V}_{s}=\bar{E}_{l}-\bar{E}_{m}-\bar{I} \bar{Z} \approx \bar{E}_{l}-\bar{E}_{m}-j \bar{I} X
$$

and its magnitude is calculated from

$$
V_{s} \approx \sqrt{\left[E_{l}-E_{m} \cos \delta-L X \sin (\delta+\theta)\right]^{2}+\left[E_{m} \sin \delta-L X \cos (\delta+\theta)\right]^{2}}
$$



Fig 6.8 Phasor diagram of $E_{l}, E_{m}$ and $I$.

The maximum displacement angle of the shunt converter is calculated from

$$
\hat{\theta}_{s h}=\cos ^{-1}\left(\frac{V_{s}}{0.866 E_{l}}\right)
$$

This represents the shunt converter angle limit beyond which it is difficult to control the transmitted power through the series converter. Therefore the UPFC block diagram is modified to take into account this limit.



Fig 6.9 Different cases of series injected voltage and the corresponding maximum shunt converter angle: (a-d) $x=0.2 \mathrm{pu},(\mathrm{e}-\mathrm{h}) \mathrm{x}=0.4 \mathrm{pu}$


Fig 6.10 Modified shunt converter controller block diagram

### 6.5 Simulation results

With pure sinusoidal grid voltages of $1 \mathrm{pu}, \delta=10^{\circ}$ and $X=0.2 \mathrm{pu}$, the simulations were performed for two systems:

- The shunt converter angle is maintained constant and the series converter is controlled.
- Both shunt and series converters are controllable.


### 6.5.1 Constant shunt converter angle

In order for the series controller to fully control the transmitted active and reactive power through the transmission line, the shunt converter is maintained at a zero displacement angle. Full control of the transmitted power is achieved as shown in figures 6.12 and 6.13.

(a)

(c)

(b)

(d)


Fig. 6.12 Simulated output response for a UPFC using a matrix converter at a 6.1 kHz switching frequency:
(a) receiving end active power, (b) receiving end reactive power, (c) voltage magnitude at 1 bus, (d) series voltage magnitude, (e) shunt current magnitude, and (f) receiving end current magnitude.

### 6.5.2 Shunt converter angle control

If it is required to control both the voltage magnitude at bus $l$ and the transmitted power, the modified algorithm which takes into account the limitations of the shunt converter is used. The voltage can be controlled with a small shunt current as shown in fig. 6.13.

(a)

(b)


Fig. 6.13 Simulated output response for a UPFC using a matrix converter at a 6.1 kHz switching frequency:
(a) receiving end active power, (b) receiving end reactive power, (c) voltage magnitude at 1 bus,
(d) shunt current magnitude, and (f) receiving end current magnitude.

It can be concluded that full control of the transmitted power can be achieved, while full control of the bus bar voltage is limited to certain values of the transmitted power.

## References:

[6.1] V. K. Sood, 'HVDC and FACTS Controllers: Applications of Static Converters in Power Systems', Kluwer Academic Publishers (April 1, 2004), ISBN-10: 1402078900.
[6.2] E. Acha, 'FACTS: Modelling and Simulation in Power Networks', John Wiley and Sons Ltd (February 17, 2004), ISBN-10: 0470852712.
[6.3] B. Ooi, M. Kazerani, 'Unified power flow controller based on matrix
converter', Power Electronics Specialists Conference, 1996. PESC '96
Record', 27th Annual IEEE, Volume: 1, 23-27 June 1996, 502 - 507.
[6.4] Strzelecki R., et al. 'UPFC with matrix converter', 9 ${ }^{\text {th }}$ European Conference on Power Electronics and Applications - EPE 2001. Graz 2001.

## Chapter Seven

## Experimental Setup and Practical Results

In this chapter, the system hardware for the matrix converter is outlined. The power converter circuit, voltage and current sensing, and other elements of the matrix converter system are described. A selection of practical results for the matrix converter used as a standard ac to ac converter and as a UPFC are presented and discussed.

### 7.1 Hardware elements

The hardware components used in the drive system are shown in fig. 7.1 and are discussed in the following sections.

### 7.1.1 The Digital Controller Hardware

The digital controller hardware consists of three units:

- the Digital Signal Processor (DSP);
- the analogue expansion daughterboard; and
- a personal computer (PC).


## i- Digital signal processor

The Texas Instrument digital signal processor TMS320C6711 DSK ${ }^{\text {TM }}$ [7.1] shown in fig. 7.2 is used as the floating point digital controller unit. The main features of this DSP are:

- up to 600 million floating-point operations per second (MFLOPS) and 1200 million instructions per second (MIPS) at a clock rate of 150 MHz .

- 32 general-purpose registers of 32-bit word length and eight independent functional units.
- two-level cache-based architecture. The Level 1 program cache (L1P) is a 32 kbit direct mapped cache and the Level 1 data cache (L1) is a 32-kbit 2way set-associative cache. The Level 2 memory/cache (L2) consists of a 512kbit memory space that is shared as program and data space.
- 16 MB External SDRAM and 128 KB External Flash - provides additional program and data storage
- expansion daughterboard interface for system development.


Fig. 7.2 6711 DSK.
The main role of the DSP is to calculate and determine the inverter and rectifier signals that are compared to the triangular carrier. Signal modification, the inverter and rectifier matrices, the matrix converter states generation and the commutation steps are performed in the expansion daughterboard.

## ii- Analogue expansion daughterboard

The SIGNALWARE AED-106 multi channel parallel analogue expansion daughterboard for the Texas Instruments TMS320C6x allows sensor interfacing [7.2]-[7.4].
The daughterboard has 4-channel A/D converters that can monitor a variety of sensor outputs where simultaneous sampling is required. Each of the $4 \mathrm{~A} / \mathrm{D}$ converters can sample up to 4 single ended or 2 differential channels simultaneously with 12 bit resolution at $1.25 \mathrm{Ms} / \mathrm{s}$ for each channel. Single channels can be sampled at up to 6
$\mathrm{Ms} / \mathrm{s}$. In addition to the analogue channels, three groups of 8 buffered digital signals can be either inputs or outputs. Preprocessing of the A/D samples and control of the converters are achieved with the field programmable gate array, which reduces the DSP load.


Fig. 7.3 AED-106 block diagram.

## iii- The PC

As a means of accessing and programming the digital controller (DSP TMS320C6711 ${ }^{\text {TM }}$ ) in a user-friendly and non-intrusive real-time way, a PC equipped with the Code Composer Studio ${ }^{T M}$ software is used. The program can be written in assembly or C languages. The latter is used for its simplicity and familiarity.

### 7.1.2 The power matrix converter

The conventional matrix converter topology shown in fig. 7.4 is used. The igbt semiconductors used are HGTG12N60A4D [7.5]. They are MOS gated high voltage switching devices combining the best features of MOSFETs and bipolar transistors. These devices have the high input impedance of a MOSFET and the low on-state conduction loss of a bipolar transistor. The low on-state voltage drop varies only moderately between $25^{\circ} \mathrm{C}$ and $150^{\circ} \mathrm{C}$. The IGBTs used are the development type TA49335. The diode used in anti-parallel is the development type TA49371. The main reason for choosing these semiconductor devices, beside the short fall and rise times, is the price; $£ 1.9$ /igbt. The electrical ratings are shown in table 7.1.


Fig. 7.4 Conventional eighteen switch matrix converter.

| collector to emitter voltage | 600 V |
| :--- | :--- |
| collector current continuous <br> at $\mathrm{T}_{\mathrm{C}}=25^{\circ} \mathrm{C}$ <br> at $\mathrm{T}_{\mathrm{C}}=110^{\circ} \mathrm{C}$ | 54 A |
| Collector current pulsed | 23 A |
| $>100 \mathrm{kHz}$ operation | 96 A |
| 200 kHz operation | $390 \mathrm{~V}, 12 \mathrm{~A}$ |
| Typical fall time | $390 \mathrm{~V}, 9 \mathrm{~A}$ |
| Typical rise time | 70 ns at $\mathrm{T}_{\mathrm{J}}=125^{\circ} \mathrm{C}$ |

Table7.1 The igbt electrical ratings.

### 7.1.3 Voltage supply

An $8.3 \mathrm{kVA}, 415 \mathrm{~V}, 50 \mathrm{~Hz}$ three phase autotransformer is used to control the input voltage magnitude. Its output voltage range is 0 to 465 V on the 415 V ac mains. The practical output voltage as shown in fig. 7.5 is unbalanced (6\%) and contains $1 \%$ and $0.08 \%$ fifth and seventh harmonics component respectively.


Fig. 7.5 Output voltage from the autotransformer.

### 7.1.4 The R-L load

A constant R-L load is used to demonstrate the novel technique presented in chapter 4. The typical load values are shown in table 7.2. These values are used in the output current controller. The measured values for the resistances are $19.8,20.5$, and $21.3 \Omega$
for phase $\mathrm{a}, \mathrm{b}$ and c respectively. For the inductors, the measured values are 20.4, 19.6 , and 18.7 mH .

| $\mathrm{R} /$ phase | $21.5 \Omega$ |
| :--- | :---: |
| $\mathrm{~L} /$ phase | 20 mH |

Table 7.2 Load typical Values

### 7.1.5 The input power filter

The main function of the input power filter is to reduce the input current ripple with minimum reactive element energy.
The design of the input filter in fig. 7.6 meets the following requirements:

- A high attenuation at the switching frequency.
- Minimized voltage drop across the filter to achieve a high overall voltage transfer ratio.
- Minimized weight and volume.
- Maximized operating power factor.

The input filter characteristics are shown in table 7.3.


Fig. 7.6 The input filter.

| L/phase | 6.3 mH |
| :---: | :---: |
| $\mathrm{C} /$ phase | $10 \mu \mathrm{~F}$ |
| $\mathrm{R} / \mathrm{phase}$ | $20 \Omega$ |
| $\omega_{\mathrm{c}}$ | $4500 \mathrm{rad} / \mathrm{s}$ |
| \% voltage drop at full load | $0.15 \%$ |
| Attenuation at the <br> switching frequency | -26 dB |

Table 7.3 Input filter typical values and characteristics.

### 7.1.6 Current and voltage measurements

## i- Current sensing unit

Phase current sensing uses two Hall-effect current transducers: LEM LA100 P [7.6] which have the following specifications:

- accuracy $\pm 0.45 \%$.
- linearity $<0.15 \%$.
- thermal drift $-25^{\circ} \mathrm{C} \ldots .85^{\circ} \mathrm{C} \pm 0.05 \ldots \pm 0.25 \mathrm{~mA}$.
- response time $<1 \mu \mathrm{~s}$.
- bandwidth ( -1 dB ) dc... 200 kHz .
- high immunity to external interference.

For a sensed current in the range -10 to 10 A , the voltage output signals of these transducers are in the range -1.5 to 1.5 V , which is the $\mathrm{A} / \mathrm{D}$ input voltage limits. The relationship between input current $I_{p}$ and transducer output voltage $V$ is:

$$
V=0.15 I_{P}
$$

## b-Voltage sensing unit

For an input voltage in the range -240 to 240 V , the voltage output signals of these transducers should be within the range -1.5 and 1.5 V which is the $\mathrm{A} / \mathrm{D}$ input voltage limits. The relationship between input voltage $V_{i}$ and transducer output voltage $V$ is described by:

$$
V=\frac{0.15}{24} V_{i}
$$

Line voltage sensing was carried out using voltage transducers: LEM LV25-P [7.7] which have the following specifications:

- Accuracy $\pm 0.9 \%$.
- linearity $<0.2 \%$.
- thermal drift $\pm 0.06 \ldots \pm 0.25 \mathrm{~mA}$ at $0^{\circ} \mathrm{C} \ldots .25^{\circ} \mathrm{C}$.
- response time $40 \mu \mathrm{~s}$.
- high bandwidth ( $L / R$ dependant).
- high immunity to external interference.


### 7.1.7 Switch commutation

Four quadrant switches are needed for the matrix converter. Four quadrant switches are able to block voltage of both polarities and to conduct current in either direction. Such a specification is realised by the connection of two diodes and two switches as shown by the combination of $S_{I A}, S_{2 A}, S_{I B}$, and $S_{2 B}$ in fig. 7.7. The four quadrant switches in a matrix converter configuration suffer commutation difficulties. In this figure, it is assumed that the load is connected to phase 'A' ( $S_{I A}, S_{2 A}$ are on and $S_{I B}$, $S_{2 B}$ are off). It is required to switch the connection to phase ' B '.


Fig. 7.7 The 2-1 matrix converter
The main constraint in the commutation process is to ensure that:

- no short circuit occurs between the input terminals A and B.
- no open circuit occurs in the inductive load circuit.

The commutation procedure used is current direction dependent. Figures.7.8 and 7.9 illustrate the commutation procedure during the load current positive and negative half cycles respectively. During the load current positive half cycle represented in fig. 7.8, $S_{1 A}$ carries the load current and no current flows in $S_{2 A}$. So $S_{2 A}$ is turned off first. Then $\mathrm{S}_{I B}$ which should carry the load current is turned on. Then $S_{I A}$ is turned off, so the current flows in $S_{I B}$. Finally, $S_{2 B}$ is turned on. During the negative half cycle, the procedure is the same with $S_{I A}, S_{I B}$ interchanged with $S_{2 A}, S_{2 B}$.


Fig. 7.8 Commutation procedure for a positive load current.


Fig. 7.9 Commutation procedure for a negative load current
The commutation logic circuitry performs two functions. The first is to set the four step commutation delays ( $x_{1}$ to $x_{4}$ ). The second is to decode which of these delayed signals should be applied to each switch during commutation. The delay circuit uses four $D$ flip flops, as shown in fig. 7.10.


Fig. 7.10 Logic circuitry for setting the commutation delay times.

The signal selection depends on the switch state and the current direction; as to whether it should be turned on, off or unchanged. The switch state is determined by $x$ and $x_{1}$. If $x$ and $x_{1}$ are the same then there is no need to change the switch state. If $x=1$ and $x_{I}=0$ then the switch $x$ should be turned off. If $x=0$ and $x_{I}=1$ then $x$ is turned on. These cases are summarized in table 7.4.

| $x$ | $x_{1}$ | ON | OFF | No change |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 1 | 0 |
| 1 | 1 | 0 | 0 | 1 |

Table 7.4 Truth table for the switch states and delay signals.


Fig. 7.11 Logic circuitry for determining the switch states.

The four delay states $\left(x_{1}, x_{2}, x_{3}\right.$ and $\left.x_{4}\right)$ for each switch are summarized in table 7.5 .

| $N . C$. | ON | OFF | DIR | $S_{1}$ | $S_{2}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $D$ | $d$ | $d$ | $x_{1}$ | $x_{1}$ |
| 0 | 1 | 0 | 0 | $x_{4}$ | $x_{2}$ |
| 0 | 1 | 0 | 1 | $x_{2}$ | $x_{1}$ |
| 0 | 0 | 1 | 0 | $x_{1}$ | $x_{3}$ |
| 0 | 0 | 1 | 1 | $x_{3}$ | $x_{1}$ |
| 0 | 1 | 1 | $d$ | $X X$ | $X X$ |

Table 7.5 Truth table for delay signal selection according to switch states and current direction.

### 7.1.8 The clamp circuit

A clamp circuit for matrix converter protection is shown in Fig. 7.12. The clamp consists of two rectifier bridges using fast-recovery diodes at the input and output. A capacitor takes the commutation energy. A parallel resistor discharges the capacitor. The design is based on the assumption that a fault has generated an error signal that immediately turns off all the converter switches. The clamp's task is to absorb the load energy thereby preventing power switch damage. The total energy stored in the three-phase inductances carrying sinusoidal balanced currents is calculated as [7.8]

$$
\frac{3}{4} \hat{I}_{o L_{\text {Load }}}^{2}=\frac{1}{2} C\left(\hat{V}_{i}^{2}-\hat{V}_{i L}^{2}\right)
$$

where $\hat{I}_{o}$ : the maximum output current
$L_{\text {load }}$ : the load inductance
$\hat{V}_{i}$ : the maximum admissible voltage
$\hat{V_{i L}}$ : the maximum input


Fig. 7.12 The clamping circuit.

### 7.1.9 Signal filter

In order to avoid distortion, ground noise and glitches in the output from the daughterboard shown in fig. $7.13 \mathrm{a}, \mathrm{HCPL}-2630$ dual-channel optocoupler isolation is used with the following specifications:

- high speed-10 MBit/s,
- CMR-10 kV/ $\mu \mathrm{s}$,
- Double working voltage -480 V ,
- Fan-out of 8 over $-40^{\circ} \mathrm{C}$ to $+85^{\circ} \mathrm{C}$,
- Strobable output, and
- Wired OR-open collector.


Fig. 7.13 Output to the switches from the gate drive:
(a) before the optocoupler (inverted)
(b) after the optocoupler.

The optocouplers solve the problem of distorted output signals but they introduce a delay as shown in fig. 7.14. This increases the time delay required for commutation. Normally the second and third commutation steps can be performed simultaneously. This is because the turn-off delay time of an igbt is greater than its turn-on delay time. This feature cannot be used because of the optocoupler delay. Instead of using a maximum delay of $0.5 \mu \mathrm{~s}$ for commutation, $2.125 \mu \mathrm{~s}$ is used.


Fig. 7.14 (a) Optocouplers output signals, (b) Gate drives output signals and (c) Gate drives signals for phase a.

### 7.1.10 Digital filtering

Fig. 7.15a shows the measured ac voltage signal received by the DSP for a sinusoid input voltage. In order to reduce noise effects and avoid transients in the measured signals, they are filtered. First, the zero signals are eliminated as shown in fig. 7.15b. Second, four consecutive readings are taken instead of one per measured signal, the average of these four readings (moving average) is

$$
x_{k}=\frac{x_{1}+x_{2}+x_{3}+x_{4}}{4}
$$

This reduces the noise effect as shown in fig. 7.15c.
Then the signals are low pass filtered again.

$$
\frac{Y(s)}{X(s)}=\frac{\omega_{0}}{s+\omega_{0}}
$$

which is

$$
\frac{d y}{d t}+\omega_{0} y=\omega_{0} x
$$

or in discrete form

$$
y_{k}=\frac{y_{k-1}+\omega_{0} T_{s} x_{k}}{\left(1+\omega_{0} T_{s}\right)}
$$

The input voltages are filtered and the delay is compensated since only the input phase angle is required. Instantaneous output currents are needed so no filtering is performed. This reduces the robustness of the current feedback control.

(a)

(b)

(c)

Fig. 7.15 (a) Measured sinusoidal voltage from the DSP without filtering
(b) measured signal after eliminating the zero notches and
(c) measured signal after averaging four consecutive signals.

### 7.2 Ac to ac matrix converter practical results

Both open loop and closed loop test are performed to show the controller's ability of controlling the output current even with asymmetrical voltage sources. Analysis of the practical results is given.

### 7.2.1 Open loop

Open loop control without output current feedback is investigated first. The output frequency is 80 Hz with a unity input power factor (zero displacement angle) and a 6.1 kHz switching frequency. The frequency and phase angle are estimated on the input side. Fig. 7.16 shows the flow chart of the open loop DSP program.
The practical results for the open loop tests are shown in fig. 7.17 parts a to f . The analysis focuses on the output and input current waveforms and their harmonic spectrum.
By considering the output current, the virtual dc link voltage with an unbalanced, flat-topped source is analysed to interpret the output current harmonic spectrum. The virtual dc link of an unbalanced source with its positive and negative components are shown in fig. 7.18 and is of the form

$$
\begin{align*}
v_{D C}= & v_{l} \cdot m_{l}+v_{2} \cdot m_{2} \\
= & \left(\left(\hat{V_{i p}} \cos (\delta+\phi)+\hat{V}_{t n} \cos (\delta-\phi+\varphi)\right) \sin \left(\frac{\pi}{3}-\delta\right)\right. \\
& \left.+\left(\hat{V_{t p}} \cos \left(\delta+\phi-\frac{\pi}{3}\right)+\hat{V}_{i n} \cos \left(\delta-\phi+\varphi+\frac{\pi}{3}\right)\right) \sin \delta\right) \frac{T_{s e f f}}{T_{s}}
\end{align*}
$$

where $\varphi$ is the angle between the negative and positive sequence components of phase a as shown in fig. 7.18.
The virtual dc link average voltage in the case of an unbalanced voltage supply is given by

$$
v_{D C}=\left(\hat{V}_{t p} \cdot \frac{\sqrt{3}}{2} \cos \phi+\hat{V}_{i n} \cdot \frac{\sqrt{3}}{2} \cos (2 \omega t-\phi+\varphi)\right) \frac{T_{s e f f}}{T_{s}}
$$

which is shown in fig. 7.19. For this virtual de voltage, the output current harmonic spectrum must contain two components, at $2 f_{i} \pm f_{0}$. This explains the presence of the low frequency component at $20 \mathrm{~Hz}(2 \times 50 \mathrm{~Hz}-80 \mathrm{~Hz})$ and the fact that the
output current waveform given in fig. 7.17 looks as if modulated at 20 Hz (appendix b).


Fig. 7.16 Open loop flow chart.


Fig. 7.17 Experimental output response for $f_{o}=80 \mathrm{~Hz}$, unity input power factor at $f_{s}=6.1 \mathrm{kHz}$ :
(a) output current, (b) output current harmonic spectrum, (c) output voltage,
(d) unfiltered input current and input voltage, (e) filtered input current, and (f) input
current harmonic spectrum.


Fig. 7.18 Decomposition of signals into positive and negative sequence components.


Fig. 7.19 The simulated virtual dc link voltage at unity modulation index and zero displacement angle for $f_{o}=80 \mathrm{~Hz}$ :
(a) balanced voltage supply and (b) unbalanced voltage supply (5\%).

For a flat-topped voltage source which contains fifth and seventh harmonic components as shown in fig. 7.20, the virtual dc link voltage is:
$v_{D C}=\left(\hat{V}_{11} \cdot \frac{\sqrt{3}}{2} \cos \phi+\hat{V}_{i 5} \cdot \frac{\sqrt{3}}{2} \cos \left(6 \omega t-\phi+\varphi_{s}\right)+\hat{V}_{i 7} \cdot \frac{\sqrt{3}}{2} \cos \left(6 \omega t-\phi+\varphi_{7}\right)\right) \frac{T_{s e f f}}{T_{s}}$

The virtual dc link voltage in the case of a flat-topped source is shown in fig. 7.21. The output current contains harmonic components at $6 f_{i} \pm f_{0}$.


Fig. 7.20 Flat topped source fundamental, fifth, and seventh harmonic components.


Fig. 7.21 The simulated virtual dc link average voltage at unity modulation index and zero displacement angle for $f_{o}=80 \mathrm{~Hz}$ :
(a) balanced voltage supply and
(b) flat-topped voltage supply ( $1 \%$ fifth and seventh harmonics).

Analysis of the virtual dc link current can explain the input current harmonic spectrum. The inverter stage uses space vector modulation. By neglecting the effect of the rectifier stage zero vectors and considering the output phase voltage has only a fundamental component for simplicity, the output current will be unbalanced due to the link imbalance reflected on the load. This means that the output current has positive and negative components. The virtual dc link current is no longer a constant value, instead it will contain an oscillatory component at double the output frequency due to the output current negative sequence component as follows

$$
i_{D C}=\left(\hat{I_{o p}} \cdot \frac{\sqrt{3}}{2} \cos \phi_{L}+\hat{I_{o n}} \cdot \frac{\sqrt{3}}{2} \cos \left(2 \omega_{o} t-\phi_{L}+\sigma\right)\right)
$$

where $\phi_{L}$ is the load power factor angle of the positive sequence component, and $\sigma$ is the angle between the positive and negative components of phase a. Each of the output current harmonic components ( $6 f_{i} \pm f_{o}, 2 f_{i} \pm f_{o}$ ) will also have positive and negative sequence components. The input current related to any harmonic component $\omega_{x}$ will be:
$i_{i}=\left(\hat{I_{o p x}} \cdot \frac{\sqrt{3}}{2} \cos \left(\left(\omega_{i} \pm\left(\omega_{o}-\omega_{x}\right)\right) t-\phi_{x}\right)+\hat{I_{o n x}} \cdot \frac{\sqrt{3}}{2} \cos \left(\omega_{i} \pm\left(\left(\omega_{o}+\omega_{x}\right)\right) t+\phi_{x}\right)\right)$
A constant virtual dc current is necessary to obtain sinusoidal balanced input currents at the supply frequency similar to a normal current source inverter as in equation 3.13. Any oscillatory components in the virtual dc link will be reflected in the input current. This means that the input current contains components at $f_{i} \pm f_{o} \pm f_{x}$. These components affect the input current quality hence the input voltage to the matrix converter.
Another factor affecting the input current quality is the effect of the input filter $Q$ and its corner frequency. Frequencies in the spectrum near the corner frequency will be amplified, not attenuated as shown in Fig. 7.22.


Fig. 7.22 Input filter frequency response.

### 7.2.2 Closed loop control

Closed loop control of the output side current is performed using the PI controller explained in chapter 4 with the same controller gains $k_{p}=34, k_{i}=5.125$. The study uses 20 and 80 Hz output frequencies, unity input power factor (zero displacement angle), and at a 6.1 kHz switching frequency. For the input side, the frequency and phase angle are estimated. Fig. 7.23 shows a flow chart of the closed loop DSP program (appendix c).

The closed loop practical results are shown in figures 7.24 and 7.25 parts a to f . The practical results focus on the output and input current waveforms and their harmonic spectrum.

For the 20 and 80 Hz output currents, the current quality is improved when compared with the open loop case in fig. 7.17, as indicated in the harmonic spectrum. The low order harmonic that appears in the open loop case has been reduced. The quality of the current is poorer than the simulation results due to:

- The effect of noise on the measured signals.
- The long switch commutation times are not accounted for in the simulation.
- Imbalance in the output load since the controller transient response is dependant on the load parameters.
The input current is slightly improved, but sinusoidal output current is at the expense of the input current quality due to the absence of any internal storage elements in the matrix converter. The input current at a 20 Hz output frequency is better than that at 80 Hz . This is due to the effect of the input filter on the frequencies near its corner frequency.


Fig. 7.23 Closed loop flow chart.


Fig. 7.24 Practical output response for $f_{o}=80 \mathrm{~Hz}$, unity input power factor at $f_{s}=6.1 \mathrm{kHz}$ for closed loop control: (a) output current, (b) output current harmonic spectrum, (c) output line voltage, (d) filtered input current and input voltage, (e) filtered input current, and (f) input current harmonic spectrum.


Fig. 7.25 Practical output response for $f_{o}=20 \mathrm{~Hz}$, unity input power factor at $f_{s}=6.1 \mathrm{kHz}$ for closed loop control: (a) output current, (b) output current harmonic spectrum, (c) output line voltage, (d) filtered input current and input voltage, (e) filtered input current, and (f) input current harmonic spectrum.

### 7.3 Unified power flow controller

The matrix converter when used for a unified power flow controller relies on a symmetrical ac voltage supply. Any asymmetry in the voltage will be reflected in either the input or output currents, and deteriorates the line current quality. The basic
idea of the UPFC shown in fig. 7.26 is to control the injected series voltage $\left(V_{s}\right)$ and shunt current $\left(I_{s h}\right)$. The ability of the matrix converter to control the series voltage is demonstrated briefly in the following section.


Fig. 7.26 Schematic diagram of a UPFC employing a matrix converter

The main function of the series converter is to control the transmitted power through a transmission line. This can be achieved by regulating the voltage at bus 2 which can be controlled by the injected series voltage according to the relations

$$
\begin{align*}
& v_{s}=\sqrt{v_{l}^{2}+v_{2}^{2}-2 v_{l} v_{2} \cos \theta} \\
& \theta_{v}=\pi-\sin ^{-l}\left(\frac{v_{2}}{v_{s}} \sin \theta\right)
\end{align*}
$$



Fig. 7.27 Phasor diagram of a UPFC series converter

The circuit shown in fig. 7.28 is used to demonstrate the ability of the matrix converter to control the injected series voltage. The input side is connected to the
secondary of an autotransformer, while the output side is connected to the line through three single phase transformers.


Fig. 7.28 Schematic diagram of the experimental setup.

The experiment performance involves two steps (appendix d):

- Maintain the injected series voltage magnitude constant and vary its phase angle.
- Keep the injected series voltage phase angle constant and vary the magnitude.


### 7.3.1 Phase angle control

For the UPFC circuit shown, the matrix converter output and input frequencies are equal, around the 50 Hz . Hence the instantaneous output voltage phase angle is calculated using the input phase angle estimator with the required phase shift. The output voltage is connected directly to the series transformer without an intermediate filter, and the load terminals are connected to an R-L load. The modulation index is maintained constant at 0.6 while the inserted voltage phase angle is varied. The matrix converter input displacement angle is set to zero to allow full control of the output voltage. The selected experimental results are shown in fig. 7.29 parts a to d.

(a)

(b)

(c)

(d)

Fig. 7.29 Experimental results for varying the injected series voltage phase angle: (a) $0^{\circ}$, (b) $90^{\circ}$, (c) $180^{\circ}$, and (d) $-90^{\circ}$

### 7.3.2 Magnitude control

The phase angle is maintained constant at $90^{\circ}$ while varying the output voltage modulation index, that is varying $V_{s}$. Fig. 7.30 a to c shows the experimental results.

(a)

(b)

(c)

Fig. 7.30 Experimental results for varying the injected series voltage modulation index: (a) 0.5 , (b) 0.866 , and (c) 0.95 .

The distortion on the output waveforms is due to a number of factors:

- Since the input voltage to the matrix converter is reduced, the percentage unbalance in the phase voltages increases as illustrated by the autotransformer waveforms in fig. 7.31. The constancy of the virtual dc link deteriorates as the degree of supply imbalance increases as shown in the figure. This reduces the maximum operating voltage transfer ratio, which means that the matrix converter is likely to be operating in the over modulation region.

$$
v_{D C}=\left(\hat{V}_{i p} \cdot \frac{\sqrt{3}}{2} \cos \phi+\hat{V}_{\text {in }} \cdot \frac{\sqrt{3}}{2} \cos (2 \omega t-\phi+\varphi)\right) \frac{T_{\text {seff }}}{T_{s}}
$$



Fig. 7.31 Virtual dc link voltage: (a) symmetrical input voltage and neglecting the ( $\mathrm{T}_{3}$ eff $/ \mathrm{T}_{3}$ ) term, (b) asymmetrical input voltage and neglecting the ( $\mathrm{T}_{\mathrm{s}}$ eff $/ \mathrm{T}_{3}$ ) term, (c) symmetrical input voltage and taking into account the ( $\mathrm{T}_{3}$ eff $\mathrm{T}_{3}$ ) term, and (d) symmetrical input voltage and taking into account the ( $\mathrm{T}_{\mathrm{s} \text { eff }} \mathrm{T}_{3}$ ) term ( $30 \%$ unbalance).

- The measurement transducer offset effects at low voltage is more dominant. Considering the $d q$ components of three-phase ac signals affected by dc offsets

$$
v_{i}(t)=V_{i}\left[\begin{array}{c}
a+\cos \left(\omega_{i} t\right) \\
b+\cos \left(\omega_{i} t+2 \pi / 3\right) \\
c+\cos \left(\omega_{i} t+4 \pi / 3\right)
\end{array}\right]
$$

The $d q$ components in this case are a combination of two signals: a dc component due to the sinusoidal part and a sinusoid signal at 50 Hz due to the offsets.


Fig. 7.32 Transducer offset effect:
(a) d component in case of $10 \%$ offset in the transducers.
(b) $q$ component in case of $10 \%$ offset in the transducers.

- The noise effect on the measured signal is more dominant at low input voltages. Transducers should be operated as close as possible to full scale deflection.
- The filter for the series injected voltage used is an L-filter. Using an LCL filter will reduce the effect of the distortion in the output waveforms.
It should be noted that the matrix converter in the second case is operating as a series VAr compensator by adjusting the injected series voltage magnitude. For series compensation, the matrix converter input displacement angle is null, and the matrix converter output voltage phase angle is set to $90^{\circ}$, and its magnitude is calculated from

$$
V_{s}=V_{1} \tan \theta
$$

Since the output voltage phase angle is maintained at $90^{\circ}$, the matrix converter output active power is zero, hence its input power is equivalent to its internal losses (semiconductor and component losses), hence the input current is low. Also when the output voltage is uncontrolled, its magnitude is given by:

$$
V_{2}=\sqrt{V_{1}^{2}+V_{s}^{2}}
$$



Fig. 7.33 Series compensator phasor diagram.
These relations are valid for a symmetrical voltage supply. In case of imbalance in the supply voltages, closed loop control is necessary to adjust the series injected voltage. The input voltage imbalance will be reflected in the input current even with closed loop control due to the absence of any energy storage element. Iteration gives the required phase displacement as achieved in fig. 7.34.


Fig. 7.34 Experimental results for a series compensator.
In order to control the load voltage magnitude as well, both the injected series voltage angle and magnitude should be controlled. The series voltage magnitude is calculated from

$$
v_{s}=\sqrt{v_{1}^{2}+v_{2}^{2}-2 v_{l} v_{2} \cos \theta}
$$

and its phasor angle is

$$
\theta_{v}=\pi-\sin ^{-1}\left(\frac{v_{s} \sin \theta}{v_{2}}\right)
$$

Since the injected voltage and the load current are not orthogonal, the matrix converter output power is not null. So the input current is not minimal. Compensation results are shown in fig. 7.35.

(a)

(b)

Fig. 7.35 Modified series compensator phasor diagram:
(a) phasor diagram and
(b) experimental results.

## References:

[7.1] http://focus.ti.com/docs/toolsw/folders/print/tmds320006711.html.
[7.2] http://www.signalware.com/dsp/AED 106a.htm.
[7.3] http://focus.ti.com/lit/ds/symlink/ths1206.pdf.
[7.4] http://focus.ti.com/lit/ds/symlink/ths4052.pdf.
[7.5] http://www.fairchildsemi.com/ds/HG\%2FHGTG12N60A4D.pdf
[7.6] http://www.lem.com/docs/products/LA\ 100-P.pdf
[7.7] http://www.lem.com/docs/products/LV\ 25-P\ E.pdf

## Chapter Eight

## Conclusion

### 8.1 General conclusions

The matrix converter offers a practical replacement for back-to-back converters with less weight and size due to the absence of the intermediate stage storage elements. Its virtual de link voltage magnitude is proportional to the output modulation index. This gives higher resolution and better performance at low modulation indices for a symmetrical ac voltage supply, when compared with back-to-back converters. An approach has been proposed in chapter three which directly converts from back-to-back VSI switch states to the switch states of the matrix converter and vice versa. The approach offers explanation of matrix converter operation in terms of back-toback converters operation, and vice versa.
The absence of any intermediate storage elements, which is seen as the main advantage of the matrix converter, has some drawbacks. The input and output active powers are not decoupled; this means that any transient in either side of the converter is reflected directly to the other side. The effective dc link voltage is less than that of back-to-back converters; hence the maximum output voltage margin is lower. This margin is also affected by the input displacement angle. Furthermore, an asymmetrical ac voltage supply reduces the matrix converter maximum transfer ratio and is detrimental to its performance. This is not the case for back-to-back converters.

With an asymmetrical ac voltage supply and without feedback control on both sides of the converter, the voltage oscillation on the virtual dc link deteriorates the performance of both the input and output. For this reason, fast controllers are required to produce symmetrical currents at either the input or the output.

For the conventional matrix converter, it was shown in chapter four, that it is not possible to obtain symmetrical currents at both the input and output ends. If symmetrical input and output currents are required, a fictious intermediate storage element is implied to decouple the input and output active power.

The bidirectional properties of the matrix converter can be used in many power system applications such as in embedded generation and for a unified power flow controller. Even under asymmetrical grid voltage connection for embedded generation, it was shown in chapter five that the matrix converter can provide balanced output current, but this produces oscillatory active power at both the input and output. Using dual vector current controllers, non-oscillatory active power transfer can be achieved.

The active and reactive power transmitted through the transmission system can be controlled using the matrix converter as a UPFC. It can also control the voltage magnitude at any point of a transmission system. Both voltage magnitude and power control using the matrix converter can be achieved with certain limitations, being restricted by the lack of any intermediate energy storage element, as considered in chapter six.

These conclusions are summarised in table 8.1.

|  | Conventional Matrix Converter | Back-to-Back Converters |
| :---: | :---: | :---: |
|  | - Max. $0.866 V_{i m}$. <br> - The dc voltage constancy is affected by the asymmetry in either the input or output side voltage. <br> - Proportional to the output modulation index, which gives better performance at low modulation indices. | - No max. limit on the voltage magnitude. <br> - The de voltage constancy depends mainly on the capacitance. <br> - Not dependent on the output modulation index. Same performance at high and low modulation indices requires changing the link capacitance. |


|  | - Coupling between the input and output active power. <br> - Both input and output currents are deteriorated without closed loop control. <br> - Either symmetrical input or output currents can be achieved and it requires fast controller. | - Decoupling between input and output active power can be easily achieved. <br> - Symmetrical input and output currents can be obtained without closed loop control. |
| :---: | :---: | :---: |
|  | - Under symmetrical supply, high current performance can be achieved at both ends at any modulation index. <br> - Asymmetrical grid voltage requires dual current controller to achieve non oscillatory output power, hence non oscillatory input power. | - Under symmetrical supply, high current performance can be achieved at high modulation indices. The performance reduces at low modulation indices. <br> - Asymmetrical grid voltage does not require any current controller modification since the output and input powers are decoupled. Constant output power requires dual current controller. |
|  | - Either the transmitted power through the line or voltage magnitude control can be achieved. <br> - Control of both voltage and power transmitted is restricted. <br> - The performance is affected by grid voltage asymmetry. | - Control of both voltage and power transmitted can be achieved without any limitations. <br> - The performance is not affected by grid voltage asymmetry. |

Table 8.1 Suitability of matrix and back to back converters to power system applications.

### 8.2 Author's Contribution

A new matrix representation approach to control the matrix converter has been introduced in chapter three. The approach depends mainly on output current control feedback and the use of logic circuitry to perform the matrix converter functions. The introduction of a virtual dc link has been used to explain output voltage magnitude limitations, and the relationship between input and output current harmonics. Logic circuitry is used for most functions and for the conversion from a back-to-back converter to a matrix converter. This reduces the DSP computations required.

In addition, as considered in chapter three, the decoupled matrix approach exposes possibilities for reducing the average number of the switching commutations per cycle which increases converter efficiency and reduces device stresses. Furthermore, the matrix approach offers a basis for alternative explanation and interpretation of matrix converter operation.

The technique enables the removal of asymmetrical voltage effects from the output current within certain limits, depending on the robustness of the output current controller and the level of input asymmetry whilst lowering the achievable modulation index. In chapter four, matrix converter operation has been analysed under asymmetrical input voltage conditions, for both unbalanced and a flat-topped input ac voltage supply. For the first time, it has been proved mathematically, that under asymmetrical input voltage conditions, it is not possible to obtain symmetrical currents at both converter ends, without an intermediate energy storage element. Either obtaining symmetrical input or output currents require a fast robust controller, at the expense of the current quality at the uncontrolled end.
In chapter five, the performance of the matrix converter connected to the grid through an L-filter under balanced and unbalanced grid conditions was evaluated. Non-oscillatory output power is obtained for embedded generation even with asymmetrical grid voltages, by using a dual current controller to control both the positive and negative sequence current components. Extraction of the components delays the $d q$ components by $90^{\circ}$.
The ability of the matrix converter to control either the voltage magnitude or the transmitted active and reactive power through the transmission line has been demonstrated in chapter six. The matrix converter limitation to control both the voltage magnitude and the transmitted power simultaneously as a unified power flow controller due to the coupling between the input and output active powers has been explored.

### 8.3 Future research

The complete rectifier stage can be implemented using logic circuitry (FPGA) including the phase displacement angle and frequency estimator. This represents a
final step towards transforming from the six switch states of the standard VSI to the eighteen switches of the matrix converter.
In the case of back-to-back converters, a study of the smallest capacitance required to decouple the input and output active power and its relationship with the degree of asymmetry in the input ac voltage supply could be undertaken.
Switch commutation sequences can be imbedded into the rectifier and inverter matrices before transforming them to the eighteen switch states of the matrix converter. This approach can combine and embed dead banding and overlap into the transition matrices. If the transition is in the inverter matrix, the sequence of the inverter matrices during commutation will be:
$a=\left[\begin{array}{ll}1 & 0 \\ 0 & 1 \\ 1 & 0\end{array}\right], b=\left[\begin{array}{ll}0 & 0 \\ 0 & 1 \\ 1 & 0\end{array}\right], c=\left[\begin{array}{ll}1 & 1 \\ 0 & 1 \\ 1 & 0\end{array}\right], d_{1}=\left[\begin{array}{ll}0 & 1 \\ 0 & 1 \\ 1 & 0\end{array}\right]$, and $d_{2}=\left[\begin{array}{ll}0 & 1 \\ 0 & 1 \\ 1 & 0\end{array}\right]$
If the rectifier matrix is:
$e=\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0\end{array}\right]$
the matrices of the commutation sequence will be as in tables 8.2 and 8.3.


Table 8.2 Matrix converter commutation incorporated into the inverter matrix

In case of transition in the rectifier matrix, the sequence of the rectifier matrices will be:
$e=\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0\end{array}\right], f=\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 0 & 0\end{array}\right], g=\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 1\end{array}\right]$ and $h=\left[\begin{array}{lll}1 & 0 & 0 \\ 0 & 0 & 1\end{array}\right]$
and the inverter matrix is:
$a=\left[\begin{array}{ll}1 & 0 \\ 0 & 1 \\ 1 & 0\end{array}\right]$

| Initial $S_{1}$ | $\left[\begin{array}{llllll\|}1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0\end{array}\right]=\left[\begin{array}{ll}\text { a.e } & \text { a.e }\end{array}\right]$ |
| :---: | :---: |
| 1 | $\left[\begin{array}{llllll}1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0\end{array}\right]=\left[\begin{array}{ll}a . e & a . f\end{array}\right]$ |
| 2 | $\left[\begin{array}{llllll}1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0\end{array}\right]=\left[\begin{array}{lll}a . g & a . f\end{array}\right]$ |
| 3 | $\left[\begin{array}{llllll}1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0\end{array}\right]=\left[\begin{array}{lll}a . h_{1} & a . f\end{array}\right]$ |
| 4 | $\left[\begin{array}{llllll}1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0\end{array}\right]=\left[\begin{array}{lll}a . h_{1} & a . h_{2}\end{array}\right]$ |

Table 8.3 Matrix converter commutation incorporated into the rectifier matrix

Tuning of the controller parameters and redesign of the input filter can reduce the input current distortion. This will facilitate the practical implementation of the matrix converter as a unified power flow controller and its use in embedded generation systems.
The use of an LCL filter interface instead of the $L$ filter used can improve the quality of the output current. The controller equations will be:

$$
\begin{gather*}
v_{c d, k}^{*}=e_{d, k}+R_{l} \sum_{n=0}^{k}\left(i_{1 /, n}^{*}-i_{l d, n}\right)+\left(\frac{L_{l}}{T_{s}}+\frac{R_{l}}{2}\right) \cdot\left(i_{l d, k}^{*}-i_{l d, k}\right)-\omega L_{l}\left(\frac{i_{l q, k}^{*}+i_{l q, k}}{2}\right) \\
v_{c q, k}^{*}=e_{q, k}+R_{l} \sum_{n=0}^{k}\left(i_{1 q, n}^{*}-i_{l q, n}\right)+\left(\frac{L_{l}}{T_{s}}+\frac{R_{I}}{2}\right) \cdot\left(i_{l q, k}^{*}-i_{l q, k}\right)+\omega L_{l}\left(\frac{i_{l d, k}^{*}+i_{l d, k}}{2}\right) \\
i_{2 d, k}^{*}=i_{l d, k}+\left(\frac{C}{T_{s}}\right) \cdot\left(v_{c d, k+1}^{*}-v_{c d, k+l}\right)-\omega C\left(\frac{v_{c q, k+1}^{*}+v_{c q, k+1}}{2}\right) \\
i_{2 q, k}^{*}=i_{l q, k}+\left(\frac{C}{T_{s}}\right) \cdot\left(v_{c q, k+1}^{*}-v_{c q, k+l}\right)+\omega C\left(\frac{v_{c d, k+1}^{*}+v_{c d, k+l}}{2}\right) \\
v_{d, k+1}^{*}=v_{c d, k}+R_{2} \sum_{n=0}^{k}\left(i_{2 d, n}^{*}-i_{2 d, n}\right)+\left(\frac{L_{2}}{T_{s}}+\frac{R_{2}}{2}\right) \cdot\left(\dot{i}_{2 d, k}^{*}-i_{2 d, k}\right)-\omega L_{2}\left(\frac{i_{2 q, k}^{*}+i_{2 q, k}}{2}\right) \\
v_{q, k+1}^{*}=v_{c q, k}+R_{2} \sum_{n=0}^{k}\left(i_{2 q, n}^{*}-i_{2 q, n}\right)+\left(\frac{L_{2}}{T_{s}}+\frac{R_{2}}{2}\right) \cdot\left(\dot{i}_{2 q, k}-i_{2 q, k}\right)+\omega L_{2}\left(\frac{i_{2 d, k}^{*}+i_{2 d, k}}{2}\right)
\end{gather*}
$$

Another area of investigation is the examination of the effects of dips and flickers in the grid voltage on the output and input current quality with embedded generation using the matrix converter. Also, the size of the smallest external energy storage element that can be used to overcome these effects should be determined and its optimum connection position in the system assessed.
Application of the UPFC based on either matrix or back-to-back converters to control the output voltage magnitude and the power from an induction generator directly connected to the grid can be investigated.
Parameters, load, and input voltage variations have not been considered. It is important to investigate if the system transient performance will be improved by using a non-linear controller or by using sliding mode control which is more robust to parameter variations.

## Appendix A

## DSP Header Files

## /*commonheader.h file*/

\#include <stdio.h>
\#include <string.h> \#include <dma.h> \#include <emif.h> \#include <intr.h> \#include <common.h> \#include <math.h> \#include <board.h> \#include "fpga.h"
\#include "EMIF_.h"
\#include "DSP_CNTRL_REG.h"
\#define pi $3.14 \overline{2} 85714285714285714285714285714$
interrupt void increment(void);
/* fpga.h file*/
/*Addresses of reading and writing from FPGA*/
\#define READ_FPGA_ADDR 0x01600000
\#define WRITE1_FPGA_ADDR 0x01500000
\#define WRITE2_FPGA_ADDR 0x01500400
\#define WRITE3_FPGA_ADDR 0x01500800
\#define WRITE4_FPGA_ADDR 0x01500c00
/* registers operation of reading and writing addresses*/
\#define READ_FPGA \#define WRITE1_FPGA \#define WRITE2_FPGA \#define WRITE3 FPGA \#define WRITE4_FPGA
*(unsigned int*)READ_FPGA_ADDR
*(unsigned int*)WRITE1_FPGA_ADDR
*(unsigned int*)WRITE2_FPGA_ADDR
*(unsigned int*)WRITE3_FPGA_ADDR
*(unsigned int*)WRITE4_FPGA_ADDR

## /* EMIF_.h file*/

/*define common emif settings*/

| \#define DEF_EMIF_CE0_CTRL | $0 \times 00000040$ |
| :--- | :---: |
| \#define DEF_EMIF_CE2_CTRL | $0 \times 00000030$ |
| \#define DEF_EMIF_CE3_CTRL | $0 \times 00000030$ |
| \#define DEF_EMIF_SDRAM_CTRL | $0 \times 07227000$ |
| \#define DEF_EMIF_SDRAM_REF | $0 \times 000004 \mathrm{e} 1$ |
| \#define EMI_GCTRL_VALUE | $0 \times 00003078$ |
| \#define EMIF_CE1_CTRL_VALUE | $0 x f f f 3 f 23$ |

/*DSP_CNTRL_REG.h*/
/*addresses of dsp mapped control/status registers*/

| \#define DSP_CNTRL_ADDR | $0 \times 01780000$ |
| :--- | :--- |
| \#define DSP_STAT_ADDR | $0 \times 01780004$ |
| \#define DSP_DIPOPT_ADDR | $0 \times 01780008$ |
| \#define DSP_DIPBOOT_ADDR | $0 \times 0178000 \mathrm{c}$ |
| \#define DSP_DSPOPT_ADDR | $0 \times 01780010$ |
| \#define DSP_DSPBOOT_ADDR | $0 \times 01780014$ |
| \#define DSP__IFOSTAT_ADDR | $0 \times 01780018$ |
| \#define DSP_SDCNTRL_ADDR | $0 \times 0178001 \mathrm{c}$ |

## Appendix B

## Open Loop Program

```
/*~FILE: Openloop.c
    ~HEADER:
        Application program for open loop control.
    ~!
#include <stdlib.h>
#if defined(CHIP_6711) | defined(CHIP_6211) || defined(CHIP_6211X)
#include "6xl1DSNK.h"
#include "regs.h"
#else
#include <emif.h>
#endif
#include "AED.h"
#include "AED_DMS.h"
#include "AED_Appl.h"
#include <commonheader.h>
#if AED_PRINT /* Must be placed after AED.h */
    #include <stdio.h>
#endif
/* application may change these defines */
#define AED_BOARD "106"
#define DMS_MODE DMA_FS_MODE
#define RECO-RD_SKIP_POWER(7) /* NO_RECORDS to skip for speed*/
#define DIVIDE_POWER (0) /* NO_RECORDS = 2^DIVIDE_POWER */
#define NO_RECORDS (l<<DIVIDE_POWER) /* records/frame */
#define NO_FRAMES 1 /* frames/block */
#define RECLEN 8 /* length of record in words */
#define ELEMENTSIZE_CODE DMA_ESIZE32
#define SAVE_RECORDS 1 /* size of printout */
#define ITERÄTIONS 1 /* size of averages */
/* Globals for diagnostics of termination */
unsigned int debug_times;
int debug_bufs_proc;
int debug_buf_count;
int debug_prev_buf_count;
int debug_DMS__er;
int debug_appl_term_code;
unsigned int debug_FIFO_ovfl;
unsigned int debug_DMS_count;
ApplBlockType data_buffer;
    unsigned int ADC[16],x[150],YYY[150],constant;
```

```
    int vvv=0,iii,kk;
    int index_ioa,index_iob,index_ioc,index_tl,index_t2;
    double vvvvv;
    int id,iq;
    int index_t2x;
    int thetaip2,sec2;
    int ialpha,ibeta;
    int sum2,mmmmm;
    int i,j,lx,s,nnn,mmmm,nx=0,mx=0;
    float Tx;
    unsigned int
INVERTER,CONVERTER,SECTOR,CURRENT DIRECTION,LA,LB,LC,t0_t1,t2,t3_t4_t5,se
c;
    unsigned int da,db,dc;
    int iaref,ibref,icref,imref;
    int index_ioa90;
    int iaactl=0,ibactl=0;
    float thetaip,thetaop,stepip,stepop,fs;
    int peak,fo,index io,iarefint,ibrefint,icrefint,iaerr,iberr,icerr,iaref2,ibref2,icref2,max,min;
    int iaact,ibact,icact;
    int zxc=0;
    short int flgy;
    int vabl=0,vbcl=0;
    int al,a2;
    float errvd,vdl,vql;
    float nnnnn;
    int iaerrx, iberrx, icerrx;
    int ax;
    int ccccc;
    int ud,uq;
    int ualpha,ubeta;
    int errd,errq;
    int idref=0,iqref;
    int iaerm,iberrn,icerm;
    unsigned int xxx,xxxx;
    unsigned int av,k;
    unsigned int flgx=1,asd=1;
    unsigned int kkkkk;
    unsigned int index_sec;
    float valpha,vbeta,vd,vq;
int vab,vbc;
float w0,wk,th0,thk;
int sum=0;
int sss;
int iaaaa,ibbbb;
int index_ip,t1,t2,t0,index_2;
float ia_delta,ib_delta, ic_delta;
int current_transformation,ai_1, bi_1, ci_l, ai, bi, ci,ap, bp, cp;
ApplBlockType ptr;
```

ApplBlockType save_data;
\#if(!TALK_TO_FPGA)
far unsigned int test_data[NO_RECORDS*NO_FRAMES*RECLEN];
\#endif
unsigned long fpga_io_reg $=0 \times 70000001$;

```
    Dma_channel fpga_chan;
    int input_count;
    /*---------------------------------.---------*/
    /* the following code is application dependent */
    static int loop_count;
    unsigned int A_value[ITERATIONS];
    unsigned int B_value[ITERATIONS];
    unsigned int C_value[ITERATIONS];
    unsigned int D_value[ITERATIONS];
    unsigned int E_value[ITERATIONS];
    unsigned int F_value[ITERATIONS];
    unsigned int G_value[ITERATIONS];
    unsigned int H_value[ITERATIONS];
    unsigned int I_value[ITERATIONS];
    unsigned int J_value[ITERATIONS];
unsigned int K_value[ITERATIONS];
unsigned int L_value[ITERATIONS];
unsigned int M_value[ITERATIONS];
unsigned int N_value[ITERATIONS];
unsigned int O_value[ITERATIONS];
unsigned int P_value[ITERATIONS];
/* end of application dependent code */
/*-------------------------------------------*/
```

/********************************************************************
appl_parms - Defines mode and size of block for DMS transfers
Parameters: OUT frames - number of frames in the block
OUT records - number of records in the frame
OUT reclen - number of transfer elements in record
OUT esize - transfer element size code (AED_Brd.h)
OUT mode - transfer mode code (AED_DMS.h)
Notes:

1) This routine is always called from main prior to allocation of the block for data transfer. This function returns the specifics for the allocation and the DMS setup.
2) The DMS can transfer the data in different widths up to the EMIF bus size. The code selects the size independent of DSP.
3) The DMS mode codes include both word and frame synchronization. Synchronization is the pulses sent on a wire connecting the AED with the DSP used by the DMS to determine when to read or write to the AED.
4) The DMS can be implemented with any of the facilities available on any particular DSP. Interrupts are available on all DSPs so the AED_DSM_intr support can always be used, although it is slow. The other implementations depend on what the DSP has for data transfer hardware.
void appl_parms(unsigned int *frames,
```
                    unsigned int *records,
                    unsigned int *reclen,
                    unsigned int *esize,
                    unsigned int *mode)
    {
```



```
    /* the following code may be application dependent or
        additional code may be required */
/* AED106 Standard EMIF settings
    Parm 6x01 6x11
    Write setup 4 3
    Write strobe 3 2
    Write hold 3 2
    Read setup 13 5
    Read strobe 2 7
    Read hold 0 0
*/
#if defined(CHIP 6711)|| defined(CHIP_6211)|| defined(CHIP_6211X)
    REG_WRITE (EMIF_CE2, 0x30A50727); /* CE2 control, 32bit async*/
    #if(TALK_TO_FPGA)
        REG_WRITE (MAR0, 0xI); /* Enable cache for SRAM */
        REG_WRITE (L2CFG, 0x80000002); /* 2-Way cache & EDMA Pri */
    #endif
#else
    EMIF_CE1_CTRL = 0x40FD0220;
#endif
#f(!TALK_TO_FPGA)
    appl_test_data.uword = test_data;
#endif
* frames = NO_FRAMES;
*records = NO_RECORDS;
*reclen = RECLEN;
*esize = ELEMENTSIZE_CODE;
*mode = DMS_MODE;
peak=127;
imref=10;
fs=6103; //switching frequency
Ts=1/fs;
m=Ts/2/peak;
fo=80; //output frequency
Tx=Ts; //program dependant
stepop=720*fo/fs;
index_ioa=0;
thetaop=0;
current_transformation=40; //2x
/*********************write to FPGA*****************************/
iaref2=0;
ibref2=0;
icref2=0;
sec=1;
t0_=0;
tl_=0;
t2_=0;
da=0;
db=0;
```

```
    dc=0;
    ai_1=0;
    bi_l=0;
    ci 1=0;
    th0=0;
    errd=0;
    iqref=(-122875);
    errq=0;
    w0=50*2*pi;
flgy=0;
/*******inverter values:******/
LOAD_FIELD(&INVERTER,iaref2,0,8);
LOAD_FIELD(&INVERTER,ibref2,8,8);
LOAD_FIELD(&INVERTER,icref2,16,8);
LOAD_FIELD(&INVERTER,sec,24,3);
LOAD_FIELD(&INVERTER,0,27,5);
/****************************/
    /*******converter values:******/
    LOAD_FIELD(&CONVERTER,t0,0,8);
    LOAD_FIELD(&CONVERTER,11_8,8);
    LOAD_FIELD(&CONVERTER,12,16,8);
    LOAD_FIELD(&CONVERTER,0,27,5);
    LOAD_FIELD(&CONVERTER,da,24,1);
    LOAD_FIELD(&CONVERTER,db,25,1);
    LOAD_FIELD(&CONVERTER,dc,26,1);
    WRITE1_FPGA=INVERTER;
    WRITE2 FPGA=CONVERTER;
```



```
    /**********************INTERRUPT*******************************/
    intr_map(CPU_INT7,ISN_EXT_INT4);
    INTR_GLOBAL_ENABLEO;
    INTR_ENABLE(CPU_INT_NMI);
    INTR_ENABLE(CPU_INT7);
    intr_hook(increment,CPU_INT7);
/*****************************************************************/
/* end of application dependent code */
/*-.---------------------.----------.-.------*/
write_32b_reg(get_cntl_addr(), fpga_io_reg);
#if AED_PRINT
    printf("\n*** AED " AED_BOARD " TEST PROGRAM STARTED ***\n");
#endif
} /* end appl_parms */
appl_init - Performs buffer init before data transfer
Parameters: OUT data_block - pointer to beginning of entire input
block (all frames)
```

IN block_bytes - number of bytes in block
IN dma_chan - DMA channel allocated by main for input
Note: This routine is autmatically called from main before the
data transfer is intiated, to initialize data buffers.

```
void appl_init(ApplBlockType data_block,
            unsigned int block_bytes,
            Dma_channel dma_chan)
{
```



```
    /* the following code may be application dependent or additional
        code may be required */
    int i, j, k;
    unsigned int *ptrl;
    save_data.byte
    = malloc(SAVE_RECORDS*RECLEN*byte_size(ELEMENTSIZE_CODE));
    if (!save_data.byte) {
    error_flashing(AED_FLASH_APPL_SAVE_BUFFER_MALLOC_ERROR);
    } /* end if */
    fpga_chan = dma_chan;
    ptrl = data_block.uword;
    for (i=0; i<NO_FRAMES; i++) {
        for (j=0; j<NO_RECORDS; j++) {
        for (k=0;k<RECLEN; k++) {
            *(ptrl++)=0;
        }
    }
}
ptrl = save_data.uword;
for (j=0; j<\overline{SAVE_RECORDS; j++) {}
    for ( }\textrm{k}=0;\textrm{k}<\mathrm{ RECLEN; k++) {
        *(ptrl++)=0;
    }
}
for (j=0; j<ITERATIONS; j++) {
    A_value[j] = 0;
    B_value[j] =0;
    C_value[j] =0;
    D_value[j] = 0;
    E_value[j]=0;
    F_value[j] =0;
    G_value[j] = 0;
    H_value[j] = 0;
    I_value[j] = 0;
    J_value[j] = 0;
    K_value[j] = 0;
    L_value[j] =0;
    M_value[j] = 0;
    N_value[j] =0;
    O_value[j] = 0;
    P_value[j] = 0;
}/* end for */
loop_count = 0;
```

```
    input_count = 0;
#if AED PRINT
    printf("Begin application processing (Block size = %d bytes)\n",
            block_bytes);
#endif
    /* end of application dependent code */
    /*-----------------------------------------------
    /* the following code line is removed when daughterboard is
        added */
} /* end appl_init */
    appl_process - Processes 1 frame of buffer data
    Returns: user defined termination code, 0 is no termination
    Parameters: IN data_buffer - pointer to beginning of frame of
                            data just received from FPGA
                            IN buf_number - number of buffer just received
    Note:This routine is autmatically called from main when a full
            buffer of data has been transferred from the daughterboard.
int appl_process(ApplBlockType data_buffer,
            int buf_number)
{
/*
        */
/* the following code is application dependent */
int xx=0;
kk=0;
xxx=0;
j=0;
index_sec}=0
nnn=0;
mmmm=0;
xxxx=0;
lx=0;
k=0;
    while (XSTAT0}==0
{;}
    do
    {
    ptr=data_buffer;
    ADC[1]= (unsigned int)*(ptr.uhword++);
ADC[2]= (unsigned int)*(ptr.uhword++);
ADC[0]= (unsigned int)*(ptr.uhword++);
ADC[11]= (unsigned int)*(ptr.uhword++);
ADC[4]= (unsigned int)*(ptr.uhword++);
ADC[5]= (unsigned int)*(ptr.uhword++);
ADC[6]= (unsigned int)*(ptr.uhword++);
ADC[7]= (unsigned int)*(ptr.uhword++);
ADC[8]= (unsigned int)*(ptr.uhword++);
```

```
    ADC[9]= (unsigned int)*(ptr.uhword++);
    ADC[10]= (unsigned int)*(ptr.uhword++);
    ADC[3]= (unsigned int)*(ptr.uhword++);
    ADC[12]= (unsigned int)*(ptr.uhword++);
    ADC[13]= (unsigned int)*(ptr.uhword++);
    ADC[14]= (unsigned int)*(ptr.uhword++);
    ADC[15]= (unsigned int)*(ptr.uhword++);
    sum+=ADC[3];
    k++;
    if (k==8)
    {
    av=sum/8;
    k=0;
    sum=0;
    if ((av<1980)&&(av>1960))
    {
        nnn=1;
        }
        }
        if (nnn==1)
            {
            if (ADC[3]>s)
            {
            sec=6;
            thetaip=84;
            mmmm=1;
            vvv=1;
            index_ip=24;
                }
                    if (ADC[3]<s)
                    {
                    sec=3;
                    thetaip=84;
                    mmmm=1;
                    vvv=0;
                    index_ip=24;
                    }
                    }
        s=ADC[3];
        }
while (mmmm==0);
while ( }\textrm{x}==0\mathrm{ )
{
```



```
kkkkk++;
iii=kkkkk/4;
if (iii>140)
{ kkkkk=0;
    if (flgy==0)
    flgy=1;
    else flgy=0;
}
```

FPGA_stop();
FPGA_start();

```
/*******************READ FROM FPGA************************/
j=0;
sum=0;
iaaaa=0;
ibbbb=0;
sum2=0;
ax=0;
    do
    {
                while (XSTAT0==0)
                {
                    };
                    ptr=data_buffer;
flgx=1;
    ADC[1]= (unsigned int)*(ptr.uhword++);
    ADC[2]=(unsigned int)*(ptr.uhword++);
    ADC[0]=(unsigned int)*(ptr.uhword++);
    ADC[11]= (unsigned int)*(ptr.uhword++);
    ADC[4]= (unsigned int)*(ptr.uhword++);
    ADC[5]= (unsigned int)*(ptr.uhword++);
    ADC[10]= (unsigned int)*(ptr.uhword++);
    ADC[7]= (unsigned int)*(ptr.uhword++);
    ADC[8]= (unsigned int)*(ptr.uhword++);
    ADC[9]= (unsigned int)*(ptr.uhword++);
    ADC[6]= (unsigned int)*(ptr.uhword++);
    ADC[3]= (unsigned int)*(ptr.uhword++);
    ADC[12]= (unsigned int)*(ptr.uhword++);
    ADC[13]= (unsigned int)*(ptr.uhword++);
    ADC[14]= (unsigned int)*(ptr.uhword++);
    ADC[15]= (unsigned int)*(ptr.uhword++);
        sum+=ADC[3];
        iaaaa+=ADC[10];
        ax+=ADC[11];
        ibbbb+=ADC[14];
        j++;
    }
while (j<4);
sss=sum/4;
ADC[3]=sss;
ADC[10]=iaaaa/4;
ADC[14]=ibbbb/4;
ADC[11]=ax/4;
```

```
/************************Vdq*****************************/
```

/************************Vdq*****************************/
al=720-(thk*360/pi);
al=720-(thk*360/pi);
a2=a1-180;
a2=a1-180;
if (a2>720) a2-=720;
if (a2>720) a2-=720;
if (a2<0) a2+=720;

```
if (a2<0) a2+=720;
```

```
    vab=(ADC[3]-1990)*1000;
    vbc=(ADC[11]-1975)*1000;
    vab=(vab+4*vabl)/5;
    vbc=(vbc+4*vbcl)/5;
    vbcl=vbc;
    vabl=vab;
    vab=vab/1000;
    vbc=vbc/1000;
    valpha=(3*vab)/2;
vbeta=(0.86602540378*(-vab-2*vbc));
vd=(((valpha*sine[a2])+(vbeta*sine[a1]))/8192);
vq=((((-1)**valpha*sine[al])+(vbeta*sine[a2]))/8192);
vd=(vd+99*vd1)/100;
vq=(vq+99*vq1)/100;
vdl=vd;
vql=vq;
if(vql==0) vq=0.000001;
wk=w0+(AA*vd1/vq1);
if ( }\textrm{wk}>51\mathrm{ )
    wk=51;
elseif (wk<49)
        wk=49;
end;
thk=th0+(wk/fs)+ (BB*vdl/vq1);;
while (thk>(2*pi)) thk-=(2*pi);
while (thk<0) thk+=(2*pi);
w0=wk;
th0=thk;
thetaip2=thk*360/pi-360;
if (thetaip2<0) thetaip2+=720;
if (thetaip2>=720) thetaip2=720;
sec2=(thetaip2/120)+1;
if (sec2>6) sec2-=6;
index_t2=thetaip2-((sec2-1)*120);
index_tl=120-index_t2;
```

/*********************dq controller*********************/
$/ * * * * * * * * * * * * * * * * * * * * *$ integral ${ }^{* * * * * * * * * * * * * * / ~}$
$\mathrm{ki}=0$;
$\mathrm{kc}=0$;
errd $+=\left(\mathrm{ki}^{*}\right.$ (idref-id));
errq+=(ki*(iqref-iq));
ud $=\left(\left(\mathrm{kp}^{*}(\right.\right.$ idref-id $\left.)\right)+$ errd $\left.+\left(\mathrm{kc}{ }^{*}((\mathrm{iq}+\mathrm{iqref}) / 2)\right)\right) / 8192$;
$\mathrm{uq}=\left(\left(\mathrm{kp} \mathrm{p}^{*}(\mathrm{iqref}-\mathrm{iq})\right)+\mathrm{errq}-\left(\mathrm{kc}{ }^{*}((\mathrm{id}+\mathrm{idref}) / 2)\right) / 8192\right.$;
ualpha=(((ud*sine[index_ioa90])-(uq*sine[index_ioa])));
ubeta $=(((u d *$ sine[index_ioa] $)+(u q *$ sine [index_ioa 90$])))$;
iaerr=((int)(0.8164965809*ualpha))/8192/32;
iberr=((int)((-0.4082482905*ualpha)+(0.7071067812*ubeta)))/8192/32;
icerr=-iaerr-iberr;

/*******************current direction*****************/
//implemented on FPGA
//needs to be revised
iaact=current_transformation*(ADC[10]-1955);
ibact=current_transformation*(ADC[14]-1987);

```
    if (iaact>0)
    da=0;
    else
    da=1;
    if (ibact>0)
    db=0;
else
    db=1;
if (icact>0)
    dc=0;
    else
    dc=1;
```

$/ * * * * * * * * * * * れ * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * /$
/********************* t1, t2 \& t0**************************/
$\mathrm{tl}=(($ peak $) *$ sine $[$ index_t t$]) / 8192$;
t2 $2=(\text { peak })^{*}$ sine $[$ index_t 2$\left.]\right) / 8192$;
t $0=$ (peak -t1-t2)/2;
/**********************time calculations*******************/
t 0 = $=(\mathrm{t} 0)$;
$\mathrm{tl}_{-}^{-}=\mathrm{t} 0_{-}^{+}+(\mathrm{tl})$;
$\mathrm{t}_{-}^{-}=\mathrm{tl}_{-}^{-}+(\mathrm{t})$;

/*********************Prepare data*****************************/

LOAD_FIELD(\&INVERTER,iaerr,0,8);
LOAD_FIELD(\&INVERTER,iberr,8,8);
LOAD_FIELD(\&INVERTER,icerr,16,8);
LOAD_FIELD(\&INVERTER,sec,24,3);
LOAD_FIELD(\&INVERTER,0,27,5);

```
/*****************************/
/*******converter values:******/
```

LOAD_FIELD(\&CONVERTER,t0_0,8);
LOAD_FIELD(\&CONVERTER,11_8,8);
LOAD_FIELD(\&CONVERTER,t2,16,8);
LOAD_FIELD(\&CONVERTER,0,27,5);
LOAD_FIELD(\&CONVERTER,da,24,1);

```
    LOAD_FIELD(&CONVERTER,db,25,1);
    LOAD_FIELD(&CONVERTER,dc,26,1);
    xxxx++;
    /*****************************/
        while ((flgx==1))
        {
        zxc++;
        };
    }
    return 0;
} /* end appl_process */
```

```
/*********************************************************************
```

/*********************************************************************
appl_idle - Performs background processing
appl_idle - Performs background processing
Returns: user defined termination code, 0 is no termination
Returns: user defined termination code, 0 is no termination
Note: This routine is automatically called from main when no other
Note: This routine is automatically called from main when no other
processing is required, but may not be called regularly.
processing is required, but may not be called regularly.
int appl_idle(void)
int appl_idle(void)
{
{
/*--------------------------------------------*/
/*--------------------------------------------*/
/* the following code is application dependent */
/* the following code is application dependent */
loop_count++;
loop_count++;
/* end of application dependent code */
/* end of application dependent code */
/*-------------------------------------------
/*-------------------------------------------
return 0;
return 0;
} /* end appl_idle */
} /* end appl_idle */
/**************************************************************************
appl_end - Final processing before termination
Parameters: IN times -main program loop cycles executed
IN bufs_proc - number of buffers processed by
appl_process
IN buf_count - number of buffers received
IN prev buf_count - last buffer given to appl_process
IN DMS_err - error code from DMS
IN appl_term_code - user termination code from either
appl_process or appl_idle
IN FIFO_ovfl - indication that the FIFOin the
FPGA has overflowed
IN DMS_count - number of frames remaining to be
received in the block
Note: This routine is automatically called from main at program
termination.

```

```

void appl_end(unsigned int times,
int bufs_proc,
int buf_count,
int prev_buf_count,
int DMS_err,

```
```

                int appl_term_code,
                    unsigned int FIFO_ovfl,
                unsigned int DMS_count)
    {
/*------------------------------------------*/
/* the following code is application dependent */
/* end of application dependent code */
/*------------------------------------------*/
}/* end appl_end */

```
appl_test - Fills separate test block to simulate data from FPGA
Parameters: OUT fill - pointer to beginning of test block
IN frame_bytes - number of bytes in each frame
IN frames - number of frames in block
Note: This routine is autmatically called from main to initialize the test buffer with data.
\#if(!TALK_TO_FPGA)
\#define SAMPLE_RATE_KHZ 10000L /* Sample rate for timer setup */
unsigned long appl_test(ApplBlockType fill, int frame_elements, int frames)
\{
/*-------------------------------------------*/
/* the following code is application dependent */
int \(\mathrm{i}, \mathrm{j}\);
int samples_per_element = (byte_size(ELEMENTSIZE_CODE)/sizeof(short));
int frame_samples \(=\) frame_elements*samples_per_element;
/* period is in 0.5 microseconds units */
/* for a time between frames (frame sync)
period \(=(\) frame_elements/SAMPLE_RATE)/(0.5e-6 us) */
unsigned long period \(=\left(2000 L^{*}\right.\) (long) frame_elements)/SAMPLE_RATE_KHZ;
/* fill can be changed to accomodate testing */
for ( \(\mathrm{i}=0\); \(\mathrm{i}<\) frames; \(\mathrm{i}++\) ) \(\{\)
for ( \(\mathrm{j}=0 ; \mathrm{j}\) <frame_samples; \(\mathrm{j}++\) ) \{
fill.uhword[i*frame_samples+j]
\(=\left((\mathrm{i}+1)^{*} 0 \times 1000\right)+(\mathrm{j}+1)\);
\}/* end for */
\}/* end for */
/* end of application dependent code */
/*--------------------------------------------//
return period;
\}/* end appl_test */
\#endif
interrupt void increment(void)
\{
WRITE1_FPGA=INVERTER;
WRITE2_FPGA=CONVERTER;
thetaop \(+=\) stepop;
if (thetaop>720) thetaop=thetaop-720;
index_ioa=(int)(thetaop);
index_iob=index_ioa-240;
if (index_iob \(<=\overline{0}\) ) index_iob \(+=720\);
flgx=0;
return;
\}

\section*{Appendix C}

\section*{Closed Loop Program}

\author{
/*~FILE: closedloop.c \\ ~HEADER: \\ Application program for closed loop control.
}
```

\#include <stdlib.h>

```
```

\#if defined(CHIP_6711) || defined(CHIP_6211) | defined(CHIP_6211X)
\#include "6x11DSK.h"
\#include "regs.h"
\#else
\#include <emif.h>
\#endif
\#include "AED.h"
\#include "AED_DMS.h"
\#include "AED_Appl.h"
\#include <commonheader.h>

```
\#if AED_PRINT /* Must be placed after AED.h */
\#include <stdio.h>
\#endif
/*-
    In documentation in this module, the term "buffer" is used to refer
to either a "block" or a "frame". "Block" is the whole memory area
allocated to transferring data from the AED's (daughterboard) FPGA
to the DSP's memory via DMS through the EMIF bus. The "block" is
divided into one or more "frames".

The DMS, Data Movement Service, is implemented in several forms for use in various DSPs. Access to the DMS is through a common header module (AED_DMS.h) which serves all implementations. The most elementary implementation is the use of the CPU to tranfer the data (AED_DMS_intr.c). This implementation supports DSP with not data movement hardware, but it is slow and not recommended if another implementation can be used. Other implementations are available for DMAs and EDMAs on various DSPs.

In most modes of operation, one frame of data is processed at a time. The DMS is setup to give an interrupt at the completion of transfer of each frame. Upon the receipt of the interrupt, but not in the interrupt service routine, the frame last transfered is presented to appl_process as the "buffer" for processing.

However, in block processing modes, interrupts occur only once for the entire block, and the entire block is presented as the "buffer" for processing in the appl_process function.

The mode, number of frames in the block, number of records in the
frame, and the size of the records are selected in appl_parms.
Records are a application dependent subdivision of the frame.
Frequently, multiple records, identical in format, a tranfered in a frame in order to reduce the number of interrupts.
```

    /* application may change these defines */
    #define AED_BOARD "106"
    #define DMS_MODE DMA_FS_MODE
    #define RECORD_SKIP_POWER(7) /* NO_RECORDS to skip for speed*/
    #define DIVIDE_POWER (0) /* NO_RECORDS = 2^DIVIDE_POWER */
    #define NO_RECORDS (l<<DIVIDE_POWER) /* records/frame */
    #define NO_FRAMES 1 //* frames/block */
    #define RECLEN 8 /* length of record in words */
    #define ELEMENTSIZE_CODE DMA_ESIZE32
    #define SAVE_RECORDS 1 /* size of printout */
    #define ITERATIONS 1 /* size of averages */
    /* Globals for diagnostics of termination */
    unsigned int debug_times;
    int debug_bufs_proc;
    int debug_buf_count;
    int debug_prev_buf_count;
    int debug_DMS_err;
    int debug_appl_term_code;
    unsigned int debug_FIFO_ovfl;
    unsigned int debug_DMS_count;
AppIBlockType data_buffer;
unsigned int ADC[16],x[150],YYY[150],constant;
int vvv=0,iii,kk;
int index_ioa,index_iob,index_ioc,index_tl,index_t2;
double vvvvv;
int id,iq;
int ialphar,ibetar;
int index_t2x;
int thetaip2,sec2;
int ialpha,ibeta;
int sum2,mmmmm;
int i,j,lx,s,nnn,mmmm,nx=0,mx=0;
float Tx;
unsigned int
INVERTER,CONVERTER,SECTOR,CURRENT_DIRECTION,LA,LB,LC,t0_t1_t2_t3_t4_t5_se
c;
unsigned int da,db,dc;
int iaref,ibref,icref,imref;
int index_ioa90;
int iaactl=0,ibactl=0;
float thetaip,thetaop,stepip,stepop,fs;
int peak,fo,index_io,iarefint,ibrefint,icrefint,iaerr,iberr,icerr,iaref2,ibref2,icref2,max,min;
int iaact,ibact,icact;
int zxc=0;
short int flgy;
int vabl=0,vbcl=0;
int al,a2;
float errvd,vd1,vql;
float nnnnn;
int iaerrx, iberrx, icerrx;
int ax;

```
```

int ccccc;
int kp,ki,kc;
int ud,uq;
int ualpha,ubeta;
int errd,errq;
int idref=0,iqref;
int iaerrn,iberm,icerrn;
unsigned int xxx,xxxx;
unsigned int av,k;
unsigned int flgx=1,asd=1;
unsigned int kkkkk;
unsigned int index_sec;
float valpha,vbeta,v,v,vq;
int vab,vbc;
float w0,wk,th0,thk;
int sum=0;
int sss;
int iaaaa,ibbbb;
int index_ip,t1,t2,t0,index_2;
float ia_delta,ib_delta, ic_delta;
int current_transformation,ai_1, bi_1, ci_1, ai, bi, ci,ap, bp, cp;
ApplBlockType ptr;

```

AppIBlockType save_data;
\#f(!TALK_TO_FPGA)
far unsigned int test_data[NO_RECORDS*NO_FRAMES*RECLEN]; \#endif
unsigned long fpga_io_reg \(=0 \times 70000001\);
Dma_channel fpga_chan;
int input_count;

> /*------------------------------------------------*/
/* the following code is application dependent */
static int loop_count;
unsigned int A_value[ITERATIONS];
unsigned int B_value[ITERATIONS];
unsigned int C_value[ITERATIONS]; unsigned int \(\mathrm{D}_{-}\)value[ITERATIONS]; unsigned int E_value[ITERATIONS]; unsigned int \(\mathrm{F}_{\text {_ value[ITERATIONS]; }}\) unsigned int G_value[ITERATIONS]; unsigned int H_value[ITERATIONS]; unsigned int I_value[ITERATIONS]; unsigned int J_value[ITERATIONS]; unsigned int K_value[ITERATIONS]; unsigned int L_value[ITERATIONS]; unsigned int M_value[ITERATIONS]; unsigned int N_value[ITERATIONS]; unsigned int O_value[ITERATIONS]; unsigned int \(P_{\text {_ }}\) value[ITERATIONS];
/* end of application dependent code */
```

/*-------------------------------------------

```
appl_parms - Defines mode and size of block for DMS transfers
Parameters: OUT frames - number of frames in the block
OUT records - number of records in the frame
OUT reclen - number of transfer elements in record OUT esize - transfer element size code (AED_Brd.h) OUT mode - transfer mode code (AED_DMS.h)

Notes:
1) This routine is always called from main prior to allocation of the block for data transfer. This function returns the specifics for the allocation and the DMS setup.
2) The DMS can transfer the data in different widths up to the EMIF bus size. The code selects the size independent of DSP.
3) The DMS mode codes include both word and frame synchronization. Synchronization is the pulses sent on a wire connecting the AED with the DSP used by the DMS to determine when to read or write to the AED.
4) The DMS can be implemented with any of the facilities available on any particular DSP. Interrupts are available on all DSPs so the AED_DSM_intr support can always be used, although it is slow. The other implementations depend on what the DSP has for data transfer hardware.
```

void appl_parms(unsigned int *frames,
unsigned int *records,
unsigned int *reclen,
unsigned int *esize,
unsigned int *mode)
{
/*------------------------------------------------
/* the following code may be application dependent or
additional code may be required */
/* AED106 Standard EMIF settings
Parm 6x01 6x11
Write setup 4 3
Write strobe 3 2
Write hold 3 2
Read setup 13 5
Read strobe 2 7
Read hold 0 0
*/
\#if defined(CHIP_6711) | defined(CHIP_6211) || defined(CHIP_6211X)
REG_WRITE (EMIF_CE2, 0x30A50727); /* CE2 control, 32bit async*/
\#if(TALK_TO_FPGA\overline{)}
REG_WRITE-(MAR0, 0x1); /* Enable cache for SRAM */
REG_WRITE (L2CFG, 0x80000002); /* 2-Way cache \& EDMA Pri */
\#endif
\#else
EMIF_CE1_CTRL = 0x40FD0220;

```
\#if(ITALK_TO_FPGA)
appl_test_data.uword = test_data;
\#endif
```

    *frames = NO_FRAMES;
    *records = NO_RECORDS;
    *reclen = RECLEN;
    *esize = ELEMENTSIZE_CODE;
    *mode = DMS_MODE;
    peak=127;
    imref=10;
    fs=6103; //program dependant
    Ts=1/fs;
    m=Ts/2/peak;
    fo=80; //program dependant
    Tx=Ts; //program dependant
    stepop=720*fo/fs;
    index_ioa=0;
    thetaop=0;
    current_transformation=40; //2x
    ```
/********************* write to \(\mathrm{FPGA}^{* * * * * * * * * * * * * * * * * * * * * * * * * * * * * / ~}\)
iaref2=0;
ibref2=0;
icref2=0;
\(\mathrm{sec}=1\);
t0 \(=0\);
\(\mathrm{tl}^{-}=0\);
\(12=0\);
\(\mathrm{da}=0\);
\(\mathrm{db}=0\);
\(\mathrm{dc}=0\);
ai_1=0;
bi_1=0;
ci \(1=0\);
th \(\overline{0}=0\);
errd \(=0\);
iqref=(-122875*4);
errq \(=0\);
w \(0=50^{*} 2^{*}\) pi;
flgy \(=0\);
/*******inverter values:******/

LOAD FIELD(\&INVERTER,iaref2,0,8);
LOAD_FIELD(\&INVERTER,ibref2,8,8);
LOAD_FIELD(\&INVERTER,icref2,16,8);
LOAD_FIELD(\&INVERTER,sec,24,3);
LOAD_FIELD(\&INVERTER,0,27,5);
/*****************************/
/*******converter values:******/
LOAD_FIELD(\&CONVERTER,t0_0,8);
LOAD_FIELD(\&CONVERTER,t1_8,8);
LOAD_FIELD(\&CONVERTER,12,16,8);
LOAD FIELD (\&CONVERTER, \(0,27,5\) );
LOAD_FIELD(\&CONVERTER,da,24,1);
LOAD_FIELD(\&CONVERTER,db,25,1);

write_32b_reg(get_cntl_addr(), fpga_io_reg);
```

\#if AED_PRINT

```
    printf(" ln *** AED " AED_BOARD " TEST PROGRAM STARTED ***|n");
\#endif
\}/* end appl_parms */
```

/*********************************************************************
appl_init - Performs buffer init before data transfer
Parameters: OUT data_block - pointer to beginning of entire input
block (all frames)
IN block_bytes - number of bytes in block
IN dma_chan - DMA channel allocated by main for input
Note: This routine is autmatically called from main before the
data transfer is intiated, to initialize data buffers.
*********************************************************************/
void appl_init(ApplBlockType data_block,
unsigned int block_bytes,
Dma_channel dma_chan)
{
/*-----------------------------------------*/
/* the following code may be application dependent or additional
code may be required */
int i, j, k;
unsigned int *ptrl;
save_data.byte
= malloc(SAVE_RECORDS*RECLEN*byte_size(ELEMENTSIZE_CODE));
if (!save_data.byte) {
error_flashing(AED_FLASH_APPL_SAVE_BUFFER_MALLOC_ERROR);
}/* end if */
fpga_chan = dma_chan;
ptr1 = data_block.uword;
for (i=0; i<NO_FRAMES; i++) {
for (j=0; j<NO_RECORDS; j++) {
for (k=0; k<RECLEN; k++) {

```
```

        *(ptr1++)=0;
        }
        }
    }
    ptrl = save_data.uword;
    for (j=0; j<SAVE_RECORDS; j++) {
        for ( }k=0;k<RECLEN; k++) {
        *(ptrl++)=0;
    }
    }
    for (j=0; j<ITERATIONS; j++) {
        A_value[j] = 0;
        B_value[j] = 0;
        C_value[j] = 0;
        D_value[j] =0;
        E_value[j] =0;
        F_value[j] = 0;
        G_value[j] = 0;
        H_value[j] = 0;
        I_value[j] = 0;
        J_value[j] = 0;
        K_value[j] = 0;
        L_value[j] = 0;
        M_value[j] = 0;
        N_value[j] =0;
        O_value[j] =0;
        P_value[j] = 0;
    }/* end for */
    loop_count = 0;
    input_count = 0;
    \#if AED_PRINT
printf("Begin application processing (Block size = %d bytes)\n",
block_bytes);
\#endif
/* end of application dependent code */
/*---------------------.---.---------.--..-*/
/* the following code line is removed when daughterboard is
added */
} /* end appl_init */

```
appl_process - Processes 1 frame of buffer data
    Returns: user defined termination code, 0 is no termination
    Parameters: IN data_buffer - pointer to beginning of frame of
                        data just received from FPGA
        IN buf_number - number of buffer just received
    Note: This routine is autmatically called from main when a full
        buffer of data has been transferred from the daughterboard.
int appl_process(ApplBlockType data_buffer,
        int buf_number)
```

    /*-----------------------------------------*/
    /* the following code is application dependent */
int xx=0;
kk=0;
xxx=0;
j=0;
index_sec=0;
nnn=0;
mmmm=0;
xxxx=0;
lx=0;
k=0;
while (XSTAT0=0)
{;
do
{
ptr=data_buffer;
ADC[1]= (unsigned int)*(ptr.uhword++);
ADC[2]= (unsigned int)*(ptr.uhword++);
ADC[0]= (unsigned int)*(ptr.uhword++);
ADC[11]= (unsigned int)*(ptr.uhword++);
ADC[4]= (unsigned int)*(ptr.uhword++);
ADC[5]= (unsigned int)*(ptr.uhword++);
ADC[6]=(unsigned int)*(ptr.uhword++);
ADC[7]= (unsigned int)*(ptr.uhword++);
ADC[8]= (unsigned int)*(ptr.uhword++);
ADC[9]= (unsigned int)*(ptr.uhword++);
ADC[10]= (unsigned int)*(ptr.uhword++);
ADC[3]= (unsigned int)*(ptr.uhword++);
ADC[12]= (unsigned int)*(ptr.uhword++);
ADC[13]= (unsigned int)*(ptr.uhword++);
ADC[14]= (unsigned int)*(ptr.uhword++);
ADC[15]= (unsigned int)*(ptr.uhword++);
sum+=ADC[3];
k++;
if (k=8)
{
av=sum/8;
k=0;
sum=0;
if ((av<1980)\&\&(av>1960))
{
nnn=1;
}
}
if (nnn==1)
{
if (ADC[3]>s)
{
sec=6;
thetaip=84;
mmmm=1;

```
```

        vvv=1;
        index_ip=24;
        }
        if (ADC[3]<s)
            {
            sec=3;
            thetaip=84;
            mmmm=1;
            vvv=0;
            index_ip=24;
            }
            }
            s=ADC[3];
        }
    while (mmmm==0);
    while (xx==0)
    {
kkkkk++;
iii=kkkkk/4;
if (iii>140)
{kkkkk=0;
if (flgy==0)
flgy=1;
else flgy=0;
}
FPGA_stop();
FPGA_start();

```
\(\mathrm{j}=0\);
sum=0;
iaaaa \(=0\);
ibbbb \(=0\);
sum2 \(=0\);
\(a x=0\);
do
\{
    while (XSTATO \(=0\) )
    \{
    \};
    ptr=data_buffer;
    flgx=1;
    ADC[1]= (unsigned int)*(ptr.uhword++);
        ADC[2] \(=\) (unsigned int) \({ }^{\text {(ptr.uhword }++ \text { ); }}\)
        ADC[0]= (unsigned int)* \({ }^{*}\) ptr.uhword++);
        ADC[11] \(=\) (unsigned int)*(ptr.uhword++);
        ADC[4]= (unsigned int)*(ptr.uhword++);
        ADC[5] \(=\) (unsigned int)* \({ }^{*}\) (ptr.uhword ++ );
        ADC[10]= (unsigned int)*(ptr.uhword++);
        ADC[7]= (unsigned int)*(ptr.uhword++);
        ADC[8]= (unsigned int)*(ptr.uhword++);
```

ADC[9]= (unsigned int)*(ptr.uhword++);
ADC[6]= (unsigned int)*(ptr.uhword++);
ADC[3]=(unsigned int)*(ptr.uhword++);
ADC[12]= (unsigned int)*(ptr.uhword++);
ADC[13]= (unsigned int)*(ptr.uhword++);
ADC[14]= (unsigned int)*(ptr.uhword++);
ADC[15]= (unsigned int)*(ptr.uhword++);

```
    sum \(+=\) ADC[3];
    iaaaa+=ADC[10];
    ax+=ADC[11];
    ibbbb+=ADC[14];
    j++;
\}
while ( \(\mathrm{j}<6\) );
sss=sum/6;
ADC[6]=ADC[6];
ADC[3]=sss;
ADC[10]=iaaaa/6;
\(\operatorname{ADC}[14]=\mathrm{ibbbb} / 6\);
ADC[11]=ax/6;
ADC[3]=sss;
/************************Vdq*****************************/
al \(=720\)-(thk*360/pi);
\(\mathrm{a} 2=\mathrm{a} 1-180\);
if ( \(\mathrm{a} 2>720\) ) \(\mathrm{a} 2-=720\);
if \((\mathrm{a} 2<0) \mathrm{a} 2+=720\);
vab=(ADC[3]-1990)*1000;
\(\mathrm{vbc}=(\mathrm{ADC}[11]-1975)^{*} 1000\);
    vab=(vab+4*vab1)/5;
    \(\mathrm{vbc}=\left(\mathrm{vbc}+4^{*} \mathrm{vbcl}\right) / 5 ;\)
    \(\mathrm{vbc} 1=\mathrm{vbc}\);
    vabl=vab;
    \(\mathrm{vab}=\mathrm{vab} / 1000\);
    \(\mathrm{vbc}=\mathrm{vbc} / 1000\);
valpha=(3*vab)/2;
vbeta \(=\left(0.86602540378^{*}\left(-\mathrm{vab}-2^{*}\right.\right.\) vbc) \()\);
\(\mathbf{v d}=(((\) valpha*sine[a2] \(])+(\) vbeta* sine[a1]) \() / 8192)\);
\(\mathrm{vq}=\left(\left(\left((-1)^{*}\right.\right.\right.\) valpha \({ }^{*}\) sine \([\) a1] \(\left.]\right)+\left(\mathrm{vbeta}{ }^{*}\right.\) sine \(\left.\left.\left.[22]\right)\right) / 8192\right)\);
\(\mathrm{vd}=(\mathrm{vd}+99 * \mathrm{vd}) / 100\);
\(\mathrm{vq}=(\mathrm{vq}+99 * \mathrm{vq}) / 100\);
vdl=vd;
\(\mathrm{vq} 1=\mathrm{vq}\);
if \((\mathrm{vql}=0) \mathrm{vq}=0.000001\);
\(\mathrm{wk}=\mathrm{w} 0+\left(\mathrm{AA}^{*} \mathrm{vdl} / \mathrm{vq1}\right)\);
if ( \(\mathrm{wk}>51\) )
    wk=51;
elseif ( \(w k<49\) )
    \(w k=49\);
end;
thk \(=\) th \(0+(\mathrm{wk} / \mathrm{fs})+\left(\mathrm{BB}^{*} \mathrm{vd} 1 / \mathrm{vq} 1\right)\);;
while (thk>(2*pi)) thk-=(2*pi);
```

while (thk<0) thk+=(2*pi);
w0=wk;
th0=thk;
thetaip2=thk*360/pi-360;
if (thetaip2<0) thetaip2+=720;
if (thetaip2>=720) thetaip2-=720;
sec2=(thetaip2/120)+1;
if (sec2>6) sec2-=6;
index_t2=thetaip2-((sec2-1)*120);
index_tl=120-index_t2;

```
/*****************actual current values****************************/
    iaact=current_transformation*(ADC[14]-1977);
    ibact \(=(-1)^{*}\) current_transformation*(ADC[10]-1957);
    \(\mathrm{k}=1\);
    iaact \(1=\) iaact;
    ibactl=ibact;
    index_ioa90=index_ioa-180;
    if (index_ioa \(90<=0\) )
    index_ioa \(90+=720\);
    ialpha=(3*iaact/2);
    ibeta=(int)(0.86602540378*(-iaact-2*ibact));
    id=(((ialpha*sine[index_ioa90])+(ibeta*sine[index_ioa]))/8192);
    iq \(=((((-1) *\) ialpha*sine[index_ioa] \()+(\) ibeta*sine[index_ioa90] \()) / 8192)\);
    /**********************dq controller*******************/
/*********************integral*************/
    errd+=(ki*(idref-id));
    if (errd>300000) errd=300000;
    if (errd<-300000) errd=-300000;
    errq+=(ki*(iqref-iq));
    if (errq \(>30000000\) ) errq \(=30000000\);
    if (errq<-30000000) errq \(=-30000000\);
    \(\mathrm{ud}=\left(\left(\mathrm{kp}^{*}(\right.\right.\) idref-id \(\left.)\right)+\mathrm{errd}-(\mathrm{kc} *((\) iqref \(\left.)))\right) / 8192 / 4\);
    \(u q=\left(\left(k p^{*}(i q r e f-i q)\right)+e r r q+\left(k c^{*}((i d r e f))\right)\right) / 8192 / 4\);
ualpha=(((ud*sine[index_ioa90])-(uq*sine[index_ioa])));
ubeta=(((uq*sine[index_ioa90]) \(+(\mathrm{ud}\) *sine[index_ioa] \())\) );
iaerr=((int)(0.8164965809*ualpha))/8192/32;
iberr \(=\left((\right.\) int \()\left(\left(-0.4082482905^{*}\right.\right.\) ualpha \()+(0.7071067812 *\) ubeta \(\left.\left.)\right)\right) / 8192 / 32\);

/*******************current direction*****************/
//implemented on FPGA
//needs to be revised
if (iaact>0)
    da=0;
else
    \(\mathrm{da}=1\);
```

    if (ibact>0)
        db=0;
    else
    db=1;
    if (icact>0)
    dc=0;
    else
dc=1;
/*******************************************************/
icerr=-iaerr-iberr;
/********************* t1, t2 \& t0**************************/
t1=((peak)*sine[index_t1])/8192;
t2=((peak)*sine[index_t2])/8192;
t0=(peak -t1-t2)/2;
t0_=(t0);
t1_=t0_+(t1);
t2_=t1_+(t2);
/**********************************************************/
/*********************Prepare data*****************************/
LOAD_FIELD(\&INVERTER,iaerr,0,8);
LOAD_FIELD(\&INVERTER,iberr,8,8);
LOAD_FIELD(\&INVERTER,icerr,16,8);
LOAD_FIELD(\&INVERTER,sec,24,3);
LOAD_FIELD(\&INVERTER,0,27,5);
/*****************************/
/*******converter values:******/
LOAD_FIELD(\&CONVERTER,t0_0,8);
LOAD_FIELD(\&CONVERTER,t1_8,8);
LOAD_FIELD(\&CONVERTER,12,16,8);
LOAD_FIELD (\&CONVERTER, $0,27,5$ );
LOAD_FIELD(\&CONVERTER,da,24,1);
LOAD_FIELD(\&CONVERTER,db,25,1);
LOAD_FIELD(\&CONVERTER,dc,26,1);
xxxx++;
/*****************************/
while ( $(\mathrm{flg} x=1)$ )
\{
zxc++;

```
```

    };
    }
    return 0;
    } /* end appl_process */

```
```

/*********************************************************************
appl_idle - Performs background processing
Returns: user defined termination code, 0 is no termination
Note: This routine is automatically called from main when no other
processing is required, but may not be called regularly.
*********************************************************************/
int appl_idle(void)
{
/*----------------------------------------*/
/* the following code is application dependent */
loop_count++;
/* end of application dependent code */
/*--------------------------------------------
return 0;
} /* end appl_idle */
/*********************************************************************
void appl_end(unsigned int times,
int bufs_proc,
int buf_count,
int prev_buf_count,
int DMS_err,
int appl_term_code,
unsigned int FIFO_ovfl,
unsigned int DMS_count)
{
/*--------------------------------------------*/
/* the following code is application dependent */
/* end of application dependent code */
/*-----------------------------------------*/
} /* end appl_end */

```
```

    appl_test - Fills separate test block to simulate data from FPGA
    Parameters: OUT fill - pointer to beginning of test block
            IN frame_bytes - number of bytes in each frame
            IN frames - number of frames in block
    Note: This routine is autmatically called from main to initialize
    the test buffer with data.
    \#if(!TALK_TO_FPGA)
\#define SAMPLE_RATE_KHZ 10000L /* Sample rate for timer setup */
unsigned long appl_test(ApplBlockType fill,
int frame_elements,
int frames)
{
/*-------------------------------------------*/
/* the following code is application dependent */
int i,j;
int samples_per_element = (byte_size(ELEMENTSIZE_CODE)/sizeof(short));
int frame_samples = frame_elements*samples_per_element;
/* period is in 0.5 microseconds units */
/* for a time between frames (frame sync)
period = (frame_elements/SAMPLE_RATE)/(0.5e-6 us) */
unsigned long period = (2000L*(long)frame_elements)/SAMPLE_RATE_KHZ;
/* fill can be changed to accomodate testing */
for (i=0; i<frames; i++) {
for (j=0; j<frame_samples; j++) {
fill.uhword[i*frame_samples+j]
=((i+1)*0\times1000)+(j+1);
}/* end for */
}/* end for */
/* end of application dependent code */
/*------------------------------------------*/
return period;
} /* end appl_test */
\#endif
interrupt void increment(void)
{
WRITE1_FPGA=INVERTER;
WRITE2_FPGA=CONVERTER;
thetaop+=stepop;
if (thetaop>720) thetaop=thetaop-720;
index_ioa=(int)(thetaop);
index_iob=index_ioa-240;
if (index_iob<=0) index_iob+=720;
flgx=0;
return;
}

```

\section*{Appendix E}

\section*{UPFC Program}

\author{
/*~FILE:upfc.c*/ \\ \#include <stdlib.h> \\ \#if defined(CHIP_6711) || defined(CHIP_6211) || defined(CHIP_6211X) \\ \#include "6x11DSSK.h" \\ \#include "regs.h" \\ \#else \\ \#include <emif.h> \\ \#endif \\ \#include "AED.h" \\ \#include "AED_DMS.h" \\ \#include "AED_Appl.h" \\ \#include <commonheader.h>
}
\#if AED_PRINT /* Must be placed after AED.h */
\#include <stdio.h>
\#endif

In documentation in this module, the term "buffer" is used to refer to either a "block" or a "frame". "Block" is the whole memory area allocated to transferring data from the AED's (daughterboard) FPGA to the DSP's memory via DMS through the EMIF bus. The "block" is divided into one or more "frames".

The DMS, Data Movement Service, is implemented in several forms for use in various DSPs. Access to the DMS is through a common header module (AED_DMS.h) which serves all implementations. The most elementary implementation is the use of the CPU to tranfer the data (AED_DMS_intr.c). This implementation supports DSP with not data movement hardware, but it is slow and not recommended if another implementation can be used. Other implementations are available for DMAs and EDMAs on various DSPs.

In most modes of operation, one frame of data is processed at a time. The DMS is setup to give an interrupt at the completion of transfer of each frame. Upon the receipt of the interrupt, but not in the interrupt service routine, the frame last transfered is presented to appl_process as the "buffer" for processing.

However, in block processing modes, interrupts occur only once for the entire block, and the entire block is presented as the "buffer" for processing in the appl_process function.

The mode, number of frames in the block, number of records in the frame, and the size of the records are selected in appl parms. Records are a application dependent subdivision of the frame. Frequently, multiple records, identical in format, a tranfered in a frame in order to reduce the number of interrupts.
```

    /* application may change these defines */
    #define AED_BOARD "106"
    #define DMS_MODE DMA_FS_MODE
    #define RECORD_SKIP_POWER(7) /* NO_RECORDS to skip for speed*/
    #define DIVIDE_POWER (0) /* NO_REC
    #define NO_RECORDS (l<<DIVIDE_POWER) /* records/frame */
    #define NO_FRAMES 1 /* frames/block */
    #define RECLEN 8 /* length of record in words */
    #define ELEMENTSIZE_CODE DMA_ESIZE32
    #define SAVE_RECORDS 1 /* size of printout */
    #define ITERATIONS 1 /* size of averages */
    /* Globals for diagnostics of termination */
    unsigned int debug_times;
    int debug_bufs_proc;
    int debug_buf_count;
    int debug_prev_buf_count;
    int debug_DMS_err;
    int debug_appl_term_code;
    unsigned int debug_FIFO_ovfl;
    unsigned int debug_DMS_count;
    ApplBlockType data_buffer;
    unsigned int ADC[16],x[150],YYY[150],constant;
    int vvv=0,iii,kk;
    int index_ioa,index_iob,index_ioc,index_t1,index_t2;
    double vvvvv;
    int id,iq;
    int index_t2x;
    int thetaip2,sec2;
    int ialpha,ibeta;
    int sum2,mmmmm;
    int i,j,lx,s,nnn,mmmm,nx=0,mx=0;
    float Tx;
    unsigned int
    INVERTER,CONVERTER,SECTOR,CURRENT_DIRECTION,LA,LB,LC,t0_t1_t2_t3_t4_t5_se
c;
unsigned int da,db,dc;
int iaref,ibref,icref,imref;
int index_ioa90;
int iact1 }=0\mathrm{ ,ibactl }=0\mathrm{ ;
float thetaip,thetaop,stepip,stepop,fs;
int peak,fo,index_io,iarefint,ibrefint,icrefint,iaerr,iberr,icerr,iaref2,ibref2,icref2;
int iaact,ibact,icact;
int zxc=0;
short int flgy;
int vabl=0,vbcl=0;
int al,a2;
float errvd,vdl,vq1;
float nnnnn;
int iaerrx, iberrx, icerrx;
int ax;
int ccccc;
int kp,ki,kc;
int ud,uq;
int ualpha,ubeta;
int errd,errq;

```
int idref=0,iqref;
int iaerm,iberrn,icerrn;
unsigned int xxx,xxxx;
unsigned int av, k ;
unsigned int \(\mathrm{flgx}=1\), asd \(=1\);
unsigned int kkkkk;
unsigned int index_sec;
float valpha,vbeta,vd,vq;
int vab,vbc;
float w0,wk,th0,thk;
int sum=0;
int sss;
int iaaaa,ibbbb;
int index_ip,t1, 2, , 0 , index 2 ;
float ia_delta, ib_delta, ic_delta;
int current_transformation,ai_1, bi_1, ci_1, ai, bi, ci,ap, bp, cp; ApplBlockType ptr;

ApplBlockType save_data;
\#if(!TALK_TO_FPGA)
far unsigned int test_data[NO_RECORDS*NO_FRAMES*RECLEN];
\#endif
unsigned long fpga_io_reg \(=0 \times 70000001\);
Dma_channel fpga_chan;
int input_count;

/* the following code is application dependent */
static int loop_count;
unsigned int A_value[ITERATIONS];
unsigned int B_value[ITERATIONS];
unsigned int C_value[ITERATIONS];
unsigned int D_value[ITERATIONS];
unsigned int E_value[ITERATIONS];
unsigned int \(\mathrm{F}_{-}\)value[ITERATIONS];
unsigned int G_value[ITERATIONS];
unsigned int H_value[ITERATIONS];
unsigned int I_value[ITERATIONS];
unsigned int J_value[ITERATIONS];
unsigned int \(\bar{K}\) _value[ITERATIONS];
unsigned int L_value[ITERATIONS];
unsigned int M_value[ITERATIONS];
unsigned int N _value[ITERATIONS];
unsigned int O_value[ITERATIONS];
unsigned int \(P\) _value[ITERATIONS];

\section*{/* end of application dependent code */}


\footnotetext{
appl_parms - Defines mode and size of block for DMS transfers
Parameters: OUT frames - number of frames in the block
OUT records - number of records in the frame
OUT reclen - number of transfer elements in record
}

OUT esize - transfer element size code (AED_Brd.h)
OUT mode - transfer mode code (AED_DMS.h)
Notes:
1) This routine is always called from main prior to allocation of the block for data transfer. This function returns the specifics for the allocation and the DMS setup.
2) The DMS can transfer the data in different widths up to the EMIF bus size. The code selects the size independent of DSP.
3) The DMS mode codes include both word and frame synchronization.

Synchronization is the pulses sent on a wire connecting the AED with the DSP used by the DMS to determine when to read or write to the AED.
4) The DMS can be implemented with any of the facilities available on any particular DSP. Interrupts are available on all DSPs so the AED_DSM_intr support can always be used, although it is slow. The other implementations depend on what the DSP has for data transfer hardware.
```

void appl_parms(unsigned int *frames,
unsigned int *records,
unsigned int *reclen,
unsigned int *esize,
unsigned int *mode)
{
/*-------------------------------------------*/
/* the following code may be application dependent or
additional code may be required */
/* AED106 Standard EMIF settings
Parm 6x01 6x11
Write setup 4 3
Write strobe 3 2
Write hold 3 2
Read setup 13 5
Read strobe 2 7
Read hold 0 0
*/
\#if defined(CHIP_6711) || defined(CHIP_6211) | defined(CHIP_6211X)
REG_WRITE (EMIF_CE2, 0x30A50727); /* CE2 control, 32bit async*/
\#if(TALK_TO_FPGA}
REG_WRITE(MAR0, 0x1); /* Enable cache for SRAM */
REG_WRITE (L2CFG, 0x80000002); /* 2-Way cache \& EDMA Pri */
\#endif
\#else
EMIF_CE1_CTRL = 0x40FD0220;
\#endif
\#f(!TALK_TO_FPGA)
appl_test_data.uword = test_data;
\#endif
*frames = NO_FRAMES;

```
```

    *records = NO RECORDS;
    *reclen = RECLEN;
    *esize = ELEMENTSIZE_CODE;
    *mode = DMS_MODE;
    peak=127;
    imref=10;
    fs=6103; //program dependant
    Ts=1/fs;
    m=Ts/2/peak;
    fo=50; //program dependant
    Tx=Ts; //program dependant
    index_ioa=0;
    thetaop=0;
    thetaop=thetaop;
    current_transformation=40; //2x
    iaref2=0;
    ibref2=0;
    icref2=0;
    sec=1;
    t0_=0;
    tl_=0;
    t2=0;
    da=0;
    db=0;
    dc=0;
    ai_1=0;
    bi 1=0;
    ci_1=0;
    th}\overline{0}=0
    errd=0;
    iqref=(-122875);
    errq=0;
    w0=50*2*pi;
    flgy=0;
    /*******inverter values:******/
LOAD_FIELD(\&INVERTER,iaref2,0,8);
LOAD_FIELD(\&INVERTER,ibref2,8,8);
LOAD_FIELD(\&INVERTER,icref2,16,8);
LOAD FIELD(\&INVERTER,sec,24,3);
LOAD_FIELD(\&INVERTER,0,27,5);
/******************************/
/*******converter values:******/
LOAD_FIELD(\&CONVERTER,t0,0,8);
LOAD_FIELD(\&CONVERTER,t1_8,8);
LOAD_FIELD(\&CONVERTER,t2,16,8);
LOAD_FIELD(\&CONVERTER,0,27,5);
LOAD FIELD(\&CONVERTER,da,24,1);
LOAD_FIELD(\&CONVERTER,db,25,1);
LOAD_FIELD(\&CONVERTER,dc,26,1);
WRITE1_FPGA=INVERTER;
WRITE2_FPGA=CONVERTER;
/*****************************/

```

```

    intr_map(CPU_INT7,ISN_EXT_INT4);
    INTR_GLOBAL_ENABLE();
    INTR_ENABLE(CPU_INT_NMI);
    INTR_ENABLE(CPU_INT7);
    intr_hook(increment,CPU_INT7);
    ```
/**************************************************************/
/* end of application dependent code */
/*------------------------------------------*/
write_32b_reg(get_cntl_addr(), fpga_io_reg);
\#if AED_PRINT
    printf(" \(\left\lfloor n^{* * *}\right.\) AED " AED_BOARD " TEST PROGRAM STARTED ***) \(\left.\operatorname{n} "\right)\);
\#endif
\}/* end appl_parms */
```

/***********************************************************************
appl_init - Performs buffer init before data transfer
Parameters: OUT data_block - pointer to beginning of entire input
block (all frames)
IN block_bytes - number of bytes in block
IN dma_chan - DMA channel allocated by main for input
Note: This routine is autmatically called from main before the
data transfer is intiated, to initialize data buffers.
void appl_init(ApplBlockType data_block,
unsigned int block_bytes,
Dma_channel dma_chan)
{
/*----------------------------------------*/
/* the following code may be application dependent or additional
code may be required */
int i, j, k;
unsigned int *ptrl;
save_data.byte
= malloc(SAVE_RECORDS*RECLEN*byte_size(ELEMENTSIZE_CODE));
if (!save_data.byte) {
error_flashing(AED_FLASH_APPL_SAVE_BUFFER_MALLOC_ERROR);
}/* end if */
fpga_chan = dma_chan;
ptrl = data_block.uword;
for (i=0; i<NO_FRAMES; i++) {
for (j=0; j<NO_RECORDS; j++) {
for (k=0; k<RECLEN; k++) {
*(ptrl++)=0;
}
}
}
ptr1 = save_data.uword;

```
```

    for (j=0; j<SAVE_RECORDS; j++) {
        for (k=0; k<RECLEN; k++) {
        *(ptrl++)=0;
    }
    }
    for (j=0; j<ITERATIONS; j++) {
        A_value[j] = 0;
        B_value[j] = 0;
        C_value[j] = 0;
        D_value[j] = 0;
        E_value[j] = 0;
        F_value[j] = 0;
        G_value[j] = 0;
        H_value[j] = 0;
        I_value[j] =0;
        J_value[j] = 0;
        K_value[j] = 0;
        L_value[j] = 0;
        M_value[j] = 0;
        N_value[j] = 0;
        O_value[j] = 0;
        P_value[j] = 0;
    }/* end for */
    loop_count = 0;
    input_count = 0;
    \#if AED_PRINT
printf("Begin application processing (Block size = %d bytes)\n",
block_bytes);
\#endif
/* end of application dependent code */
/*------------------------------------------*/
/* the following code line is removed when daughterboard is
added */
}/* end appl_init */

```

    appl_process - Processes 1 frame of buffer data
    Returns: user defined termination code, 0 is no termination
    Parameters: IN data_buffer - pointer to beginning of frame of
                    data just received from FPGA
            IN buf_number - number of buffer just received
    Note: This routine is autmatically called from main when a full
            buffer of data has been transferred from the daughterboard.
*********************************************************************/
int appl_process(ApplBlockType data_buffer,
    int buf_number)
\{
/*
        **
/* the following code is application dependent */
int \(\mathrm{xx}=0\);
```

    kk=0;
    xxx=0;
    j=0;
    index_sec=0;
    nnn=0;
mmmm=0;
xxxx=0;
lx=0;
k=0;
while (XSTAT0==0)
{;}
do
{
ptr=data_buffer;
ADC[1]= (unsigned int)*(ptr.uhword++);
ADC[2]= (unsigned int)*(ptr.uhword++);
ADC[0]=(unsigned int)*(ptr.uhword++);
ADC[11]= (unsigned int)*(ptr.uhword++);
ADC[4]= (unsigned int)*(ptr.uhword++);
ADC[5]= (unsigned int)*(ptr.uhword++);
ADC[6]= (unsigned int)*(ptr.uhword++);
ADC[7]= (unsigned int)*(ptr.uhword++);
ADC[8]=(unsigned int)*(ptr.uhword++);
ADC[9]= (unsigned int)*(ptr.uhword++);
ADC[10]= (unsigned int)*(ptr.uhword++);
ADC[3]= (unsigned int)*(ptr.uhword++);
ADC[12]= (unsigned int)*(ptr.uhword++);
ADC[13]= (unsigned int)*(ptr.uhword++);
ADC[14]=(unsigned int)*(ptr.uhword++);
ADC[15]= (unsigned int)*(ptr.uhword++);
sum+=ADC[3];
k++;
if (k==8)
{
av=sum/8;
k=0;
sum=0;
if ((av<1980)\&\&(av>1960))
{
nnn=1;
}
}
if (nnn==1)
{
if (ADC[3]>s)
{
sec=6;
thetaip=84;
mmmm=1;
vvv=1;
index_ip=24;

```
```

        }
        if(ADC[3]<s)
                        {
                        sec=3;
        thetaip=84;
        mmmm=1;
        vvv=0;
        index_ip=24;
        }
        }
        s=ADC[3];
        }
    while (mmmm==0);
    while ( }\textrm{x}==0\mathrm{ )
    {
kkkkk++;
iii=kkkkk/4;
if (iii>140)
{ kkkkk=0;
if (flgy==0)
flgy=1;
else flgy=0;
}
FPGA_stop();
FPGA_start();

```
```

/*******************************************************/

```
/*******************************************************/
/*******************READ FROM FPGA************************/
/*******************READ FROM FPGA************************/
j=0;
j=0;
sum=0;
sum=0;
iaaaa=0;
iaaaa=0;
ibbbb=0;
ibbbb=0;
sum2=0;
sum2=0;
ax=0;
ax=0;
do
do
{
{
while (XSTAT0==0)
while (XSTAT0==0)
{
{
};
};
ptr=data_buffer;
ptr=data_buffer;
flgx=1;
flgx=1;
ADC[1]= (unsigned int)*(ptr.uhword++);
ADC[1]= (unsigned int)*(ptr.uhword++);
ADC[2]= (unsigned int)*(ptr.uhword++);
ADC[2]= (unsigned int)*(ptr.uhword++);
ADC[0]= (unsigned int)*(ptr.uhword++);
```

ADC[0]= (unsigned int)*(ptr.uhword++);

```

> ADC[11]= (unsigned int)*(ptr.uhword ++ );
> ADC[4] \(=\) (unsigned int)*(ptr.uhword++);
> ADC[5] \(=\) (unsigned int)*(ptr.uhword++);
> ADC[10]= (unsigned int)*(ptr.uhword ++ );
> ADC[7] \(=\) (unsigned int)* \({ }^{*}\) ptr.uhword ++ );
> ADC[8]= (unsigned int)*(ptr.uhword++);
> ADC[9] \(=\left(\right.\) unsigned int) \({ }^{*}\) (ptr.uhword ++ );
> ADC[6] \(=\) (unsigned int)*(ptr.uhword ++ );
> ADC[3]= (unsigned int)*(ptr.uhword ++ );
> ADC[12] \(=\) (unsigned int)*(ptr.uhword++);
> ADC[13] \(=\) (unsigned int)*(ptr.uhword ++ );
> ADC[14] \(=(\text { unsigned int })^{*}(\) ptr.uhword ++\()\);
> ADC[15] \(=\) (unsigned int) \({ }^{*}\) (ptr.uhword ++ );
```

            sum+=ADC[3];
    iaaaa+=ADC[10];
    ax+=ADC[11];
    ibbbb+=ADC[14];
    j++;
    }
while (j<4);
ADC[3]= sum/4;
ADC[10]=iaaaa/4;
ADC[14]=ibbbb/4;
ADC[11]=ax/4;

```
al \(=720\)-(thk*360/pi);
a2 \(=\mathrm{a} 1-180\);
if ( \(\mathrm{a} 2>720\) ) \(\mathrm{a} 2-=720\);
if ( \(\mathrm{a} 2<0\) ) \(\mathrm{a} 2+=720\);
vab=(ADC[3]-1990)*1000;
\(\mathrm{vbc}=(\mathrm{ADC}[11]-1975)^{*} 1000\);
vab=(vab+4*vabl)/5;
\(\mathrm{vbc}=\left(\mathrm{vbc}+4^{*} \mathrm{vbc} 1\right) / 5\);
vbcl=vbc;
vabl=vab;
\(\mathrm{vab}=\mathrm{vab} / 1000\);
\(\mathrm{vbc}=\mathrm{vbc} / 1000\);
valpha=(3*vab)/2;
vbeta \(=\left(0.86602540378^{*}\left(-\mathrm{vab}-2^{*}\right.\right.\) vbc) \()\);
vd=(((valpha*sine[a2])+(vbeta*sine[al]))/8192);
\(\mathrm{vq}=\left(\left(\left((-1)^{*}\right.\right.\right.\) valpha*sine[al])+(vbeta*sine[a2]))/8192);
vd=(vd+99*vd1)/100;
\(\mathrm{vq}=\left(\mathrm{vq}+99^{*} \mathrm{vq}\right) / 100\);
\(\mathrm{vdl}=\mathrm{vd}\);
\(\mathrm{vql}=\mathrm{vq} ;\)
if \((\mathrm{vql}=0) \mathrm{vq}=0.000001\);
\(\mathrm{wk}=\mathrm{w} 0+\left(\mathrm{AA}^{*} \mathrm{vdl} / \mathrm{vq} 1\right)\);
if ( \(\mathrm{wk}>51\) )
wk=51;
elseif ( \(w k<49\) )
\(w k=49\);
end;
thk \(=\) th \(0+(\mathrm{wk} / \mathrm{fs})+\left(\mathrm{BB}^{*} \mathrm{vdl} / \mathrm{vq} 1\right)\);
while (thk>(2*i)) thk- \(=\left(2^{*} \mathrm{pi}\right)\);
```

        while (thk<0) thk+=(2*pi);
        w0=wk;
    th0=thk;
    thetaip2=thk*360/pi-360;
    thetaop=thetaip2-18+360-60+(90*2);
    thetaip2=thetaip2;
    if (thetaip2<0) thetaip2+=720;
    if (thetaip2>=720) thetaip2-=720;
    if (thetaop<0) thetaop=720;
    if (thetaop>=720) thetaop-=720;
    sec2=(thetaip2/120)+1;
    if (sec2>6) sec2-=6;
    thetaip2=thetaip2;
    if (thetaip2<0) thetaip2+=720;
    if (thetaip2>=720) thetaip2-=720;
    index_t2=thetaip2-((sec2-1)*120);
    index_t1=120-index_t2;
    index_ioa90=index_ioa-180;
    if (index_ioa90<=0)
    index_ioa90+=720;
    ```
/*********************actual current*****************/
    iaact=current_transformation*(ADC[10]-1955);
    ibact=current_transformation*(ADC[14]-1987);
    /*********************dq controller*********************/
    \(/ * * * * * * * * * * * * * * * * * * * * * i n t e g r a l * * * * * * * * * * * * * * / ~\)
    \(\mathrm{ki}=0\);
    \(\mathrm{kc}=0\);
    errd \(+=\left(\right.\) ki \(^{*}\) (idref-id) \()\);
    errq \(+=\left(\right.\) ki \(^{*}\) (iqref-iq) \()\);
    \(u d=\left(\left(\mathrm{kp}^{*}(\right.\right.\) idref-id \(\left.\left.)\right)+\mathrm{errd}+(\mathrm{kc} *((\mathrm{iq}+\mathrm{iqref}) / 2))\right) / 8192\);
\(u q=\left(\left(\mathrm{kp}{ }^{*}(\right.\right.\) iqref-iq) \()+\) errq-(kc*((id +idref\(\left.\left.) / 2\right)\right) / 8192\);
ualpha=(((ud*sine[index_ioa90])-(uq*sine[index_ioa])));
ubeta=(((ud*sine[index_ioa])+(uq*sine[index_ioa90])));
iaerr=((int)(0.8164965809*ualpha))/8192/32;
iberr=((int)((-0.4082482905*ualpha)+(0.7071067812*ubeta)))/8192/32;
icerr--iaerr-iberr;

/*******************current direction \({ }^{* * * * * * * * * * * * * * * * * * / ~}\)
//implemented on FPGA
//needs to be revised
if (iaact<0)
    \(\mathrm{da}=0\);
else
    \(\mathrm{da}=1\);
if (ibact<0)
    \(\mathrm{db}=0\);
else
    \(\mathrm{db}=1\);
if (icact<0)
    \(\mathrm{dc}=0\);
else
    dc=1;
\(/ * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * * / ~\)
/********************* t , t 2 \& \(\mathrm{t}^{0 * * * * * * * * * * * * * * * * * * * * * * * * * * / ~}\)
\(\mathrm{tl}=((\) peak \() *\) sine \([\) index_tl] \() / 8192\);
\(\mathrm{t} 2=\left((\text { peak })^{*}\right.\) sine \([\) index_t \(2 \mathrm{]}] / 8192\);
\(\mathrm{t} 0=(\) peak \(-\mathrm{t} 1-\mathrm{t} 2) / 2\);

/**********************time calculations*******************/
\(\mathrm{t} 0=(\mathrm{t} 0)\);
\(\mathrm{tl}_{-}^{-}=\mathrm{t} 0_{-}+(\mathrm{tl})\);
t2_- \(=11_{-}^{-}+(\mathrm{t} 2)\);

/**********inverter values*********/
LOAD_FIELD(\&INVERTER,iaerr,0,8);
LOAD_FIELD(\&INVERTER,iberr,8,8);
LOAD_FIELD(\&INVERTER,icerr,16,8);
LOAD_FIELD(\&INVERTER,sec,24,3);
LOAD_FIELD(\&INVERTER,0,27,5);
/*****************************/
/*******converter values:******/
LOAD_FIELD(\&CONVERTER,t0_0,8);
LOAD_FIELD(\&CONVERTER,t1_8,8);
LOAD_FIELD(\&CONVERTER,t2,16,8);
LOAD_FIELD(\&CONVERTER,0,27,5);
LOAD_FIELD(\&CONVERTER,da,24,1);
LOAD_FIELD(\&CONVERTER,db,25,1);
LOAD_FIELD(\&CONVERTER,dc,26,1);
xxxx++;
/****************************/
while \(((f \lg x=1))\)
\{
```

        zxc++;
        };
    }
    return 0;
    } /* end appl_process */
    ```
```

/*********************************************************************
appl_idle - Performs background processing
Returns: user defined termination code, 0 is no termination
Note: This routine is automatically called from main when no other
processing is required, but may not be called regularly.
*********************************************************************/
int appl_idle(void)
{
/*-------------------------------------------*/
/* the following code is application dependent */
loop_count++;
/* end of application dependent code */
/*------------------------------------------*/
return 0;
} /* end appl_idle */

```
```

appl_end - Final processing before termination
Parameters: IN times -main program loop cycles executed
IN bufs_proc - number of buffers processed by
appl_process
IN buf_count - number of buffers received
IN prev_buf_count - last buffer given to appl_process
IN DMS_err - error code from DMS
IN appl_term_code - user termination code from either
appl_process or appl_idle
IN FIFO_ovfl - indication that the FIFOin the
FPGA has overflowed
IN DMS_count - number of frames remaining to be
received in the block
Note: This routine is automatically called from main at program
termination.
*********************************************************************/
void appl_end(unsigned int times,
int bufs_proc,
int buf_count,
int prev_buf_count,
int DMS_err,
int appl_term_code,
unsigned int FIFO_ovfl,
unsigned int DMS_count)
{
/* the following code is application dependent */

```
/* end of application dependent code */
/*------------------------------------------*/
\}/* end appl_end */
```

    appl_test - Fills separate test block to simulate data from FPGA
        Parameters: OUT fill - pointer to beginning of test block
            IN frame_bytes - number of bytes in each frame
            IN frames - number of frames in block
    Note:This routine is autmatically called from main to initialize
        the test buffer with data.
    *********************************************************************/
\#if(!TALK_TO_FPGA)
\#define SAMPLE_RATE_KHZ 10000L /* Sample rate for timer setup */
unsigned long appl_test(ApplBlockType fill,
int frame_elements,
int frames)
{
/*--------------------------------------------*/
/* the following code is application dependent */
int i, j;
int samples_per_element = (byte_size(ELEMENTSIZE_CODE)/sizeof(short));
int frame_samples = frame_elements*samples_per_element;
/* period is in 0.5 microseconds units */
/* for a time between frames (frame sync)
period = (frame_elements/SAMPLE_RATE)/(0.5e-6 us) */
unsigned long period = (2000L*(long)frame_elements)/SAMPLE_RATE_KHZ;
/* fill can be changed to accomodate testing */
for (i=0; i<frames; i++) {
for (j=0; j<frame_samples; j++) {
fill.uhword[i*frame_samples+j]
=((i+1)*0\times1000)+(j+1);
}/* end for */
}/* end for */
/* end of application dependent code */
/*--------------------------------------------
return period;
} /* end appl_test */
\#endif
interrupt void increment(void)
{
WRITE1_FPGA=INVERTER;
WRITE2_FPGA=CONVERTER;
index_ioa=(int)(thetaop);
index_iob=index_ioa-240;
if (index_iob<=0) index_iob+=720;
flgx=0;
return;
}

```

\section*{List of Figures}
Fig. 1.1 Conventional electric supply network ..... 3
Fig. 1.2 Electric network with embedded generation ..... 4
Fig. 1.3 Percentage \(\mathrm{CO}_{2}\) emission resources in UK ..... 5
Fig. 1.4 Percentage electricity resources in UK ..... 5
Fig. 1.5 Estimated time table for the installation of renewable energy ..... 7resources
Fig. 1.6 Schematic diagram of the UPFC with back to back inverters ..... 8
Fig. 1.7 Schematic diagram of a wind turbine system with back to back ..... 8 converters
Fig. 1.8 Schematic diagram of a UPFC with a matrix converter ..... 8
Fig. 1.9 Schematic diagram of a wind turbine system with a matrix ..... 9 converter
Fig. 1.10 Schematic diagram of a 3-phase to 3-phase matrix converter ..... 9
Fig. 2.1 Schematic diagram of the conventional matrix converter ..... 16
Fig. 2.2 Sinusoidal output phase voltage within the inner bands of the ..... 21 input voltage envelope
Fig. 2.3 Output phase voltage after injecting third harmonic components, ..... 21 within the outer bands of the input voltage envelope
Fig. 2.4 Switching sequence for the direct method ..... 22
Fig. 2.5 Arrangement of the matrix converter as a back to back inverters ..... 23
Fig. 2.6 Rectifier stage space vector ..... 24
Fig. 2.7 Inverter stage space vector ..... 25
Fig. 2.8 Switching sequence in current sector \(=1\), voltage sector \(=1\) ..... 27
Fig. 2.9 Simple power system model ..... 30
Fig. 2.10 Simple power system model including the generalized power flow ..... 30 controller
Fig. 2.11 Simple power system model with series capacitive compensation ..... 31
Fig. 2.12 Simple power system model for shunt compensation ..... 32
Fig. 2.13 Simple power system model for phase angle control ..... 33
Fig. 2.14 Simple power system model including the unified power flow ..... 34controller
Fig. 2.15 Schematic diagram of a UPFC with back to back inverters ..... 34
Fig. 2.16 Schematic diagram of a UPFC using a matrix converter ..... 35
Fig. 3.1 Back to back rectifier and inverter stages. ..... 46
Fig. 3.2 Output inverter stage diagram. ..... 47
Fig. 3.3 The inverter stage block diagram. ..... 48
Fig. 3.4 The inverter output matrices for the case \(\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}\). ..... 49
Fig. 3.5 Voltage vectors for a 2 level VSI. ..... 50
Fig. 3.6 Modified command signal for phase a, by adding \(u_{x}\) ..... 51
Fig. 3.7 Logic circuitry used to obtain the offset signal \(u_{x}\). ..... 52
Fig. 3.8 Adjusting the command signals by adding an offset \(u_{x}\). ..... 53
Fig. 3.9 Maximum and minimum boundaries of the command signals in: ..... 53
(a)modified command signals, and(b)original command signals.
Fig. 3.10 Schematic diagram of the rectifier stage. ..... 54
Fig. 3.11 Input current vector for 2 level CSI. ..... 55
Fig. 3.12 The equivalent time of the zero vectors with respect to the different ..... 56 angle positions of the output voltage commands at unity modulation index.
Fig. 3.13 Adjusted hexagon for the shown input current vector. ..... 57
Fig. 3.14 Logic circuitry used to adjust the values for the active rectifier stage. ..... 58
Fig.3.15 The rectifier output matrices for the case when \(V_{i}\) lies in sector 1 . ..... 59
Fig. 3.16 Input voltages in each sector for: ..... 61
a- Zero displacement angle; and b- \(\phi\) displacement angle.
Fig. 3.17 The virtual de link average voltage at unity modulation index and zero ..... 61 displacement angle for \(f_{o}=80 \mathrm{~Hz}\).
Fig. 3.18 Actual and estimated voltage vectors. ..... 62
Fig. 3.19 Frequency estimator: (a) block diagram (b) steady state response. ..... 63
Fig. 3.20 Direct and quadrature axis voltages for a flat topped supply voltage. ..... 64
Fig. 3.21 Sparse matrix converter. ..... 64

Fig. 3.22 Conventional matrix converter.
Fig. 3.23 Logic circuitry to transform from back to back inverters to the matrix ..... 66
 converter.

Fig.3.24 Switching pattern during a sampling period when the input voltage lies 67 in sector 1 and \(\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}\).
Fig. 3.25 Flow chart for the proposed technique. 68
Fig. 3.26 Block diagram of the proposed technique. 69
Fig. 3.27 Switching pattern during a sampling period when the input voltage lies 70 in sector 1 and \(\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}\).
Fig.3.28 Switching pattern during a sampling period when the input voltage lies 71 in sector 1 and \(\hat{U}>u_{a}>u_{b}>u_{c}>-\hat{U}\).

Fig. 3.29 Relative position of the inverter and rectifier middle signals 73
Fig. 3.30 Logic circuitry to minimize the number of commutations per cycle. 74
Fig. 3.31 Schematic diagram of a hysterisis current controller. 75
Fig. 4.1 Matrix converter system connected to a three-phase load. 77
Fig. 4.2 Matrix converter inverter stage schematic diagram. 78
Fig. 4.3 Transformation from the \(a b c\) frame to the \(\alpha-\beta\) frame 79
Fig. 4.4 Transformation from the \(\alpha-\beta\) frame to the \(d-q\) frame. 79
Fig. 4.5 Current controller schematic diagram. 81
Fig. 4.6 Transforming from the \(d-q\) frame to the \(a b c\) frame. 82
Fig. 4.7 Simulated direct and quadrature axis current responses when neglecting 82 measurement time delay.
\(\begin{array}{lll}\text { Fig. 4.8 } & \text { Simulated direct and quadrature axis current responses taking into } & 83 \\ & \text { account one sample time delay due to the measurements. }\end{array}\)
Fig. 4.9 Simulated direct and quadrature axis current responses taking into 83 account one sample time delay due to the measurements and reducing the proportional gain to \(50 \%\).
Fig. 4.10 Modified command signal for phase a by adding 84 \(v_{x}=\frac{\max \left(v_{a}^{*}, v_{b}^{*}, v_{c}^{*}\right)+\min \left(\dot{v}_{a}^{*}, v_{b}^{*}, v_{c}^{*}\right)}{2}\)
\(\begin{array}{lll}\text { Fig. 4.11 Algorithm to prevent integration windup. } & 84\end{array}\)
\(\begin{array}{lll}\text { Fig. 4.12 Over modulation boundary. } & 85\end{array}\)
Fig. 4.13 Inverter stage block diagram. ..... 85
Fig. 4.14 Matrix converter rectifier stage schematic diagram. ..... 86
Fig. 4.15 Assumed supply frequency and terminal voltage. ..... 86
Fig. 4.16 Power filter topologies. ..... 87
Fig. 4.17 Input filter frequency response. ..... 88
Fig. 4.18 Input filter block diagram per phase. ..... 89
Fig. 4.19 Frequency estimator response under distorted input voltage ..... 90
(a) matrix converter input voltage;
(b) frequency estimator response without reducing the gain factor; and(c) frequency estimator response when reducing the gain factor to \(25 \%\).
Fig. 4.20 Rectifier stage block diagram ..... 90
Fig. 4.21 The matrix converter block diagram ..... 91
Fig. 4.22 Output connected load in the \(s\) domain ..... 94
Fig. 4.23 Output response for \(f_{o}=20 \mathrm{~Hz}\), unity input power factor at 6.1 kHz ..... 95 switching frequency and sinusoidal input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current, (d) output line voltage, (e) unfiltered input current *20 and input voltage, and (f) filtered input current*20 and input voltage.
Fig. 4.24Fig. 4.25 Output response for \(f_{0}=80 \mathrm{~Hz}, 0.86\) input power factor lagging at 6.197kHz and sinusoidal input voltage: (a)output current, (b) quadrature axiscurrent, (c) direct axis current, (d) output line voltage, (e) unfilteredinput current *20 and input voltage, and (f) Filtered input current*20and input voltage.
Fig. 4.26 Output response for \(f_{0}=80 \mathrm{~Hz}, 0.86\) input power factor leading at 6.1 ..... 98kHz and sinusoidal input voltage: (a) output current, (b) quadrature axiscurrent, (c) direct axis current, (d) output line voltage, (e) unfilteredinput current *20 and input voltage, and (f) filtered input current*20 andinput voltage.
Fig. 4.27 Input filter frequency response ..... 99

Fig. 4.28

Fig. 4.29

Fig. 4.30
Fig. 4.31 Output response for \(f_{o}=20 \mathrm{~Hz}\), unity input power factor at 12 kHz and unbalanced input voltage: (a) output current, (b) quadrature axis current, (c) direct axis current, (d) unfiltered input current *20 and input voltage, and (e) filtered input current*20 and input voltage.
\(\begin{array}{ll}\text { Fig. 4.32 } & \text { Output response for } f_{o}=80 \mathrm{~Hz} \text {, unity input power factor at } 12 \mathrm{kHz} \text { and } 105 \\ \text { unbalanced input voltage: (a) output current, (b) quadrature axis current, } \\ & \text { (c) direct axis current, (d) unfiltered input current *20 and input } \\ \text { voltage, and (e) filtered input current*20 and input voltage. }\end{array}\)
Fig. 4.33 Output current versus time response. 106
Fig. 4.34 Decomposition of signals into positive and negative sequence 106 components.
Fig. 4.35 Conventional back to back converters ..... 108
Fig. 4.36 Flat topped input voltage ..... 109
Fig. 4.37 Output response for \(f_{o}=20 \mathrm{~Hz}\), unity input power factor at 12 kHz and ..... 109
unbalanced input voltage (a) output current, (b) quadrature axis current, (c) direct axis current, (d) output voltage, and (e) filtered input current*20 and input voltage.
Fig. 4.38 Output response for \(f_{o}=80 \mathrm{~Hz}\), unity input power factor at 12 kHz and ..... 111unbalanced input voltage: (a) output current, (b) quadrature axis current,(c) direct axis current, (d) output voltage, and (e) filtered inputcurrent*20 and input voltage.
Fig. 4.39 Decomposition of the flat topped source into fundamental, fifth, and ..... 111 seventh harmonic components.
Fig. 5.1 Matrix converter system connected to a three-phase grid ..... 114
Fig. 5.2 Grid-connected matrix converter with an L-Filter interface and inverter ..... 115
stage
Fig. 5.3 Current controller block diagram ..... 115
Fig. 5.4 Equivalent circuit and phasor diagram of a grid connected generator. ..... 117
Fig. 5.5 Active and reactive power droop characteristics. ..... 118
Fig. 5.6 Block diagram of a grid-connected inverter with an L-filter interface. ..... 119
Fig. 5.7 Grid-connected matrix converter with a C-filter interfaced rectifier stage ..... 119
Fig. 5.8 Wind-power and turbine speed characteristics at different wind ..... 120speeds.
Fig. 5.9 Synchronous machine model ..... 121
Fig. 5.10 Synchronous machine equivalent circuit in the \(a b c\) frame. ..... 122
Fig. 5.11 Transformation from the \(a b c\) to the \(d-q\) frame. ..... 123
Fig. 5.12 Synchronous machine equivalent circuit in the \(d-q\) frame. ..... 125
Fig. 5.13 Input filter reduced to only the capacitors. ..... 126
Fig. 5.14 Block diagram of a grid-connected matrix converter with an L- ..... 126 filter interfaced rectifier stage.
Fig. 5.15 Block diagram of a grid-connected matrix converter with an L-filter ..... 127 interface.
Fig. 5.16 Output response for a sinusoidal grid voltage at 6.1 kHz switching ..... 129
frequency: (a) output current phase ' \(a\) ', (b) output current phase ' \(b\) ', (c) output current phase ' \(c\) ', (d) output current phase (a)*20 and the grid voltage, (e) quadrature axis current, (f) direct axis current, (g) filtered input current*20, (h) unfiltered input current*20, and (i)output active power
Fig. 5.17 Unbalanced grid voltage ..... 130
Fig. 5.18 Direct and quadrature axis voltages: ..... 130(a) actual direct and quadrature axis voltages versus time response and(b) direct and Quadrature axis voltages versus time response using thefrequency estimator.Fig. 5.19 Output response for unbalanced grid voltage at 6.1 kHz switching 132frequency: (a) output current phase ' \(a\) ', (b) output current phase ' \(b\) ', (c)output current phase ' \(c\) ', (d) quadrature axis current, (e) direct axiscurrent, ( f ) output active power, and (g) filtered input current*20.
Fig. 5.20 Dual PI current controllers for unbalanced power supply ..... 133

Fig. 5.21 Transformation from the \(a b c\) to the \(d-q\) frame for:
(a) the positive sequence and
(b) the negative sequence.

Fig. 5.22 Extraction of the positive and negative sequence components 135
Fig. 5.23 Output response at 6.1 kHz and unbalanced input voltage: (a) output 138 current, (b) output active power, (c) +ve seq. direct axis current, (d) ve seq. direct axis current, (e) +ve seq. quadrature axis current, (f) -ve seq. quadrature axis current, and (g) filtered input current *20.
Fig.6.1 UPFC schematic employing the matrix converter. 141
Fig.6.2 Equivalent circuit of a UPFC system using matrix converter. 141
Fig.6.3 Inverter stage (series power converter). 142
Fig.6.4 Current controller block diagram 143
Fig.6.5 Series power converter block diagram 144
Fig. 6.6 Shunt converter equivalent circuit and phasor diagram 145
Fig.6.7 Shunt converter controller block diagram 146
Fig.6.8 Phasor diagram of \(E_{l}, E_{m}\) and \(I . \quad 147\)
Fig.6.9 Different cases of series injected voltage and the corresponding 149
Fig.6.10 Modified shunt converter controller block diagram 149
\(\begin{array}{ll}\text { Fig.6.11 } & \text { Block diagram of the UPFC using a matrix converter with estimation of } \\ & 150 \\ \text { the injected series voltage. }\end{array}\)
Fig. 6.12 Simulated output response for a UPFC using a matrix converter at a \(6.1 \quad 152\)
kHz switching frequency: (a) receiving end active power, (b) receiving end reactive power, (c) voltage magnitude at 1 bus, (d) series voltage magnitude, (e) shunt current magnitude, and (f) receiving end current magnitude.
Fig. 6.13 Simulated output response for a UPFC using a matrix converter at a \(6.1 \quad 153\)
kHz switching frequency: (a) receiving end active power, (b) receiving end reactive power, (c) voltage magnitude at 1 bus, (d) shunt current magnitude, and ( \(f\) ) receiving end current magnitude.
Fig. 7.1 The experimental rig. ..... 156
Fig. 7.2 6711 DSK. ..... 157
Fig. 7.3 AED-106 block diagram ..... 158
Fig. 7.4 Conventional eighteen switch matrix converter. ..... 159
Fig. 7.5 Output voltage from the autotransformer. ..... 160
Fig. 7.6 The input filter. ..... 161
Fig. 7.7 The 2-1 matrix converter ..... 163
Fig. 7.8 Commutation procedure for a positive load current. ..... 164
Fig. 7.9 Commutation procedure for a negative load current ..... 165
Fig. 7.10 Logic circuitry for setting the commutation delay times. ..... 166
Fig. 7.11 Logic circuitry for determining the switch states. ..... 166
Fig. 7.12 The clamping circuit. ..... 167
Fig. 7.13 Output to the switches from the gate drive: ..... 168(a) before the optocoupler (inverted)(b) after the optocoupler.
Fig. 7.14 (a) Optocouplers output signals, ..... 169
(b) Gate drives output signals, and
(c) Gate drives signals for phase a.
Fig. 7.15 (a) Measured sinusoidal voltage from the DSP without filtering ..... 170(b) measured signal after eliminating the zero notches and(c) measured signal after averaging four consecutive signals.
Fig. 7.16 Open loop flow chart. ..... 172
Fig. 7.17 Experimental output response for \(f_{0}=80 \mathrm{~Hz}\), unity input power factor at ..... 173\(f_{s}=6.1 \mathrm{kHz}\) : (a) output current, (b) output current harmonicspectrum, (c) output voltage, (d) unfiltered input current and inputvoltage, (e) filtered input current, and (f) input current harmonicspectrum.
Fig. 7.18 Decomposition of signals into positive and negative sequence ..... 174 components.
Fig. 7.19 The simulated virtual de link voltage at unity modulation index and zero ..... 174
displacement angle for \(\delta_{0}=80 \mathrm{~Hz}\) :(a) balanced voltage supply and (b) unbalanced voltage supply (5\%).
Fig. 7.20 Flat topped source fundamental, fifth, and seventh harmonic 175 components.
Fig. 7.21 The simulated virtual de link average voltage at unity modulation index 175 and zero displacement angle for \(f_{0}=80 \mathrm{llz}\) :
(a) balanced voltage supply and
(b) flat-topped voltage supply ( \(1 \%\) fifth and seventh harmonics).
Fig. 7.22 Input filter frequency response. ..... 176
Fig. 7.23 Closed loop flow chart. ..... 178
Fig. 7.24 Practical output response for \(f_{o}=80 \mathrm{~Hz}\), unity input power factor at \(f_{s}=\) ..... 179
6.1 kHz for closed loop control: (a) output current, (b) output currentharmonic spectrum, (c) output line voltage, (d) filtered input current andinput voltage, (e) filtered input current, and (f) input current harmonicspectrum.
Fig. 7.25 Practical output response for \(f_{o}=20 \mathrm{~Hz}\), unity input power factor at \(f_{s}=\) ..... 180
6.1 kHz for closed loop control: (a) output current, (b) output currentharmonic spectrum, (c) output line voltage, (d) filtered input current andinput voltage, (e) filtered input current, and (f) input current harmonicspectrum.
Fig. 7.26 Schematic diagram of a UPFC employing a matrix converter ..... 181
Fig. 7.27 Phasor diagram of a UPFC series converter ..... 181
Fig. 7.28 Schematic diagram of the experimental setup. ..... 182
Fig. 7.29 Experimental results for varying the injected series voltage phase angle: ..... 184
(a) \(0^{0}\), (b) (b) \(90^{\circ}\), (c) (c) \(180^{\circ}\), and (d) \(-90^{\circ}\)
Fig. 7.30 Experimental results for varying the injected series voltage modulation ..... 185index: (a) 0.5 , (c) 0.866 , and (d) 0.95.
Fig. 7.31 Virtual dc link voltage: (a) symmetrical input voltage and neglecting the ..... 186( \(T_{s \text { eff }} / T_{s}\) ) term, (b) asymmetrical input voltage and neglecting the ( \(T_{s}\)eff \(/ T_{s}\) ) term, (c) symmetrical input voltage and taking into account the ( \(T_{s}\)eff \(/ T_{s}\) ) term, and (d) symmetrical input voltage and taking into accountthe ( \(\mathrm{T}_{\mathrm{s} \text { eff }} / \mathrm{T}_{\mathrm{s}}\) ) term ( \(30 \%\) unbalance).
Fig. 7.32 (a) d component in case of \(10 \%\) offset in the transducers. ..... 187
(b) q component in case of \(10 \%\) offset in the transducers.
Fig. 7.33 Series compensator phasor diagram. ..... 188
Fig. 7.34 Experimental results for a series compensator. ..... 188
Fig. 7.35 Modified series compensator phasor diagram: ..... 189
(a) phasor diagram and (b) experimental results.```

